
A Guide to Tribal Funding

How to Navigate the Funding Process

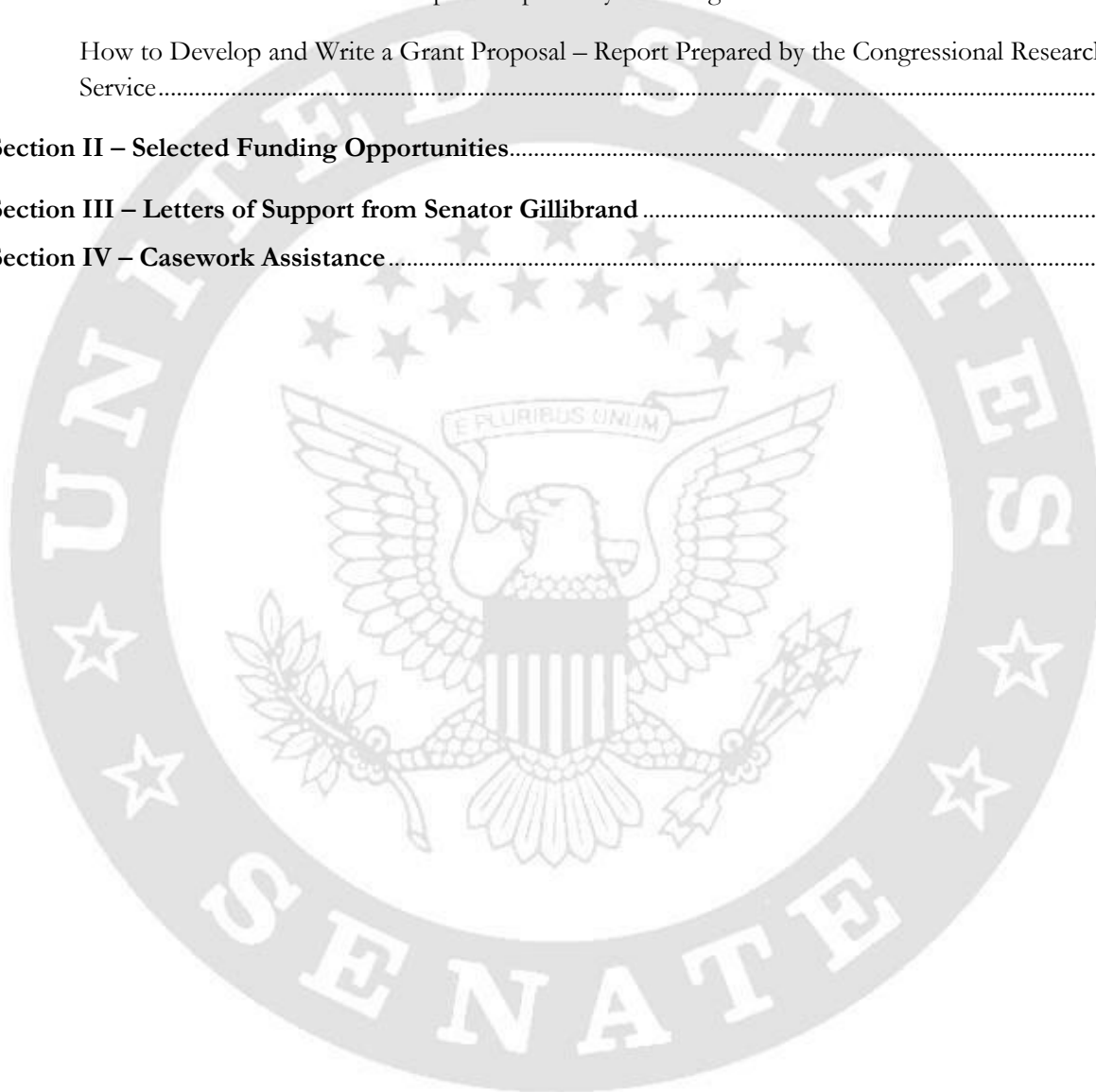
U.S. Senator Kirsten Gillibrand
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Section I – Resources for Grantseekers

Resources for Grantseekers – Report Prepared by the Congressional Research Service

Summary

Federal grants are intended to meet goals authorized by Congress and often target community needs. Federal grants are not guaranteed benefits or entitlements to individuals. Most federal grant funds go to state and local governments, which in turn may award funds as sub-awards to local entities, such as nonprofit organizations. Because of this, contacting federal departments and agencies, state-level grants administering agencies (SAAs), or both to discuss grant opportunities is likely to be an important step for many grantseekers.

