

A Guide to Education and Library Funding Opportunities and Incentives

How to Navigate the Funding Process

U.S. Senator Kirsten E. Gillibrand

New York



2011

**Note: This document will be continuously updated as information becomes available.*

Table of Contents

Introduction.....	4
Section I: Academic Improvement.....	5
1) 21 st Century Community Learning Centers	5
2) Small Rural School Achievement	5
3) Improving Teacher Quality State Grants	6
4) Smaller Learning Communities Program	7
5) Teaching American History Grant Program	7
6) Full Service Community Schools Program	8
7) 2010-2013 Extended School Day/School Violence Prevention	9
Section II: Civics	10
1) Civic Education: Cooperative Civic Education Program and Economic Exchange Program.....	10
Section III: Disadvantaged Persons	11
1) Improving Basic Programs Operated By Local Education Agencies (Title I, Part A)	11
Section IV: Reading	12
1) Early Reading First.....	12
2) Even Start.....	12
3) Improving Literacy through School Libraries	13
Section V: Safe and Drug Free Schools.....	14
1) Elementary and Secondary School Counseling Programs.....	14
2) School Dropout Prevention Program.....	14
3) Grants to Reduce Alcohol Abuse.....	15
4) Readiness and Emergency Management for Schools.....	15
5) Carol M White Physical Education Program.....	16
Section VI: School Improvement.....	17
1) Impact Aid Section 8007 Discretionary Construction Grant Program.....	17
Section VII: Libraries	18
1) The Federal Library Services and Technology Act (LSTA) Grants Program in New York State	18
2) The New York State Program for the Conservation and Preservation of Library Research Materials	18
Section IX: Federal Incentives	19
United States Department of Agriculture - Rural Development	19
1) Community Facilities Loan and Grant Program.....	19
Environmental Protection Agency	19
1) People, Prosperity and the Planet Awards.....	19
United States Department of Housing and Urban Development (HUD)	20
1) The Operation Lead Elimination Action Program.....	20
United States Department of Energy	20
1) State Energy Program.....	20
2) Energy Efficiency and Conservation Block Grant Program	21
3) Loan Guarantee Program	22
Section X: New York State Incentives	24
New York State Energy Research and Development Authority (NYSERDA)	24
1) The New Construction Program.....	24
2) Energy \$mart New Construction Program.....	24
3) Existing Facilities Program.....	25
4) PON 1050 Solar Electric Incentive Program	25
5) On Site Small Wind in New York —Cash Incentives Available.....	26
6) Clean Air School Bus Program	26
7) Collaborative for High Performance Schools (NY-CHPS)	27

New York State Education Department (NYSED)..... 27

1) 2009-2010 Joint Intervention Team (JIT) Grant Application: School Improvement Section 1003(g). 27

Section XI: Helpful Tips and Online Guides 29

1) Eliminating PCBs in schools: Protecting Students from Hidden Dangers..... 29

2) The New Guide to Operating and Maintaining EnergySmart Schools..... 29

3) School Energy Savings 29

Section XII: Tracking Federal Funds..... 30

Section XIII: Useful Websites..... 31

1) Tax Incentives Assistance Project (TIAP) 31

2) Environmental Protection Agency (EPA) Energy Star 31

3) Department of Energy (DOE) Financial Opportunities..... 31

4) U.S. Department of Energy Alternative Fuels and Advanced Vehicles Data Center (AFDC)..... 32

Letters of Support from Senator Gillibrand 33



Introduction

Dear Fellow New Yorker,

I would like to take the opportunity to provide you with important information about funding opportunities for Education-providing organizations. New York is an educationally-diverse state, possessing some of the most and least dense school districts in the nation. These unique settings each require innovative administration and support. Our government, on both the state and federal level, provides funding for competitive grants, which finance various programs in support of quality education in New York State.

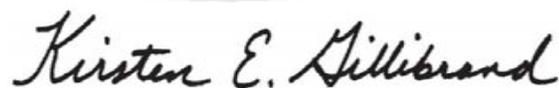
As I travel across New York and meet with different individuals, one thing is clear – many find that information about funding dispersed by the government for education agencies is difficult to decipher and access. As your Senator, I am working hard to provide you with as much information as possible to put your organization and agency in a position to compete for these funds. My office is committed to assisting you in accessing these funds.

This guide will provide you with important deadlines, contact information, and a brief overview of many grant programs. More detailed information for these programs can be found by visiting the internet links provided or contacting the rewarding agencies. In the following pages, I have provided contact phone numbers and emails for just this reason. This guide is by no means comprehensive and is meant to serve as a starting point for familiarization with the number and nature of the programs that exist.

My Senate website (www.Gillibrand.senate.gov) is continually updated with information about various funding opportunities that are available to you, how to access them and where to apply.

As your Senator, securing funding for New York is one of my priorities in Washington. I hope that you find this guide to be informative and helpful. As you move forward in the application process for these grant opportunities, please contact Abraham Hiatt, my Grants Director, for letters of support, where applicable. You can reach him in my Washington, D.C. Office at Grants@Gillibrand.Senate.gov, or (202)-224-4451.

Sincerely,



Kirsten E. Gillibrand

Section I: Academic Improvement

1) 21st Century Community Learning Centers

- This Program supports community learning centers that provide academic enrichment opportunities during nonschool hours for children, particularly students who attend high-poverty and low-performing schools.

Additional Information:

- The programs intends to help students meet state and local performance standards in core academic subjects, such as reading and math; offers students at broad array of enrichment activities to complement their regular academic programs; and offers literacy and other educational services to the families of participating children.

Eligibility:

State Education Agencies (SEAs), Local education agencies (LEAs), Community-based organizations (CBOs), and public and private entities may apply to states for sub-grants.

Contact Information:

- Peter Eldridge
U.S. Department of Education, OESE
21st-Century Community Learning Centers
Lyndon Baines Johnson Office Building
400 Maryland Ave. S.W., Rm. 3E247
Washington, DC 20202-6200
Phone: (800) 872-5327
Email: Peter.Eldridge@ed.gov
- New York State Contact Information:
Ms. Linda Woodward
Phone: 518-486-6801
E-mail: lwoodwar@mail.nysed.gov

2) Small Rural School Achievement

- The purpose of this program is to provide financial assistance to rural districts to assist them in meeting their state's definition of adequate yearly progress. Applicants do not compete but rather are entitled funds if they meet basic eligibility requirements.

