

A Guide to Broadband Funding Opportunities

How to Navigate the Funding Process

U.S. Senator Kirsten E. Gillibrand

New York



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**Note: This document will be continuously updated as information becomes available.*

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Introduction

Dear Fellow New Yorker:

Each year, the federal and New York State governments distribute millions of dollars worth of grants and loans for broadband and telecommunications projects. While much progress has been made in broadband deployment throughout the state, for many New Yorkers, the digital divide still needs to be closed. With many individuals struggling during these tough economic times, I am committed to assisting New Yorkers with securing funding that can connect all New Yorkers to the information superhighway, ensuring a chance to compete in the digital marketplace of the global economy.

Finding the resources that meet your needs in this complex web of agencies can be an impossible task. For this reason, I have created this guidebook to serve as a starting point in providing information about what resources are available to individuals, businesses, community organizations and local governments.

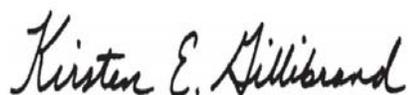
The information in this guidebook details broadband and telecommunications grants and loans. Its contents are by no means comprehensive; as new programs and opportunities emerge, its contents will be updated to provide New Yorkers with the most information possible.

The guidebook is comprised of 3 different sections, each providing information about grant funding sources, strategies for writing effective grant proposals and ways in which my office can assist you in this process. I highly encourage you to also visit the following website that the Federal Communications Commission: http://wireless.fcc.gov/outreach/index.htm?job=broadband_home. This serves as an excellent clearinghouse of the most up-to-date broadband funding opportunities.

My Senate website (www.Gillibrand.senate.gov) is continually updated with critical information about various funding opportunities that are available to you, how to access them, and where to apply.

As you move forward with any grant opportunities, please contact Jon Cardinal, my Grants Director, for letters of support, when applicable. You can reach him in my Washington, D.C. Office at Grants@Gillibrand.Senate.gov, or (202)-224-4451.

Sincerely,



Kirsten E. Gillibrand

Federal Section I

United States Department of Agriculture:

Rural Development

USDA Rural Development is committed to helping improve the economy and quality of life in America. Through their programs, Rural Development touches upon each aspect of rural America in a precise and organized manner.

The financial program support such essential public facilities and services as water and sewer systems, housing, health clinics, emergency service facilities and electric and telephone. Rural Development has continuously promoted economic development by supporting loans to businesses through banks, credit unions and community-managed lending pools. They offer technical assistance and information to help agricultural producers and cooperatives get started and improve the effectiveness of their operations. Additionally, they have provided technical assistance to help communities undertake empowerment programs.

USDA Rural Development has a \$115 billion portfolio of loans and will administer \$20 billion in loans, loan guarantees, and grants through their programs in the current fiscal year. To achieve their mission, Rural Development assists rural individuals, communities and businesses obtain the financial and technical assistance needed to address their diverse and unique needs through their specific programs.

1) Rural Broadband Access Loans and Loan Guarantees Program

- Provides loans (and loan guarantees) to eligible applicants, including telephone companies, telephone cooperatives, municipalities, nonprofit organizations, and tribes, to deploy infrastructures that provide broadband service in rural communities that meet the program's eligibility requirements.

Additional Information:

- Broadband Service means any technology having the capacity to transmit at a minimum transmission speed of 200 kbps both from and to a residential subscriber. The rate of data transmission is subject to annual review and will be published in the Notice of Funds Availability at the beginning of each fiscal year.
- Loans maturities are equal to the composite economic life of the facilities financed. The interest rate for the Cost-of-Money program is equal to the cost of borrowing to the Department of Treasury for a comparable maturity.
- The 4-Percent program interest rate is 4 percent and the maximum loan amount for the 4-Percent program is \$7.5 million. The interest rate for the Guarantee program is set by the lender.

Eligibility:

- Eligible rural communities are any area of the United States (which includes its territories and insular possessions); that is not contained in an incorporated city or town with a population in excess of 20,000 inhabitants, based on the most recent available information of the Bureau of the Census.
- The following are eligible: cooperative, nonprofit, limited dividend or mutual associations, limited liability companies, commercial organizations, Indian tribes, tribal organizations, state government, local government, including those located in the U.S. territories and countries included in the Compact of Free Association Act of 1985, providing or proposing to provide broadband services in eligible rural communities.

