

# Financial Disclosures

## Annual Report for Calendar 2014

**The Honorable Kirsten E Gillibrand (Gillibrand, Kirsten E.)**

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The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.
- I omitted assets because they meet the three-part test for exemption.

### Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **No**

### Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid
1	Self	Other (Advance)	Random House LLC New York, NY	\$275,000.00
2	Spouse	Salary	Port Imperial LLC New York, NY	> \$1,000

### Part 3. Assets

Did you, your spouse, or dependent child own any asset worth more than \$1000, have a deposit account with a balance over \$5,000, or receive income of more than \$200 from an asset? **Yes**

#	Asset	Asset		Income		
		Type	Owner	Value	Type	Income
1	<b>Citibank</b> (New York City, NY) Type: Checking,	Bank Deposit	Joint	\$1,001 - \$15,000	Interest,	None (or less than \$201)

#	<u>Asset</u>	<u>Asset</u> Type	<u>Owner</u>	<u>Value</u>	<u>Income</u> Type	<u>Income</u>
2	<b>Citibank</b> (New York City, New York) <i>Type:</i> Money Market Account, <i>Filer comment:</i> Simplified Employee Pension (SEP) Money Market	Bank Deposit	Spouse	\$1,001 - \$15,000	Interest,	None (or less than \$201)
3	<b>TrustCo</b> (Schenectady, New York) <i>Type:</i> Checking,	Bank Deposit	Joint	\$1,001 - \$15,000	Interest,	None (or less than \$201)
4	<b>United States Senate Federal Credit Union</b> (Washington, DC) <i>Type:</i> Checking, Money Market Account, Savings,	Bank Deposit	Self	\$100,001 - \$250,000	Interest,	None (or less than \$201)
5	<b>Windcrest LLC Stock, Medical Services, Bethesda, MD</b>	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)

**Part 4a. Periodic Transaction Report Summary**

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you. Have you filed any paper-based PTRs in this period? **No**

**Part 4b. Transactions**

Did you, your spouse, or dependent child buy, sell, or exchange an asset that exceeded \$1,000? **No**

**Part 5. Gifts**

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

**Part 6. Travel**

Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel? **Yes**

#	Date(s)	Traveler(s)	Travel		Reimbursed For	Who Paid	Comment
			Type	Itinerary			

#	Date(s)	Traveler(s)	Type	Itinerary	Reimbursed For	Who Paid	Comment
1	09/07/2014 to 09/10/2014	Self	Outside activity	DC to NYC to DC	Commercial Coach Airfare, Meals, Lodging	Penguin Random House New York, NY	
2	09/11/2014	Self	Outside activity	NYC to DC	Commercial Coach Airfare, Meals	Penguin Random House New York, NY	
3	09/14/2014 to 09/15/2014	Self	Outside activity	DC to Boston to NYC to DC	Commercial Coach Airfare, Meals, Lodging, Car Service	Penguin Random House New York, NY	
4	09/16/2014	Self	Outside activity	DC to Philadelphia	Car Service, Lodging	Penguin Random House New York, NY	
5	09/17/2014	Self	Outside activity	NYC to DC	Commercial Coach Airfare, Meals, Lodging	Penguin Random House New York, NY	
6	09/20/2014 to 09/23/2014	Self	Outside activity	DC to Nashville to Dallas to Chicago to NYC to DC	Commercial Coach Airfare, Meals, Lodging, Car Service	Penguin Random House New York, NY	
7	09/27/2014	Self	Outside activity	DC to Baltimore to Albany	Car Service, Train, Commercial Coach Airfare, Meals	Penguin Random House New York, NY	
8	10/01/2014	Self	Outside activity	DC to NYC	Commercial Coach Airfare, Meals, Lodging	Penguin Random House New York, NY	

#	Date(s)	Traveler(s)	Type	Itinerary	Reimbursed For	Who Paid	Comment
9	10/03/2014	Self	Outside activity	DC to Albany to DC	Commercial Coach Airfare, Car Service, Meals	Penguin Random House New York, NY	
10	10/05/2014	Self	Outside activity	Westchester, NY to Darien, CT	Car Service	Penguin Random House New York, NY	
11	10/08/2014 to 10/10/2014	Self	Outside activity	DC to San Francisco to DC	Commercial Coach Airfare, Meals, Car Service	Penguin Random House New York, NY	
12	10/14/2014 to 10/15/2014	Self	Outside activity	DC to Seattle to San Francisco to Denver	Commercial Coach Airfare, Meals, Lodging, Car Service	Penguin Random House New York, NY	
13	10/23/2014	Self	Outside activity	NYC to DC	Commercial Coach Airfare, Meals	Penguin Random House New York, NY	
14	11/05/2014	Self	Outside activity	DC to NYC	Commercial Coach Airfare, Meals, Lodging	Penguin Random House New York, NY	
15	11/21/2014	Self	Outside activity	DC to NYC	Commercial Coach Airfare, Meals	Penguin Random House New York, NY	

**Part 7. Liabilities**Did you, your spouse, or dependent child have a liability worth more than \$10,000 at any time? **No**

**Part 8. Positions**

Did you hold any outside positions during the reporting period? **No**

**Part 9. Agreements**

Did you have any reportable agreement or arrangement with an outside entity? **Yes**

#	Date	Parties Involved	Type	Status and Terms
1	Aug 2013	Random House Inc. - Ballantine Bantam Dell New York City, NY	Royalty Agreement	The agreement is to receive an advance and royalties based on usual and customary terms for the publication of book, titled "Off the Sidelines - Raise Your Voice, Change the World"

**Part 10. Compensation**

**If this is your first report, or you are a candidate** did you receive compensation of more than \$5,000 from a single source in the **two** prior years? **No**

**Attachments & Comments**

*No attachments added.*

*No comments added.*

*No private comments added.*