Eligibility:

- Local Education Agencies (LEAs)
- An LEA is eligible for an award if:
 - The total number of students in average daily attendance (ADA) at all of the schools served by the LEA is fewer than 600, or each county in which at school served by the LEA is located has a total population density of fewer than 10 persons per square mile

- All of the schools served by the LEA are designated with a school locale code of 7 or 8 by the U.S. Department of Education's National Center for Education Statistics (NCES), or the secretary of education had determined, based on a demonstration by the LEA and concurrence of the state education agency (SEA), that the LEA is located in a different area defined as rural by a governmental agency of the state.

Contact Information:

- Eric Schultz
U.S. Department of Education, OESE
Lyndon Baines Johnson Office Building
400 Maryland Ave. S.W., Rm. 3W107
Washington, DC 20202-6400
Phone: 202-260-7349
Fax: 202-205-587
Email: Eric.Schulz@ed.gov

3) Improving Teacher Quality State Grants

- The purpose of the program is to increase academic achievement by improving teacher and principal quality. This program is carried out by: increasing the number of highly qualified teachers in classrooms; increasing the number of highly qualified principals and assistant principals in schools; and increasing the effectiveness of teachers and principals by holding LEAs and schools accountable for improvements in student academic achievement.

Eligibility:

- State Education Agencies (SEAs).
- Awards are made to SEAs that in turn make formula sub-grants to local education agencies (LEAs).
- State agencies for higher education (SAHEs) also receive a (separate) formula grant. SAHEs in turn award competitive grants to partnerships that must include at least one institution of higher education (IHE) and its division that prepares teachers and principals, and a school of arts and sciences IHE, and a high-need LEA.]

Contact Information:

- Elizabeth Witt
U.S. Department of Education, OESE
Academic Improvement and Teacher Quality Programs
Lyndon Baines Johnson Office Building
400 Maryland Ave. S.W., Rm. 3E306
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Phone: 202-260-9737
Fax: 202-260-8969
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4) Smaller Learning Communities Program

- The Smaller Learning Communities (SLC) program awards discretionary grants to local educational agencies (LEAs) to support the implementation of SLCs and activities to improve student academic achievement in large public high schools with enrollments of 1,000 or more students.

Additional Information:

- SLCs include structures such as freshman academies, multi-grade academies organized around career interests or other themes, "houses" in which small groups of students remain together throughout high school, and autonomous schools-within-a-school, as well as personalization strategies, such as student advisories, family advocate systems, and mentoring programs.

Eligibility:

- Local Education Agencies (LEAs).
- LEAs, on behalf of one or more large high schools (that includes grades 11 and 12 and enroll at least 1,000 students in grades 9 and above) may apply.

Contact Information:

- Angela Hernandez-Marshall
Team Leader Smaller Learning Communities High School Programs
U.S. Department of Education OESE
Lyndon Baines Johnson Office Building
400 Maryland Ave., SW., Rm 3E308
Washington, DC 20202-6200
Phone: 202-205-1909
Fax: 202-260-8969
E-mail: Angela.Hernandez-Marshall@ed.gov

5) Teaching American History Grant Program

- The program is designed to raise student achievement by improving teachers' knowledge and understanding of and appreciation for traditional U.S. history. Grant awards will assist LEAs, in partnership with entities that have content expertise, to develop, document, evaluate, and disseminate innovative and cohesive models of professional development.

Additional Information:

- By helping teachers to develop a deeper understanding and appreciation of U.S. history as a separate subject matter within the core curriculum, these programs will improve instruction and raise student achievement.

Eligibility:

- Local Education Agencies (LEAs).
- LEAs must apply in partnership with one or more of the following: institutions of higher education (IHEs), nonprofit history or humanities organizations, libraries, or museums.

Award Amount:

- 120-125 New Awards for FY 2010 averaging \$500,000 - \$2,000,000

Contact Information:

- Alex Stein
U.S. Department of Education, OII
Teaching American History
Lyndon Baines Johnson Office Building
400 Maryland Ave. S.W., Rm. 4W206
Washington, DC 20202-5960
Phone: 202-205-9085
Fax: 202-401-8466
E-mail: Alex.Stein@ed.gov

6) Full Service Community Schools Program

- The Fund for the Improvement of Education (FIE), which is authorized by Sec. 5411 of ESEA, supports nationally significant programs to improve the quality of elementary and secondary education at the state and local levels and help all children meet challenging academic content and achievement standards.

Additional Information:

- The Full-Service Community Schools program, which is being carried out under FIE, encourages coordination of education, developmental, family, health, and other services through partnerships between: (1) public elementary and secondary schools and (2) CBOs and public-private ventures. Such collaboration will provide comprehensive education, social, and health services for students, families, and communities.

Eligibility:

- Eligible applicants shall consist of a consortium of a local educational agency and 1 or more community-based organizations, non-profit organizations, or other public or private entities

Award Amount:

- 8-10 new awards in FY 2010, the amount of money allocated for this grant has yet to be determined.

Contact Information:

- Jill Staton
U.S. Department of Education, OII
Lyndon Baines Johnson Office Building
400 Maryland Ave. S.W., Rm. 4W245
Washington, DC 20202-5930
Phone: 202-401-2492
Fax: 202-205-5630
E-mail: Jill.Staton@ed.gov

7) 2010-2013 Extended School Day/School Violence Prevention Grants

A New York State Funding Opportunity

- The primary purpose of the Extended School Day/School Violence Prevention (ESD/SVP) competitive grant program is to provide support to students through extended school day and/or school violence prevention programs. The State Education Department (SED) anticipates funds to be appropriated in the 2010-11 New York State budget for grants to public school districts and not-for-profit organizations working in collaboration with public school districts on a competitive basis.