Contact Information:

- Telephone: (202) 720 9554
Fax: (202) 720 0810
Website: <http://www.usda.gov/rus/telecom/broadband.htm> or
<http://www.federalgrantswire.com/rural-broadband-access-loans-and-loan-guarantees.html>

2) Community Connect Grant Program

- Provides community access to broadband services in unserved areas through a one-time grant to such organizations as tribes, cooperatives, private companies, and universities, and uses the infrastructure built by the grant to create opportunities for continued improvement.

Additional Information:

- The funding will support construction, acquisition, or lease of facilities, including spectrum, to deploy broadband transmission services to all critical community facilities and to offer such service to all residential and business customers located within the proposed service area.
- The funding can be put towards the improvement, expansion, construction, acquisition, or leasing of a community center that furnishes free access to broadband Internet service, provided that the community center is open and accessible to area residents before, during, and after normal working hours and on Saturday or Sunday.
- All equipment purchased with grant and/or matching funds must be new or nondepreciated.

Eligibility:

- Applicants must be organized as an incorporated organization, an Indian tribe or tribal organization, a state or local unit of government, or other legal entity, including cooperatives or private corporations or limited liability companies organized on a for profit or not-for profit basis.
- The project must deploy Basic Broadband Transmission Service, free of all charges for at least 2 years, to all Critical Community Facilities located within the proposed Service Area. Additionally, it should offer Basic Broadband Transmission Service to residential and business customers within the proposed Service Area.

Contact Information:

- Point of Contact: Kenneth Kuchno, Director
Telephone: (202) 690-4673
Email: community.connect@wdc.usda.gov.
Website: <http://www.usda.gov/rus/telecom/commconnect.htm>

3) Telephone Loans and Loan Guarantees Program

- Provides long-term-direct and guaranteed loans to qualified organizations, often incumbent local exchange carriers that finance voice telephone service. Since 1995, these telephone lines have been capable of providing broadband service using digital subscriber line (DSL) technology.

Additional Information:

- Long-term direct and guaranteed loans to qualified organizations for the purpose of financing the improvement, expansion, construction, acquisition, and operation of telephone lines, facilities, or systems to furnish and improve.
- Telecommunications service in rural areas. "Rural area" is defined as any area of the United States, its territories and insular possessions (including any areas within the Federated States of Micronesia, the Republic of Palau) not included within the boundaries of any incorporated or unincorporated city, village, or borough having a population exceeding 5,000 inhabitants.
- The population figure is obtained from the most recent data available, such as from the Bureau of Census and Rand McNally and Company. For purposes of the "rural area" definition, the character of an area is determined at the time the initial loan for the system is made.

Eligibility:

- Eligible applicants consist of telephone companies or cooperatives, nonprofit associations, limited dividend associations, mutual associations or public bodies including those located in the U.S. Territories and countries included in the Compact of Free Association Act of 1985, providing or proposing to provide telecommunications service to meet the needs of rural areas.
- A beneficiary must be a resident of rural areas and others who may also receive telephone service as a result of service provided to a rural area.

Contact Information:

- <http://www.federalgrantswire.com/rural-telephone-loans-and-loan-guarantees.html>

4) Distance Learning and Telemedicine Loans and Grants Program

- Provides loans and grants to rural community facilities (e.g., schools, libraries, hospitals, and tribal organizations) for advanced telecommunications systems that can provide health care and educational benefits to rural areas.

Additional Information:

- The DLT Program provides three kinds of financial assistance; a full grant, grant-loan combination, and a full loan.