However, due to limited purposes of grants and competition for available funding, grantseekers seeking government aid might need to search for funding options other than grants. For instance, individuals may be eligible for other kinds of benefits or assistance. Small businesses and students may be eligible for loans.

Sources that grantseekers need to identify federal grants are available from federal government websites for free. The Catalog of Federal Domestic Assistance (or "Assistance Listings" database) at SAM.gov describes more than 2,200 federal programs, more than half of them grants, and can be searched by keyword, department or agency, program title, beneficiary, and applicant eligibility. Federal department and agency websites provide additional information and guidance, and they provide state agency contacts, given that some federal grant opportunities may be administered by state-level agencies.

Once a program has been identified, eligible grantseekers may apply for grants at the website Grants.gov through a uniform process for many agencies (for state-administered federal grants, applicants may apply through the state-level agency). Through Grants.gov, grantseekers may identify when federal funding notices and deadlines for a program become available, sign up for email notification of funding opportunities, and track the progress of submitted applications.

Because government funds may be limited, sources of private and corporate foundation funding may be important to consider. Sources for nongovernmental funding are available online from organizations supporting grantseekers. For example, Candid (formerly the Foundation Center and GuideStar) is a clearinghouse for information about private, corporate, and community foundations, with publicly-accessible collections of resources in every state. These private, corporate, and community foundations often maintain their own websites with information for grantseekers.

This report provides a brief introduction to the grant process, describes key sources of information on government and private funding, and outlines eligibility for federal grants. This report also includes some sources for information on writing grant proposals.

Introduction

Hundreds of grants or loans for various purposes are available from federal departments and agencies. However, federal grants are not benefits or entitlements to individuals. Federal grants are authorized by Congress and are often intended for projects serving state, community, and local needs. Most federal funding goes to state and local governments, which in turn may make sub-awards to local entities, such as eligible nonprofit organizations.

Local governments seeking funds for community services, infrastructure, and economic revitalization may be eligible to tap into state or federal funds. Government funding may also be available for nonprofit organizations, including faith-based groups, for initiatives such as establishing food kitchens or after-school tutoring programs. For faith-based grant recipients, projects must benefit the community at large and not solely the groups' members or congregants.

For individuals seeking financial help (such as starting or expanding a small business, or educational assistance), benefits or loans may be available.

- Individuals looking for government benefits (such as for child or health care, housing or energy costs, disability or veterans' needs, or "living assistance") may find useful the website Benefits.gov at <http://www.benefits.gov>.
- Students seeking financial aid can search Student Aid on the web at <https://studentaid.ed.gov/sa/>.
- To start or expand a small business, the federal government provides help in the form of loans and advisory and technical assistance (such as business counseling). See the Small Business Administration (SBA) website at <http://www.sba.gov> to find programs and state or local SBA offices.

Groups seeking funding for projects need first to determine the most appropriate sources of funds. Because government funds may be limited, sources of private funding may also be important to consider. Regional and community foundations may be particularly interested in funding local projects; however, many projects may require a combination of government and private funding. Local business or private foundation funding might be appropriate for supporting local memorials or programs. Community fundraising may be more suitable for school enrichment activities, such as band or sports uniforms or field trips.

For eligible state and local governments and nonprofit organizations, identifying appropriate programs, and contacting federal and state agencies early in the process may be encouraged. Federal offices located in states or regions often handle federal grant applications and disbursement of funds. State government departments and agencies also fund projects and administer federal block grants.

Who Is Eligible for a Government Grant?