Additional Information:

- ESD programs include academic enrichment activities such as; tutoring in areas of reading, math and science, opportunities for participants in recreation, art, music, drama, student leadership development and community service.
- SVP programs help maintain a safe and secure school environment for students and school staff. SVP programs must be consistent with the district's school safety plan. Funds may be used for conflict resolution, peer mediation, diversity awareness, safe corridors programs and parent education.

Eligibility:

- Public school districts and not-for-profit organizations working in collaboration with a public school district(s) are eligible to apply.
- Priority will be given to applications that include high-need public school district(s) as defined by districts ranked 1-4 on the Need/Resource Capacity Category Index or have at least 50 LEP students.

Contact Information:

- New York State Education Department
89 Washington Avenue
Grants Management, Room 674 EBA
Albany, New York 12234

Section II: Civics

1) Civic Education: Cooperative Civic Education Program and Economic Exchange Program

- The purpose of this program is to: develop exemplary curricula and teacher training programs in civics, government, and economic education and make them available to educators from the United States and other eligible countries; assist eligible countries in the adaptation, implementation, and institutionalization of such programs.

Additional Information:

- Create and implement civics, government, and economic education programs for students that draw upon the experiences of participating eligible countries; and provide a means for the exchange of ideas and experiences in civics, government, and economic education among political, governmental, private sector, and education leaders of participating eligible countries.

Eligibility:

- Organizations in the United States experienced in the development of curricula and programs in civics and government education and economic education for students in elementary schools and secondary schools in countries other than the United States.

Award Amount:

- 1-2 Awards ranging from \$500,000-\$1,000,000

Contact Information:

- Rita Foy Moss
U.S. Department of Education, OSDFS
Potomac Center Plaza
550 12th St. S.W., Rm. 10006
Washington, DC 20202-6450
Phone: 202-245-7866
Fax: 202-485-0013
E-mail: Rita.Foy.Moss@ed.gov

Section III: Disadvantaged Persons

1) Improving Basic Programs Operated By Local Education Agencies (Title I, Part A)

- This program provides financial assistance to LEAs and schools with high numbers or high percentages of poor children to help ensure that all children meet challenging state academic standards. Federal funds are currently allocated through four statutory formulas that are based primarily on census poverty estimates and the cost of education in each state, as measured by each state's expenditures per elementary and secondary student.

Additional Information:

- Once a state's EFIG allocation is determined, funds are allocated (using a weighted count formula that is similar to Targeted Grants) to LEAs in which the number of poor children is at least 10 and at least 5 percent of the LEA's school-age population. LEAs target the Title I funds they receive to schools with the highest percentages of children from low income families.
- Unless a participating school is operating a school wide program, the school must focus Title I services on children who are failing, or most at risk of failing, to meet state academic standards. Schools in which poor children make up at least 40 percent of enrollment are eligible to use Title I funds for school wide programs that serve all children in the school. LEAs also must use Title I funds to provide academic enrichment services to eligible children.

Eligibility:

- State Education Agencies (SEAs)
- The U.S. Department of Education allocates all but 1 percent of appropriated funds to local education agencies (LEAs) through participating state education agencies (SEAs). The 1 percent is set aside for the secretary of the interior and the outlying areas (American Samoa, the Commonwealth of the Northern Mariana Islands, Guam, and the U.S. Virgin Islands). The secretary of the interior makes sub-grants to schools operated or funded by the Department of the Interior's Bureau of Indian Education, while the outlying areas receive formula grants.

Contact Information:

- Susan Wilhelm
U.S. Department of Education, OESE
Student Achievement and School Accountability Programs
Lyndon Baines Johnson Department of Education Building
400 Maryland Ave. S.W., Rm 3W202
Washington, DC 20202-6132
Phone: 202-260-0984
Fax: 202-260-7764
E-mail: Susan.Wilhelm@ed.gov

Section IV: Reading

1) Early Reading First

- The program supports the development of early childhood centers of excellence that focus on all areas of development, especially on the early language, cognitive, and pre-reading skills that prepare children for continued school success and that serve particularly children from low-income families.

Eligibility:

- Institutions of Higher Learning (IHEs), Local Education Agencies (LEAs), Nonprofit Organizations, and State Education Agencies (SEAs) are eligible to apply.

Award Amount:

- 30-35 Awards Anticipated averaging \$3,404,505

Contact Information:

- Tanielle Chandler
U.S. Department of Education, OESE
Early Reading First Program Academic Improvement and Teacher Quality Programs
Lyndon Baines Johnson Department of Education Building
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Fax: 202-260-8969
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2) Even Start

- This program offers grants to states for sub-grants that support local family literacy projects. Local projects integrate early childhood education, adult literacy (adult basic and secondary-level education and instruction for limited English proficient [LEP] individuals), parenting education, and interactive parent and child literacy activities for low-income families with parents who are eligible for services under the *Adult Education and Family Literacy Act (AEFLA)*.

Additional Information:

- Five percent of the annual appropriation is set aside for family literacy grants for migratory worker families, the outlying areas, and Indian tribes and tribal organizations. In addition, the U.S. Department of Education must award one grant to a project located in a women's prison; awarding this grant is a onetime, not an annual, requirement. Up to 3 percent is reserved for national evaluation and technical assistance.

Eligibility:

- State Education Agencies (SEAs)
- Awards are made to SEAs. SEAs make competitive sub-grants to partnerships between local education agencies (LEAs) and other public and private nonprofit organizations and agencies.
- Teen parents and their children from birth through age 7 also are eligible. All participating families must be those most in need of program services.

Award Amount:

- \$5,157,390 available for FY 2010

Contact Information:

- Mr. Melvin Graham
U.S. Department of Education, OESE
Academic Improvement and Teacher Quality Programs
Lyndon Baines Johnson Department of Education Building
400 Maryland Ave. S.W., Rm. 3E256
Washington, DC 20202-6200
Phone: 202-260-8268
Fax: 202-260-8969
E-mail: Melvin.Graham@ed.gov

3) Improving Literacy through School Libraries

- This program helps LEAs improve reading achievement by providing students with increased access to up-to-date school library materials; well-equipped, technologically advanced school library media centers; and professionally certified school library media specialists.