Eligibility:

- To be eligible for a grant, your organization must:
 - Currently deliver or propose to deliver distance learning or telemedicine services for the term of the grant. To receive a grant, the purposes must meet the grant definition of distance learning and telemedicine. The DLT program is focused on sustainability. Planning studies, research projects, and short-term demonstration projects of less than two years will not be considered.
 - Be legally organized as an incorporated organization or partnership; an Indian tribe or tribal organization; a state or local unit of government; a consortium; or other legal entity, including a private corporation organized on a for profit or not-for profit basis with the legal capacity to contract with the United States Government.
 - Operate a rural community facility or deliver distance learning or telemedicine services to entities that operate a rural community facility or to residents of rural areas at rates calculated to ensure that the benefit of the financial assistance passes through to such entities or to residents of rural areas.

Contact Information:

- Point of Contact: Sam Morgan
Telephone: (202) 205-3733
Email: sam.morganl@wdc.usda.gov
Second Point of Contact: Gary Allan
Telephone: (202) 720-0413
Email: gary.allan@wdc.usda.gov
Website: <http://www.usda.gov/rus/telecom/dlt/dlt.htm>

Federal Section II:

Federal Communications Commission

The Federal Communications Commission (FCC) is an independent United States government agency. The FCC was established by the Communications Act of 1934 and is charged with regulating interstate and international communications by radio, television, wire, satellite and cable. The FCC's jurisdiction covers the 50 states, the District of Columbia, and U.S. possessions.

The Commission staff is organized by function. There are seven operating Bureaus and ten Staff Offices. The Bureaus' responsibilities include: processing applications for licenses and other filings; analyzing complaints; conducting investigations; developing and implementing regulatory programs; and taking part in hearings. Our Offices provide support services. Even though the Bureaus and Offices have their individual functions, they regularly join forces and share expertise in addressing Commission issues. Through these offices, funding is specifically designed to meet the needs of each applicant.

1) Universal Service High Cost Program

- Provides funding to eligible telecommunications carriers to help pay for telecommunications services in high-cost, rural, and insular areas so that prices charged to customers are reasonably comparable across all regions of the nation.

Additional Information:

- The goal of Universal Service is to promote the availability of quality services at just, reasonable and affordable rates for all consumers. The program will advance the availability of such services to all consumers, including those in low income, rural, insular, and high cost areas at rates that are reasonably comparable to those charged in urban areas
- Moreover, the program plans to increase nationwide access to telecommunications and advanced services in schools, libraries and rural health care facilities.

Eligibility:

- Wireline and wireless telephone companies seeking to participate in any of the High Cost Program support components must be designated as eligible telecommunications carriers (ETCs) and meet ongoing requirements for each component.

Contact:

- Website: http://www.fcc.gov/wcb/tapd/universal_service/highcost.html,
http://www.fcc.gov/wcb/tapd/universal_service/ or <http://www.universalservice.org/hc/>

2) Universal Service Schools and Libraries Program

- Provides discounts for affordable telecommunications and Internet access services to ensure that schools and libraries have access to affordable telecommunications and information services.

Additional Information:

- This program is also known as “E-Rate” and is administered by the Universal Service Administrative Company (USAC) under the direction of the Federal Communications Commission (FCC). It is one of four support programs funded through a Universal Service fee charged to companies that provide interstate and/or international telecommunications services.

Eligibility:

- To be eligible:
 - Schools must provide elementary or secondary education as determined under state law.
 - Schools may be public or private institutional day or residential schools, or public charter schools.
 - Schools must operate as non-profit businesses.
 - Schools cannot have an endowment exceeding \$50 million.
 - Libraries must be eligible for assistance from a state library administrative agency under that Act.
 - Libraries must have budgets completely separate from any schools (including, but not limited to, elementary and secondary schools, colleges and universities).
 - Libraries cannot operate as for-profit businesses.

Contact:

- Telephone: 1-800-229-5476
<http://www.universalservice.org/rhc/>

3) Universal Service Rural Health Care Pilot Program

- Provides funds to cover 85 percent of the cost of constructing 66 statewide or regional broadband telehealth networks in 42 states and 3 U.S. territories and of connecting those projects to dedicated nationwide

Additional Information:

- The Rural Health Care Program of the Universal Service Fund makes discounts available to eligible rural health care providers for telecommunication services and monthly Internet service charges. The program is intended to ensure that rural health care providers pay no more for telecommunications in the provision of health care services than their urban counterparts.