Many groups or organizations are eligible to apply for government grants; however, each individual grant program will have its own requirements for eligibility. Typically, most grantees fall into the following categories:

- Government Organizations
 - *State Governments*
 - *Local Governments*
 - *City or Township Governments*
 - *Special District Governments*
 - *Native American Tribal Governments (federally recognized)*
 - *Native American Tribal Governments (other than federally recognized)*
- Education Organizations
 - *Independent School Districts*
 - *Public and State Controlled Institutions of Higher Education*
 - *Private Institutions of Higher Education*
- Public Housing Organizations
 - *Public Housing Authorities*
 - *Indian Housing Authorities*
- Nonprofit Organizations
 - *Nonprofits having a 501(c)(3) status with the IRS, other than institutions of higher education*
 - *Nonprofits that do not have a 501(c)(3) status with the IRS, other than institutions of higher education*
- For-profit Organizations (other than small businesses)
- Individuals (such as Pell Grants for needy students)

Some constituents may have seen or heard media advertisements claiming federal grants are available to help them. However, the Federal Trade Commission (FTC), the nation's consumer protection agency, cautions grantseekers:

"Sometimes, it's an ad that claims you will qualify to receive a "free grant" to pay for education costs, home repairs, home business expenses, or unpaid bills. Other times, it's a phone call supposedly from a "government" agency or some other organization with an official sounding name. In either case, the claim is the same: your application for a grant is guaranteed to be accepted, and you'll never have to pay the money back.

The FTC warns that these "money for nothing" grant offers are usually misleading, whether you see them in your local paper, through the internet, or hear about them on television or receive a phone call. Grantseekers should beware of paying "processing fees" for information that is available free to the public. Ads claiming federal grants are available for home repairs, home business, unpaid bills, or other personal expenses are often scams.


Key Federal Sources

Catalog of Federal Domestic Assistance ("Assistance Listings") at SAM.gov (General Services Administration)

<https://sam.gov/content/assistance-listings>

Official descriptions of more than 2,200 federal assistance programs (including grants, loans, and other financial and nonfinancial assistance) can be found on SAM.gov. The website, produced by the General Services Administration (GSA), houses federal assistance listings previously found on the Catalog of Federal Domestic Assistance (CFDA) website. Each federal assistance program has a corresponding CFDA program number; these CFDA numbers are still used as numerical program identifiers. Programs are searchable at the "Assistance Listings" domain at SAM.gov; descriptions are updated by departments and agencies, and they cover authorizing legislation, objectives, and eligibility and compliance requirements.

About 1,800 assistance programs are classified as grants. Assistance listing descriptions include the following:

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- federal agency administering a program;
 - legislation authorizing the program;
 - objectives and goals of program;
 - types of financial or nonfinancial assistance;
 - uses and restrictions;
 - eligibility requirements;
 - application and award process;
 - criteria for selecting proposals;
 - amount of obligations for some past and current fiscal years;
 - range and average of financial assistance;
 - regulations, guidelines, and literature relevant to a program;
 - information contacts and headquarters, regional, and local offices;
 - related programs;
 - examples of funded projects;
 - formula and matching requirements, where applicable; and
 - requirements for post-assistance reports.

Grants.gov and FedConnect

<http://www.grants.gov>

<https://www.fedconnect.net>

After grantseekers identify federal programs in SAM.gov and contact agencies (see section below), they may be directed to register and apply at websites such as Grants.gov or FedConnect when application announcements for competitive grants become available. The websites allow grantseekers to register and download applications for current competitive funding opportunities from all 26 federal grants-making agencies. Grantseekers themselves can check on notices of funding availability (NOFAs) or requests for proposals (RFPs); sign up to receive email notification of grant opportunities; and apply for federal grants online through a unified process. The sites also guide grantseekers in obtaining Dun and Bradstreet (DUNS) numbers and registering with the System for Award Management (SAM); both are required for all federal grants (follow instructions at <http://www.grants.gov/web/grants/applicants/organization-registration.html>).

One significant limitation to Grants.gov and FedConnect.net is the exclusion of state-level federal grant program information. For example, Grants.gov provides information only about the funding opportunities for *primary* grant recipients. However, federal grant funds may first be received by a state government (a "primary" recipient) and then passed through to the local level as sub-awards to more local sub-recipients. Thus, a local grantseeker would not be able to access information on Grants.gov about the possibility of receiving federal sub-grants from a state-level agency.

To download and submit an application from Grants.gov or FedConnect, registration is required. The sites provide a narrated tutorial on how to complete a grant application package and a Frequently Asked Questions (FAQs) page. Once an application is submitted, grants applicants can then track progress of their application using their unique ID and password. Applications can be identified by CFDA number, funding opportunity number, competition ID, or tracking number.