Eligibility:

- Local education agencies (LEAs) in which at least 20 percent of students served are from families with incomes below the poverty line may apply. Outlying areas (American Samoa, Guam, the Northern Mariana Islands, and the U.S. Virgin Islands) and the Bureau of Indian Affairs are eligible for funds under a set-aside.

Contact Information:

- Pilla Parker
U.S. Department of Education, OESE
Academic Improvement and Teacher Quality Programs
Lyndon Baines Johnson Federal Office Building
400 Maryland Ave S.W., Rm. 3E247
Washington, DC 20202-6200
Phone: 202-260-3710
Fax: 202-260-8969
E-mail: Pilla.Parker@ed.gov

Section V: Safe and Drug Free Schools

1) Elementary and Secondary School Counseling Programs

- This program provides funding to LEAs to establish or expand elementary and secondary school counseling programs, with special consideration given to applicants that can demonstrate the greatest need for counseling services in the schools to be served.

Additional Information:

- Applicants are encouraged to propose the most innovative and promising in the schools to be served to produce the greatest potential for replication and dissemination.

Eligibility:

- Local Education Agencies
- LEAs that currently do not have an active grant under this program may apply

Award Amount:

- 62 New Awards in FY 2010 averaging \$350,000

Contact Information:

- Loretta McDaniel
U.S. Department of Education, OSDFS
Potomac Center Plaza
550 12th St. S.W., Rm. 10080
Washington, DC 20202-6450
Phone: 202-245-7870
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E-mail: Loretta.McDaniel@ed.gov

2) School Dropout Prevention Program

- The purpose of the program is to support effective, sustainable, and coordinated dropout prevention and reentry programs in high schools with annual dropout rates that exceed their state average annual dropout rate. Middle schools that have students who continue on to these high schools also are supported.

Eligibility:

- Local Education Agencies (LEAs), State Education Agencies (SEAs)

Award Amount:

- 35 New Awards in FY 2010 averaging \$1,285,714

Contact Information:

- Theda Zawaiza
U.S. Department of Education
Office of Elementary and Secondary Education
Lyndon Baines Johnson Office Building
400 Maryland Ave., S.W., Rm. 3E122
Washington, DC 20202-6200
Phone: 202-205-3783
Fax: 202-260-8969
E-mail: Theda.Zawaiza@ed.gov

3) Grants to Reduce Alcohol Abuse

- This program assists LEAs in the development and implementation of innovative and effective alcohol abuse prevention programs for secondary school students. Up to 25 percent of funding may be reserved for grants to low-income and rural LEAs.

Eligibility:

- Local Education Agencies (LEAs) are eligible to receive funding through this program.

Award Amount:

- 9 New Awards in FY 2010 averaging \$350,000

Contact Information:

- Nicole White
U.S. Department of Education, OSDFS
Potomac Center Plaza Building
550 12th Street, S.W., Rm. 10002
Washington, DC 20202-6450
Phone: 202-245-7884
Fax: 202-485-0041
E-mail: Nicole.White@ed.gov

4) Readiness and Emergency Management for Schools

- This grant program supports efforts by LEAs to create, strengthen, and improve emergency management plans at the district and school-building levels, including training school personnel on emergency management procedures; communicating with parents about emergency plans and procedures; and coordinating with local law enforcement, public safety or emergency management, public health, and mental health agencies and local government.

Eligibility:

- Local Education Agencies (LEAs) are eligible to receive funding through this program.

Contact Information:

- Sara Strizzi
U.S. Department of Education, OSDFS
Potomac Center Plaza Building
550 12th Street, S.W., Rm. 10002
Washington, DC 20202-6450
Phone: 202-245-7884
Fax: 202-485-0041
E-mail: Sara.strizzi@ed.gov

5) Carol M White Physical Education Program

- The Carol M. White Physical Education Program provides grants to LEAs and community-based organizations (CBOs) to initiate, expand, or enhance physical education programs, including after-school programs, for students in kindergarten through 12th grade. Grant recipients must implement programs that help students make progress toward meeting state standards.

Eligibility:

- Local Education Agencies (LEAs), Other Organizations and/or Agencies.
- LEAs and community-based organizations may apply.

Award Amount:

- 100 New Awards in FY 2010 averaging \$350,000

Contact Information:

- Carlette Huntley
U.S. Department of Education, OSDFS
Potomac Center Plaza Building
550 12th Street, S.W., Rm. 10002
Washington, DC 20202-6450
Phone: 202-245-7871
Fax: 202-245-7166
E-mail: Carlette.Huntley@ed.gov

Section VI: School Improvement

1) Impact Aid Section 8007 Discretionary Construction Grant Program

- The Impact Aid Discretionary Construction Grant Program authorizes competitive grants for emergency repairs and modernization of school facilities to certain eligible local educational agencies (LEAs).

Additional Information:

- Impact Aid. Emergency repair grants must be used to repair, renovate, or alter a public elementary or secondary school facility to ensure the health, safety, and well being of students and school personnel.
- Modernization grants may be used to extend a public elementary or secondary school facility to ease overcrowding and provide facilities that support a contemporary educational program.

Eligibility:

- In general, to be eligible to apply for an emergency construction grant, an LEA must:
 - Enroll a high proportion (at least 40 percent) of federally connected children in average daily attendance (ADA) who reside on Indian lands or who reside on Federal property and have a parent on active duty in the U.S. uniformed services;
 - Have a school that enrolls a high proportion of one of these types of students; or
 - Be eligible for funding for heavily impacted LEAs under section 8003(b)(2).
- To be eligible to apply for a modernization construction grant, an LEA must:
 - Be eligible for Impact Aid funding in general;
 - Be eligible for funding for heavily impacted LEAs under section 8003(b)(2);
 - Enroll a high proportion (at least 40 percent) of federally connected children in ADA who reside on Indian lands or who reside on Federal property and have a parent on active duty in the U.S. uniformed services;
 - Have a school that enrolls a high proportion of one of these types of students; or
 - Be eligible for funding under section 8002 (payments for Federal property).