- The Rural Health Care Program reimburses telecommunications and Internet service providers for services provided to rural health care providers. While health care providers apply for these discounts, USAC works in conjunction with service providers to make sure these discounts are passed on to program participants.

Eligibility:

- Eligible organizations include:
 - Post-secondary educational institutions offering health care instruction, teaching hospitals, or medical schools
 - Community health centers or health centers providing health care to migrants
 - Local health departments or agencies including dedicated emergency departments of rural for-profit hospitals
 - Community mental health centers
 - Not-for-profit hospitals
 - Rural health clinics including mobile clinics
 - Consortia of HCPs consisting of one or more of the above entities
 - Part-time eligible entities located in otherwise ineligible facilities

Contact:

- Telephone: 1-800-229-5476
Website: <http://www.universalservice.org/rhc/>

Be sure to also visit the following Federal Communications Commission website: http://wireless.fcc.gov/outreach/index.htm?job=broadband_home. This serves as an excellent clearinghouse of the most up-to-date broadband funding opportunities.

Federal Section III

The National Telecommunications and Information Administration

The National Telecommunications and Information Administration is an agency in the U.S. Department of Commerce that serves as the executive branch agency principally responsible for advising the President on telecommunications and information policies. In this role, NTIA frequently works with other Executive Branch agencies to develop and present the Administration's position on these issues.

Since its creation in 1978, NTIA has been at the cutting edge of critical issues. In addition to representing the Executive Branch in both domestic and international telecommunications and information policy activities, NTIA also manages the Federal use of spectrum; performs cutting-edge telecommunications research and engineering, including resolving technical telecommunications issues for the Federal government and private sector; and administers infrastructure and public telecommunications facilities grants.

1) Broadband Technology Opportunities Program (BTOP)

- The National Telecommunications and Information Administration (NTIA) provides funding for the Broadband Technology Opportunities Program (BTOP). BTOP provides grants to support the deployment of broadband infrastructure in unserved and underserved areas, to enhance broadband capacity at public computer centers, and to encourage sustainable adoption of broadband service. Through this support, BTOP will also advance the Recovery Act's objectives to spur job creation and stimulate long-term economic growth and opportunity.

Additional Information:

- Project categories:
 - The *Broadband Infrastructure* category (up to \$1.2 billion) will fund projects that deliver broadband service through Last Mile or Middle Mile facilities to unserved and underserved areas.
 - **Unserved Area:** an area, composed of one or more contiguous census blocks where at least 90 percent of households in the proposed funded service area lack access to facilities-based, terrestrial broadband service, either fixed or mobile, at the minimum broadband speed .
 - **Underserved Area: Last Mile Projects**—an area composed of one or more contiguous census blocks where at least one of the following is met: 1) no more than 50 percent of households in the proposed funded service area

have access to facilities-based, terrestrial broadband service at greater than the minimum broadband speed; 2) no fixed or mobile broadband service provider advertises broadband speeds of at least 3 Mbps downstream in the proposed funded service area.

- All Broadband Infrastructure grant recipients that offer Internet access services for a fee must agree to participate in the State Broadband Data and Development Program.
 - The *Public Computer Center* category (up to \$50 million) will fund projects that expand computer center capacity at entities that permit the public to use these computer centers, such as community colleges and public libraries.
 - The *Sustainable Broadband Adoption* category (up to \$150 million) will fund innovative projects that promote broadband demand, such as projects focused on broadband education, awareness, training, access, equipment or support, particularly among vulnerable populations.

Eligibility:

- The NOFA states that it is in the public interest to allow for-profit corporations and other organizations not otherwise encompassed in the Recovery Act to be eligible for BTOP grants so as to promote the goals of the Recovery Act.

Contact:

- Broadband Technology Opportunities Program
1401 Constitution Ave, NW
Washington, DC 20230
Telephone: (202) 482-2048
Email: btop@ntia.doc.gov
Website: <http://www.ntia.doc.gov/broadbandgrants/>

Federal Section IV

Appalachian Regional Commission

The Appalachian Regional Commission (ARC) is a regional economic development agency that represents a partnership of federal, state, and local government. Established by an act of Congress in 1965, ARC is composed of the governors of the 13 Appalachian states and a federal co-chair, who is appointed by the president. Local participation is provided through multi-county local development districts.