Federal Contacts in States and State Administering Agencies

Much of the federal grant budget moves to the states through formula and block grants. State, regional, and local federal offices often handle grants applications and funds disbursement. Each federal agency has its own procedures: applicants should contact the department or agency in question before applying for funding to obtain the most up-to-date information.

After eligible local governments and nonprofit organizations have identified appropriate programs, they may be encouraged to contact federal and state agencies early in the process and before submitting formal applications. Some agencies may also offer pre-application trainings for grant programs, to provide prospective applicants with information on the federal application process. State-located federal offices may handle federal grant applications and disbursement of funds. State government departments and agencies administer federal formula and block grants, and also fund projects.

State Administering Agencies or Contacts

Many federal grants, such as formula and block grants, are awarded directly to state governments, which then set priorities for funding and allocate sub-funds within that state. For more information on how a state intends to distribute federal formula funds, grantseekers can contact the State Administering Agency (SAA). State government agencies are familiar with federal program requirements, can assist local governments and nonprofit organizations with proposals, and can provide other guidance.

Many federal department and agency websites include SAAs, and often the site will have an interactive U.S. map. Grantseekers can click on their state and obtain program and state contact information. A selection of some executive department websites includes the following:

- Agriculture Rural Development State Contacts
<https://www.rd.usda.gov/page/state-offices>
- National Endowment for the Arts (NEA) Partners
<https://www.arts.gov/state-and-regional-arts-organizations>
- Education (ED) State Contacts
<http://www2.ed.gov/about/contacts/state/index.html>

- Energy (DOE) Efficiency & Renewable Energy in My State
<http://apps1.eere.energy.gov/states/>
- Environmental Protection Agency (EPA) Grant Regional Office
<https://www.epa.gov/grants/regional-grants-information>
- Federal Emergency Management Agency (FEMA) State Offices and Agencies
<https://www.fema.gov/grants/preparedness/state-administrative-agency-contacts>
- Health and Human Services (HHS), Social Services Block Grants State Officials & Program Contacts
<https://www.acf.hhs.gov/ocs/resource/ssbg-contacts>
- Homeland Security (DHS) State Homeland Security Contacts
<https://www.dhs.gov/state-homeland-security-and-emergency-services>
- Housing and Urban Development (HUD) State/Local Offices
https://www.hud.gov/program_offices/field_policy_mgt/localoffices
- National Endowment for the Humanities (NEA) State Councils
<https://www.neh.gov/about/state-humanities-councils>
- Office of Justice Programs (OJP) State Administering Agencies
<https://www.ojp.gov/funding/state-administering-agencies/overview>
- Labor (DOL) Employment and Training Administration, State and Local Contacts
<https://www.doleta.gov/regions/>
- Small Business Administration
<https://www.sba.gov/local-assistance/find?type=SBA%20District%20Office&pageNumber=1>
- Transportation, Federal Transit Administration (FTA) Regional Offices
<https://www.transit.dot.gov/about/regional-offices/regional-offices>
- Veterans Affairs State/Territory Offices
https://www.va.gov/about_va/state-dva-offices.asp

State Single Point of Contact (Office of Management and Budget)

<https://www.whitehouse.gov/wp-content/uploads/2020/04/SPOC-4-13-20.pdf>

Many states require federal grants applicants to submit a copy of their application for state government review and comment, and many (but not all) have designated a state Single Point of Contact (SPOC). The state offices listed here coordinate government grants development and may provide guidance to grantseekers.

Related Federal Sources

A-Z Index of U.S. Government Departments and Agencies (General Services Administration)

<https://www.usa.gov/federal-agencies/a>

To better develop a grant proposal, search a department or agency's home page to learn more about its programs and objectives. The site also includes the following:

- Government Benefits, Grants and Loans
<https://www.usa.gov/benefits-grants-loans>
- Businesses and Nonprofits
<https://www.usa.gov/business>

Links to federal department and agency information and services, including information on starting a nonprofit organization.

OMB Circulars (Office of Management and Budget)

<https://www.whitehouse.gov/omb/information-for-agencies/circulars/>

OMB establishes government-wide grants management policies and guidelines through circulars and common rules. OMB circulars are cited in SAM.gov Assistance Listing program descriptions.

Circulars target grants recipients and audit requirements for educational institutions, state and local governments, and nonprofit organizations.