Contact Information:

- Catherine Schagh
Impact Aid Program
U.S. Department of Education
400 Maryland Ave., SW
Washington, DC 20202-6244
Phone: 202-260-3858
Fax: 202-205-0088
E-mail: Catherine.Schagh@ed.gov

Section VII: Libraries

1) The Federal Library Services and Technology Act (LSTA) Grants Program in New York State

- The Purpose of this grant is to update libraries and ensure that all New Yorkers have:
 - Reliable, electronic access to high-quality information resources through the expansion of NOVELny and through enhancement of libraries' technology capabilities to meet users' information needs.
 - All New Yorkers will have improved access to library resources and services that advance and enhance their personal, educational and working lives.
 - The New York State Library, library systems and libraries will deliver new and improved programs that anticipate and meet New Yorkers' constantly changing needs for library services.
 - The New York State Library, library systems, libraries and library organizations will strengthen public policy support for upgrading library services for every New Yorker through improved communication, collaboration and partnership efforts within and beyond the library community.

Eligibility:

- State and Local Libraries

Contact Information:

- Linda Todd
Phone: 518-486-4858
E-mail: mtodd@mail.nysed.gov
- <http://www.nysl.nysed.gov/libdev/lsta/index.html>

2) The New York State Program for the Conservation and Preservation of Library Research Materials

- The purposes of the Conservation/Preservation program are to encourage the proper care and accessibility of research materials, to promote the use of development of guidelines and technical standards for conservation/preservation work, and to support the growth of local and cooperative activities within the context of emerging national preservation programs

Eligibility:

Public Libraries, Academic Libraries, Historical Societies, Archives, Museum Libraries, Municipalities and other non-profit organizations

Contact Information:

- Barbara Lilley
Phone: 518-486-4864
E-mail: blilley@mail.nysed.gov
- All applications must be submitted through the online grant system. To apply, please visit: <http://www.nysl.nysed.gov/libdev/cp/>

Section IX: Federal Incentives

United States Department of Agriculture - Rural Development

1) Community Facilities Loan and Grant Program

- The Community Facilities program is designed to help local governments in rural areas of the country to provide essential facilities and services. Funding available through this program includes guaranteed loans, direct loans and grant funds. Grant funds may be used in combination with Community Facilities loan funds, local revenues or loans and grants from other sources.

Additional Information:

- USDA seeks to insure that public-use community facilities are readily available to all rural communities. These facilities include schools, libraries, childcare, hospitals, medical clinics, assisted living facilities, fire and rescue stations, police stations, community centers, public buildings and transportation.

Eligibility:

- Any city with a population of 20,000 or less qualifies as “rural” for the purposes of determining eligibility for Community Facilities loan or grant program assistance.

Contact Information:

- Website: http://www.dsireusa.org/gbi/incentivesearch.cfm?Incentive_Code=US40F
http://www.energystar.gov/index.cfm?c=tax_credits.tx_index#7
- Telephone: (888) 782-7937

Environmental Protection Agency (EPA)

1) People, Prosperity and the Planet Awards

- P3 represents *People, Prosperity and the Planet*. This competition is focused on benefiting people, promoting prosperity, and protecting the planet through innovative designs to address challenges to sustainability in both the developed and developing world.

Additional Information:

- The competition has two phases. Initially, interdisciplinary student teams compete for \$10,000 grants. Recipients use the money to research and develop their design projects during the academic year. Then, in the following spring, all P3 grant recipients attend the National Sustainable Design Expo featuring the EPA's P3 Award competition in Washington, D.C.
- A peer review panel evaluates all P3 applications based on problem definition; innovation and technical merit; connections to sustainability in terms of P3; measurable results,

evaluation method, implementation strategy; and integration of the P3 Award competition as an educational tool. Final funding decisions are made by EPA.

Eligibility:

- Institutions of higher education located in the U.S. are eligible to apply as the recipients of grants to support teams of undergraduate and/or graduate students. Collaboration and partnerships with colleges and universities outside the United States are permitted (and up to 40% of the grant can be contracted to an international partner), but only U.S. institutions are eligible to apply.

Contact Information:

- Ms. Cynthia Nolt-Helms
Email: nolt-helms.cynthia@epa.gov
Telephone: 202-343-9693.
- Application procedures and materials are summarized below. More details can be found at: <http://www.epa.gov/P3/>.

**United States Department of Housing and Urban Development
(HUD)**

1) The Operation Lead Elimination Action Program

- Assists grantees in creating wide-ranging programs to identify and control lead-based paint hazards in eligible privately owned housing by leveraging private sector funding. Applicants are not required to provide a match to participate, but receive higher points during review based on the amount of leveraged funds dedicated to the applicant's proposal.

Eligibility:

- Private sector, non-profit and for-profit organizations.

Contact Information:

- Bill Nellis
HUD Office of Healthy Homes and Lead Hazard Control
451 7th St. S.W., Room 8236
Washington, D.C. 20410
Email: William.C.Nellis@hud.gov
Telephone: (202) 402-7686

United States Department of Energy

1) State Energy Program

- The State Energy Program (SEP) provides grants to states and directs funding to state energy offices from technology programs in DOE's Office of Energy Efficiency and Renewable Energy. States use grants to address their energy priorities and program funding to adopt emerging renewable energy and energy efficiency technologies.

Additional Information:

- States often combine many sources of funding for their projects, including DOE and private industry. The State Energy Program plays a role when:
 - The state energy office is involved in the project,
 - The State Energy Program provides funding, or
 - The state uses petroleum violation escrow funds for part of the project and it is in the state's SEP plan.

Contact Information:

- [New York State Energy Research and Development Authority](#) Energy Efficiency Services
17 Columbia Circle
Albany, NY 12203-6399
Phone: 518-862-1090
Fax: 518-862-1091
- State Energy Office Director
Thomas Carey
SEO Director
Phone: 518-473-3845
Email: tcarey@nyserda.org
- State Energy Program Manager
Tom Barone
Director
Phone: 518-862-1090 x3320
Email: trb@nyserda.org

2) Energy Efficiency and Conservation Block Grant Program

- The Energy Efficiency and Conservation Block Grants (EECBG) Program, funded for the first time by the American Recovery and Reinvestment Act (Recovery Act) of 2009, represents a Presidential priority to deploy the cheapest, cleanest, and most reliable energy technologies we have - energy efficiency and conservation - across the country.