Each year ARC provides funding for several hundred projects in the Appalachian Region, in areas such as business development, education and job training, telecommunications, infrastructure, community development, housing, and transportation. These projects create thousands of new jobs; improve local water and sewer systems; increase school readiness; expand access to health care; assist local communities with strategic planning; and provide technical and managerial assistance to emerging businesses.

1) Telecommunications Initiative

- This initiative funds for projects that enable communities to capitalize on broadband access, such as distance learning, telehealth/telemedicine, e-government, and e-business applications and workforce development.

Additional Information:

- The focus of Information Age Appalachia is not only on access to infrastructure, but also, and more importantly, on applications that use that access. Instead of simply promoting technology by itself, the program seeks to stimulate economic growth and improve the standard of living in the Region through technology-related avenues.
- The four keys aspects of the program are access and infrastructure, education and training, e-commerce, and technology-sector job creation.

Eligibility:

- Only New York counties included in the Appalachian Regional Commission are eligible for funding. These counties include Allegany, Broome, Cattaraugus, Chautauqua, Chemung, Chenango, Cortland, Delaware, Otsego, Schoharie, Schuyler, Steuben, Tioga, and Tompkins. Interested organizations must contact the New York State Department of State to discuss individual project eligibility requirements.

Contact Information:

- Appalachian Regional Commission
1666 Connecticut Avenue, NW
Suite 700
Washington, DC 20009-1068
Telephone: (202) 884-7700
Email: info@arc.gov.



Federal Section V

United States Department of Commerce: Economic Development Administration

The Economic Development Administration (EDA) was established under the Public Works and Economic Development Act of 1965 (42 U.S.C. § 3121), as amended, to generate jobs, help retain existing jobs, and stimulate industrial and commercial growth in economically distressed areas of the United States. EDA assistance is available to rural and urban areas of the Nation experiencing high unemployment, low income, or other severe economic distress.

In fulfilling its mission, EDA is guided by the basic principle that distressed communities must be empowered to develop and implement their own economic development and revitalization strategies. EDA helps distressed communities address problems associated with long-term economic distress, as well as sudden and severe economic dislocations including recovering from the economic impacts of natural disasters, the closure of military installations and other Federal facilities, changing trade patterns, and the depletion of natural resources.

1) Economic Development Facilities and Public Works

- Provides funding for construction of infrastructure in areas that are not attractive to private investment. Most funding is for water and sewer infrastructure but some has been designated for communications projects.

Additional Information:

- Public Works and Economic Development investments help support the construction or rehabilitation of essential public infrastructure and facilities necessary to generate or retain private sector jobs and investments, attract private sector capital, and promote regional competitiveness, including investments that expand and upgrade infrastructure to attract new industry, support technology-led development, redevelop Brownfield sites and provide eco-industrial development.

Eligibility:

- An Eligible Applicant that is a non-profit organization must include in its application for Investment Assistance a resolution passed by (or a letter signed by) an authorized representative of a general purpose political subdivision of a State, acknowledging that it is acting in cooperation with officials of such political subdivision.

Contact:

- Point of Contact: Willie C. Taylor, Regional Director
Telephone: (215)597-4603
Fax: (215)597-1063 fax
Email: Wtaylor@eda.doc.gov
The Curtis Center, Suite 140 South
601 Walnut Street
Philadelphia, PA 19106-3821



State Section I

New York State Chief Information Officer/Office For Technology (CIO/OFT)

CIO/OFT is a New York State agency that provides statewide technology direction and centralized technology policies and services to other New York State government entities. The agency's main focus is to maintain mission critical IT infrastructure and applications and identify operational cost-efficiencies in government. These operational efficiencies will later be implanted. Through their main objective, CIO/OFT makes it a priority to have available funding to pursue universal broadband initiatives for applicants.

1) New York State Universal Broadband Access Grant Program

- The State of New York is seeking broadband grant proposals to accomplish increasing the physical access to broadband Internet services and to provide equal and universal access to broadband Internet services for underserved urban and rural areas.