Additional Information:

- The Program, authorized in Title V, Subtitle E of the Energy Independence and Security Act (EISA) and signed into law on December 19, 2007, is modeled after the Community Development Block Grant program administered by the Department of Housing and Urban Development (HUD).
- It is intended to assist U.S. cities, counties, states, territories, and Indian tribes to develop, promote, implement, and manage energy efficiency and conservation projects and programs designed to reduce fossil fuel emissions and the total energy use of the eligible entities. This is planned to improve energy efficiency in the transportation, building, and other appropriate sectors.

Eligibility:

- Grants can be used for energy efficiency and conservation programs and projects community wide, as well as renewable energy installations on government buildings. Activities eligible for use of funds include:
 - Development of an energy efficiency and conservation strategy;
 - Building energy audits and retrofits, including weatherization;
 - Transportation programs to conserve energy;
 - Building code development, implementation, and inspections;
 - Installation of distributed energy technologies including combined heat and power and district heating and cooling systems;
 - Material conservation programs including source reduction, recycling, and recycled content procurement programs;

Contacts:

- <http://www.eecbg.energy.gov/>

3) Loan Guarantee Program

- The loan guarantee program has been authorized to offer more than \$10 billion in loan guarantees for energy efficiency, renewable energy and advanced transmission and distribution projects.

Additional Information:

- Title XVII of the federal EPA Act 2005 authorized the U.S. Department of Energy (DOE) to issue loan guarantees for projects that "avoid, reduce or sequester air pollutants or anthropogenic emissions of greenhouse gases; and employ new or significantly improved technologies as compared to commercial technologies in service in the United States at the time the guarantee is issued."
- The loan guarantee program has been authorized to offer more than \$10 billion in loan guarantees for energy efficiency, renewable energy and advanced transmission and distribution projects.

Eligibility:

- Eligible parties can be of many different types; considerations go more to the type of project than the organization involved.
- DOE will provide funding for Stand-alone projects that include the use of energy efficient models in school construction. Mostly, however, this program is aimed at brand new and extremely cutting-edge programs that function more as a test bed than anything else. Successful parties must consider the uniqueness of their project an important part.

Contact Information:

- Website: <http://www.lgprogram.energy.gov/features.html>
- Information on the program and due dates
<http://www.mcbeestrategic.com/documents/MSC%20Summary%20of%20July%202009%20LGP%20Solicitation.pdf>
Telephone: (202) 586-8336



Section X: New York State Incentives

New York State Energy Research and Development Authority (NYSERDA)

1) The New Construction Program

- The New Construction Program (NCP) can provide assistance to incorporate energy-efficiency measures into the design, construction, and operation of new and substantially renovated buildings. These measures are designed to save energy through reduced electric demand, and therefore reduce building operating costs.

Eligibility:

Two types of projects define eligibility:

- New Construction: defined as a new building, or space within a new building, for which a licensed professional architect or engineer has prepared and certified building plans, or
- Substantial Renovation: defined as one of the following types of projects where a licensed professional architect or engineer has prepared and certified building plans for a change of use and reconstruction of an existing building or space within.
- Additionally, it includes construction work of a nature requiring that the building or space within be out of service for at least 30 consecutive days; or reconstruction of a vacant structure or space within.

Contact Information:

- Website: http://www.nysERDA.org/Programs/New_Construction/default.asp
Telephone: 1- 866-697-3732
Point of Contact Information: Christopher Reohr

2) Energy \$mart New Construction Program

- The New York State Energy Research and Development Authority (NYSERDA) encourages the incorporation of energy efficiency and renewable-energy resources into the design, construction and operation of buildings through the Energy \$mart New Construction Program. Eligible applicants include K-12 schools, colleges and universities. Substantial renovations of existing buildings are also eligible for incentives under this program.

Additional Information:

- \$31 million is available to conduct technical assessments of energy-efficiency measures in building designs and to offset up to 75% of the incremental capital costs to purchase and install energy-efficient equipment.
- Incentive amounts for projects in Consolidated Edison's (ConEd) service territory are generally higher than incentive amounts for projects in other utilities' service territory.

Eligibility:

- This program is available to electric customers of Central Hudson Gas & Electric, Consolidated Edison, New York State Electric & Gas, National Grid, Orange and Rockland Utilities, and Rochester Gas and Electric Corporation. The program is funded by the New York's System Benefits Charge (SBC). Applicants for gas incentives must be served by ConEd and pay the monthly rate adjustment.

Contact Information:

- Website: http://www.dsireusa.org/gbi/incentivesearch.cfm?Incentive_Code=NY08F
- Residents and businesses interested in participating in this program should first contact NYSERDA at (866) 697-3732. NYSERDA can walk potential participants through the process, and help them to better understand the incentive and how they can benefit from it. Point of Contact Information: E. Stephen Finkle.

3) Existing Facilities Program

- The NYSERDA Existing Facilities program merges the former Peak Load Reduction and Enhanced Commercial and Industrial Performance programs. The new program offers a broad array of different incentives to electricity customers within the state that pay the System Benefits Charge (SBC).

Eligibility:

- Natural gas customers of Con Edison who pay the monthly rate adjustment (MRA) and small commercial customers of National Fuel Gas that use less than 12,000 Mcf per year are also eligible for certain incentives, which are detailed further at the websites listed below.

Contact Information:

- Website: http://www.dsireusa.org/gbi/incentivesearch.cfm?Incentive_Code=NY46F
http://www.nysERDA.org/programs/Existing_Facilities/default.html
Telephone: 1-866-NYSERDA or (518) 862-1090

4) PON 1050 Solar Electric Incentive Program

- The Public Service Commission (PSC) issued an Order, effective October 28, 2008, to reallocate funding to the Customer-Sited Tier of the Renewable Portfolio Standard. As part of that Order, the PSC authorized \$20.6 million for the solar photovoltaic (PV) category.
- The New York State Energy Research and Development Authority (NYSERDA) provides cash incentives for the installation of new Solar Electric or Photovoltaic (PV) systems by Eligible Installers. Incentives are granted on a first-come, first-served basis. Applications will be accepted through December 31, 2009, or until funds are fully committed, whichever comes first.