Additional Information:

- It is the intent of the State to seek public-private partnerships that maximize state-provided funding to the greatest extent possible with matched funds. While not mandated, the desired objective is a 4:1 match ratio, where the eligible applicant guarantees \$4 will be provided for each \$1 awarded by the State. Applicant commitments may be in the form of cash, in-kind goods and services or a combination of the two.
- Additionally, the State desires the grant monies be provided so upstate and downstate communities benefit from this program.

Eligibility:

- Eligible applicants for funding may include public and private entities and not-for-profit organizations.

Contact:

- NYS Department of Economic Development
Division 30 South Pearl Street Albany, New York 12245
Telephone: (518) 292-5250
Fax: (518) 473-0665
Website:
http://www.oft.state.ny.us/oft/UniversalBroadband/Universal_Broadband_Grant_RFP.pdf

State Section II

New York State Education Department:

New York State Library

The New York State Library is part of the Office of Cultural Education, within the New York State Education Department. The library serves three major constituencies.

The Research Library, which was established in 1818, collects, preserves, and makes available material that support State government work. The Library's collection, now numbering over 20 million items, may also be used by other researchers on-site, online and via interlibrary loan.

The Talking Book and Braille Library (TBBL) lends Braille and recorded books and magazines, and related equipment to residents of the 55 upstate counties of New York State who are unable to read printed materials because of a visual or physical disability

The Division of Library Development works in partnership with 73 library systems to bring library services to the millions of people who use New York's academic, public, school and special libraries. Library Development also administers State and Federal grant programs that provide aid for library services.

1) Library Services and Technology Act Grants to States, Native American Tribes, and Organizations That Primarily Serve and Represent Native Hawaiians

- This state-based program provides funds for a wide range of library services including installation of fiber and wireless networks that provide access to library resources and services.

Additional Information:

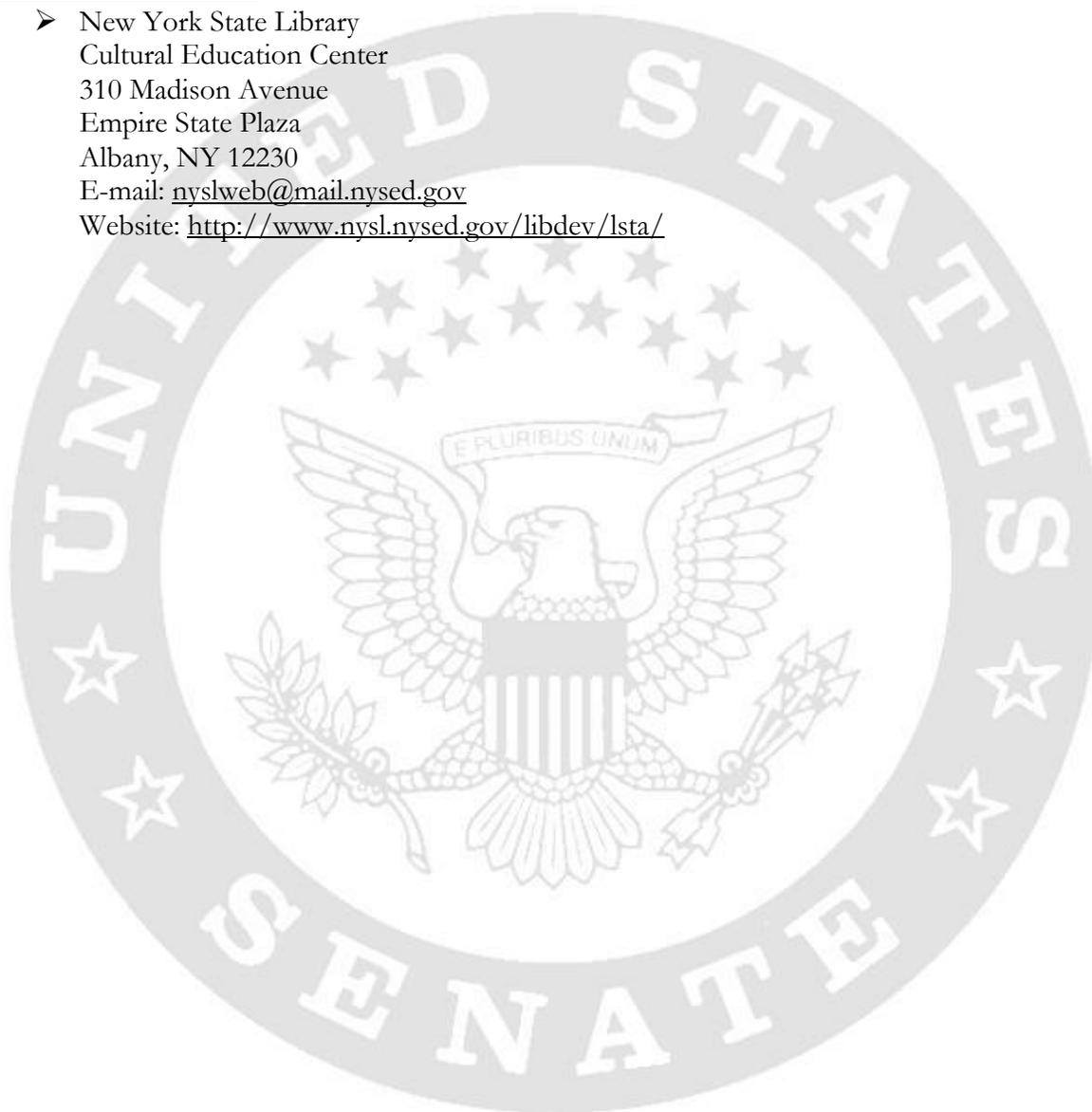
- The Library Services and Technology Act (LSTA), the major federal grant program for libraries, was passed September 25, 2003. Administered by the Institute of Museum and Library Services, it:
 - Have purposes which focus on information access through technology and information empowerment through special services.
 - Emphasizes public libraries, but encourages interlibrary cooperation and partnerships among all types of libraries.
 - Increases emphasis on accountability and evaluation.