Eligibility:

- The cash incentives are available for qualified systems and customers, through applications submitted to the Program by an “Eligible Installer,” or contractor approved by the state. The cash incentives are paid directly to the Eligible Installer, but must be passed on in full to the customers.

Contact Information:

- A list of all Eligible Installers is available on NYSERDA’s website at <http://www.powernaturally.org/Programs/Solar/incentives.asp>
- Please call (518) 862-1090 for any questions about this program

5) On Site Small Wind in New York —Cash Incentives Available

- Cash incentives for new wind generation systems are available in New York. Incentive levels vary depending on the size of wind generation system, the tower height, and the class of customer. All customers must pay into the Systems Benefits Charge (SBC)/Renewable Portfolio Standard (RPS) The incentive level for schools is higher than the base incentive level; multiplication factors are provided below.

Eligibility:

- The cash incentives are available for qualified systems and customers, through applications submitted to the Program by an “Eligible Installer,” or contractor approved by the state. The cash incentives are paid directly to the Eligible Installer, but must be passed on in full to the customers.

Contact Information:

- <http://www.powernaturally.org/Programs/Wind/incentives.asp>
- NYSERDA's New York Energy \$martSM Wind Incentive Program phone: (518) 862-1090 or email info@nyserdera.org.

6) Clean Air School Bus Program

- The NYSERDA Clean Air School Bus Program exists to provide schools with funding to make their busses more efficient and clean. The program seeks to maximize the environmental, energy, and economic benefits of introducing emission-reduction technology for New York State diesel-fueled school buses. Money can be given both for emerging technology installation as well as EPA certified methods.

Eligibility:

- Eligible applicants include municipalities, school districts, State agencies, departments, and public authorities.

Contact Information:

- Visit <http://www.nyserdera.org/programs/transportation/AFV/cleanairschoolbus.asp> for more information.

7) Collaborative for High Performance Schools (NY-CHPS)

- As a partner of NYSERDA, the purpose of NY-CHPS is to provide a framework that helps school districts and their design teams design and build sustainable school buildings that enhance the educational environment and facilitate learning. High performance schools optimize resources over the life of the facility, are less expensive to operate than standard buildings, and help to ensure healthy, safe, and high quality learning environments for all occupants.

Additional Information:

- This is not a true granting program and rather provides guidelines on how to construct a new school along lines that will be beneficial in many ways, including in energy efficiency.

Eligibility:

- Any school district may engage with the program.

Contact Information:

- Visit <http://www.nys-cma.org/NY-CHPS%20September%202007.pdf>

New York State Education Department (NYSED)

1) 2009-2010 Joint Intervention Team (JIT) Grant Application: School Improvement Section 1003(g)

- The purpose of this grant is to support schools newly identified as Restructuring (year 1), Focused or Comprehensive, and Restructuring Advanced (this includes any school that is Restructuring (year 1) or Restructuring Advanced and also identified persistently lowest-achieving (PLA) to undertake a Joint Intervention Team (JIT) review.

Additional Information:

- The Joint Intervention Team will target the identified accountability measure(s) and subgroup(s) not making Adequate Yearly Progress (AYP) and :in Restructuring (year 1) schools- the root causes or contributing factors that are impeding the school from making Adequately Yearly Progress(AYP).

Eligibility:

- Each district with Title I schools newly identified in August 2009 as Restructuring (year 1)
- For a complete list of eligible schools please visit:
<http://www.emsc.nysed.gov/funding/2009-10jit/home.html#Eligibility>

Contact Information:

- Schools in New York City (NYC):
Ms. Sandra Norfleet
Phone: 718-722-2647
E-mail: snorflee@mail.nysed.gov
- Schools in the Rest of the State (ROS):
Ms. Sharon Holder
Phone: 518-474-5923 or Email: sholder@mail.nysed.gov



Section XI: Helpful Tips and Online Guides

1) Eliminating PCBs in schools: Protecting Students from Hidden Dangers

- The EPA recommends removing PCB containing ballasts as part of a complete lighting retrofit. A complete lighting retrofit includes removing old fluorescent tubes as well as ballasts, and replacing the *entire lighting fixture* with newer, more energy-efficient fixtures. Replacing the older ballasts with newer lighting technology improves lighting quality, distributing the light more uniformly and thus providing a more comfortable and productive learning environment. The newer lighting technology also increases energy efficiency which means cost savings for the school in the long term.
- The EPA's Energy Star program supports schools, businesses and organizations planning to install energy-efficient lighting technologies.
- These manuals are available on the Internet at www.energystar.gov.
More info: <http://www.epa.gov/region09/toxic/pcb/pdfs/pcbadmin.pdf>

2) The New Guide to Operating and Maintaining EnergySmart Schools

- The new Guide to Operating and Maintaining EnergySmart Schools is a primary resource for implementing a district or school-wide operations and maintenance (O&M) program that focuses on energy efficiency. The objective of this guide is to provide organizational and technical information for integrating energy and high-performance facility management into existing O&M practices. Written for school district management, facility managers, business officials, and administrators, the Guide helps users identify energy savings, develop an energy management plan, and address technical considerations.
- For more information and a list of action plans, please visit:
http://www1.eere.energy.gov/buildings/energysmartschools/o-and-m_guide.html

3) School Energy Savings

- Information on heating, cooling, lighting, and maintaining school and university facilities that results in energy efficiencies, compiled by the National Clearinghouse for Educational Facilities.
- For more information and a list of action plans, please visit:
<http://www.edfacilities.org/rl/energy.cfm>

Section XII: Tracking Federal Funds

- **USAspending.gov**, Office of Management and Budget (<http://www.usaspending.gov/>)
A free, searchable database of federal government spending based upon Census and General Services Administration statistical data. Grants (Assistance tab) and contracts must be searched separately: by individual organizing or grant recipient; by place of performance, including by congressional district; or by federal department or agency.
- **Federal Aid to States**, Census Bureau (<http://www.census.gov/prod/www/abs/fas.html>)
FAS details actual expenditures of federal grants funds to state and local governments. Figures are presented to the state level by program area and agency.
- **Consolidated Federal Funds Report**, Census Bureau (<http://www.census.gov/govs/www/cffr.html>)
In CFFR, grants generally represented obligations and include payments both to state and local governments and to nongovernmental recipients. Data are provided for state and country levels.