Eligibility:

- Public, elementary, secondary and charter school libraries are encouraged to apply. Moreover academic libraries, library consortiums, special library located at support government agencies are eligible for funding.

Contact Information:

- New York State Library
Cultural Education Center
310 Madison Avenue
Empire State Plaza
Albany, NY 12230
E-mail: nyslweb@mail.nysed.gov
Website: <http://www.nysl.nysed.gov/libdev/lsta/>



Letters of Support from Senator Gillibrand

While Senator Gillibrand does NOT decide which organizations are awarded grants, there are instances in which it is appropriate for the Senator Gillibrand to write a letter of support for an application. If you wish to request a letter of support for your application, you must supply Senator Gillibrand with the following:

1. A description of your organization,
2. Summary of the application,
3. a description of what the money will be used for, and
4. a draft letter of support

Please forward this information to the nearest regional office:

Capitol District

Senator Kirsten E. Gillibrand
Leo W. O'Brien Federal Office Building
1 Clinton Square
Room 821
Albany, NY 12207
Tel: (518) 431-0120
Fax: (518) 431-0128

New York City

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780 Third Avenue
Suite 2601
New York, New York 10017
Tel. (212) 688-6262
Fax (212) 688-7444

Buffalo/Western New York

Senator Kirsten E. Gillibrand
Larkin at Exchange
726 Exchange Street, Suite 511
Buffalo, NY 14210
Tel: (716) 854-9725
Fax: (716) 854-9731

North Country

Senator Kirsten E. Gillibrand
PO Box 273
Lowville, NY 13367
Tel. (315) 376-6118
Fax (315) 376-6118

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Senator Kirsten E. Gillibrand
155 Pinelawn Road
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Fax: (631) 249-2847

Rochester Region

Senator Kirsten E. Gillibrand
Kenneth B. Keating Federal Office Building
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Fax (585) 263-6247

Syracuse/Central New York

Senator Kirsten E. Gillibrand
James M. Hanley Federal Building
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