Section XIII: Useful Websites

1) Tax Incentives Assistance Project (TIAP)

- The Tax Incentives Assistance Project is a website that is sponsored by a number of government agencies, nonprofit groups, and other organizations. It is very informative with regards to federal tax incentives. Information is organized into categories for consumers, businesses, and builders/manufacturers. The site includes updates about enacted federal legislation and provides links to Internal Revenue Service (IRS) tax forms.

The Tax Incentives Assistance Project (TIAP), sponsored by a coalition of public interest nonprofit groups, government agencies, and other organizations in the energy efficiency field, is designed to give consumers and businesses information they need to make use of the federal income tax incentives for energy efficient products and technologies passed by Congress as part of the EPAct 2005 and subsequently amended several times.

Contact Information:

- Website: <http://www.energytaxincentives.org/>
Email address: tiap@aceee.org

2) Environmental Protection Agency (EPA) Energy Star

- The Environmental Protection Agency has a website with information on "Federal Tax Credits for Energy Efficiency." The page is organized into categories for consumers (home improvements, cars, solar energy, fuel cells), home builders, appliance manufacturers, and commercial buildings. The site includes a frequently asked questions (FAQ) section providing answers about energy efficiency tax credits.

Contact Information:

- Website: http://www.energystar.gov/index.cfm?c=products.pr_tax_credits
Telephone: (888) 782-7937

3) Department of Energy (DOE) Financial Opportunities

- The Department of Energy hosts a great amount of information on its website that is focused mainly on matching funds, grants, and financing. Information is organized into categories for consumers, business/industry/universities, inventors (small business), federal energy managers, states, and Native American tribes. The site includes a section on energy efficiency and consumer home financing.
- The Office of Energy Efficiency and Renewable Energy (EERE) works with business, industry, universities, and others to increase the use of renewable energy and energy efficiency technologies. One way EERE encourages the growth of these technologies is by offering financial assistance opportunities for their development and demonstration. In fiscal year 2007 alone, EERE awarded \$574 million in financial assistance.

Contact

- Website: <http://www1.eere.energy.gov/financing/>
Telephone: (877) 337-3463

4) U.S. Department of Energy Alternative Fuels and Advanced Vehicles Data Center (AFDC)

- This website presents information about incentives for alternative fuels (renewable fuels and others) and vehicles. A key link provides access to "State and Federal Incentives and Laws." Incentives covered include grants, tax credits, loans, rebates, regulatory exemptions, fuel discounts, and technical assistance. Information on state incentives is made available through a national map and through summary tables organized by type of incentive, regulation, technology/fuel, and user. The information about state incentives is updated after each state legislature's session ends. Information about federal incentives is updated after pertinent legislation is enacted into law. Another link provides access to "Laws and Incentives Enactment History."
- The Alternative Fuels and Advanced Vehicles Data Center (AFDC, formerly known as the Alternative Fuels Data Center) provides a wide range of information and resources to enable the use of alternative fuels (as defined by the [Energy Policy Act of 1992](#)), in addition to other petroleum reduction options such as advanced vehicles, fuel blends, idle reduction, and fuel economy. This site is sponsored by the U.S. Department of Energy's [Clean Cities](#) initiative.

Contact Information:

- Website: <http://www.eere.energy.gov/afdc/>
Telephone: (877)-337-3463

Letters of Support from Senator Gillibrand

While Senator Gillibrand does NOT decide which organizations are awarded grants, there are instances in which it is appropriate for the Senator Gillibrand to write a letter of support for an application. If you wish to request a letter of support for your application, you must supply Senator Gillibrand with the following:

1. A description of your organization,
2. Summary of the application,
3. a description of what the money will be used for, and
4. a draft letter of support

Please forward this information to the nearest regional office:

Capitol District

Senator Kirsten E. Gillibrand
Leo W. O'Brien Federal Office Building
1 Clinton Square
Room 821
Albany, NY 12207
Tel: (518) 431-0120
Fax: (518) 431-0128

Buffalo/Western New York

Senator Kirsten E. Gillibrand
Larkin at Exchange
726 Exchange Street, Suite 511
Buffalo, NY 14210
Tel: (716) 854-9725
Fax: (716) 854-9731

Long Island

Senator Kirsten E. Gillibrand
155 Pinelawn Road
Suite 250 North
Melville, NY 11747
Tel: (631) 249-2825
Fax: (631) 249-2847

New York City

Senator Kirsten E. Gillibrand
780 Third Avenue
Suite 2601
New York, New York 10017
Tel. (212) 688-6262
Fax (212) 688-7444

North Country

Senator Kirsten E. Gillibrand
PO Box 273
Lowville, NY 13367
Tel. (315) 376-6118
Fax (315) 376-6118

Rochester Region

Senator Kirsten E. Gillibrand
Kenneth B. Keating Federal Office Building
100 State Street
Room 4195
Rochester, NY 14614
Tel. (585) 263-6250
Fax (585) 263-6247

Syracuse/Central New York

Senator Kirsten E. Gillibrand
James M. Hanley Federal Building
100 South Clinton Street
Room 1470
PO Box 7378
Syracuse, NY 13261
Tel. (315) 448-0470
Fax (315) 448-0476

Westchester County

Senator Kirsten E. Gillibrand
Tel. (914) 725-9294
Fax (914) 472-5073

Washington D.C.

Senator Kirsten E. Gillibrand
United States Senate
478 Russell Senate Office Building
Washington, DC 20510
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