Private, Corporate, and Additional Funding Sources

Candid (formerly the Foundation Center and GuideStar)

<https://candid.org/>

Information gateway to the grant seeking process, private funding sources (including national, state, community, and corporate foundations), guidelines on writing a grants proposal, addresses of libraries in every state with grants reference collections, and links to other useful internet websites.

Candid maintains a comprehensive database on foundation grantsmanship, publishes directories and guides, conducts research and publishes studies in the field, and offers a variety of training and educational seminars. Free information on the website includes the following:

- Introduction to Finding Grants
<https://learning.candid.org/training/courses/introduction-to-finding-grants/>
- Foundation Finder
<https://fconline.foundationcenter.org/welcome/quick-start>
- Introduction to Proposal Writing Short Course
<https://learning.candid.org/training/introduction-to-proposal-writing/>
- Over 200+ articles on frequently asked questions, searchable by topic
<https://learning.candid.org/resources/knowledge-base/>
- Foundation Center Funding Information Network (a national network of cooperating library collections in each state, with print and electronic resources available free to the public)
<https://candid.org/find-us> Nonprofit Startup Resources
<https://learning.candid.org/resources/nonprofit-startup-resources/>

Community Foundations Locator (Council on Foundations)

<http://www.cof.org/community-foundation-locator>

Community foundations may be particularly interested in funding local projects and maintain diverse grants programs.

Funding Sources: Funding State by State (Grantsmanship Center)

<http://tgci.com/funding-sources>

The website provides listings by state of top grantmaking, community, and corporate foundations that grantseekers might consider in identifying likely sources of private foundation funding.

Grants and Related Resources (Michigan State University Libraries)

<https://libguides.lib.msu.edu/grants>

The site provides government and private grants resources, primarily internet, by subject or group categories. Web pages include the following:

- Researching Potential Funders
<https://libguides.lib.msu.edu/c.php?g=96743&p=769466>

Grants for Nonprofits

<https://libguides.lib.msu.edu/c.php?g=96743&p=622545>

Grant Proposal Writing Websites

A number of websites provide guidance, tips, and sample proposals. Websites that may be useful include the following:

- Grants and Grant Writing: Examples of RFPs and Grant Proposals (University of California, Santa Barbara Library)
<http://guides.library.ucsb.edu/content.php?pid=61909&sid=455330>
- Introduction to Proposal Writing Short Course
<https://learning.candid.org/training/introduction-to-proposal-writing/>
- Tips on Writing a Grant Proposal (Environmental Protection Agency)
<https://www.epa.gov/ports-initiative/tips-successful-grant-application>
- Grants 101: Write the Proposal (Department of Justice)
<https://www.ojp.gov/funding/grants101/write-proposal>
- Writing a Successful Grant Proposal (Minnesota Council on Foundations)
<https://www.mcf.org/writing-successful-grant-proposal>

How to Develop and Write a Grant Proposal – Report Prepared by the Congressional Research Service

Summary

This report is intended for Members and staff assisting grant seekers in districts and states and covers writing proposals for both government and private foundation grants. In preparation for writing a proposal, the report first discusses preliminary information gathering and preparation, developing ideas for the proposal, gathering community support, identifying funding resources, and seeking preliminary review of the proposal and support of relevant administrative officials.

The second section of the report covers the actual writing of the proposal, from outlining of project goals, stating the purpose and objectives of the proposal, explaining the program methods to solve the stated problem, and how the results of the project will be evaluated, to long-term project planning, and, finally, developing the proposal budget.

The last section of the report provides a listing of free grants-writing websites, including guidelines from the Catalog of Federal Domestic Assistance and the Foundation Center's "Introduction to Proposal Writing."

Developing a Grant Proposal Preparation

A well-formed grant proposal is one that is carefully prepared, thoughtfully planned, and concisely packaged. The potential applicant generally seeks first to become familiar with all of the pertinent program criteria of the funding institution. Before developing a proposal, the potential applicant may refer to the information contact listed in the agency or foundation program description to learn whether funding is available, when applicable deadlines occur, and the process used by the grantor agency or private foundation for accepting applications.

Grant seekers should know that the basic requirements, application forms, information, and procedures vary among grant-making agencies and foundations. Federal agencies and large foundations may have formal application packets, strict guidelines, and fixed deadlines with which applicants must comply, whereas smaller foundations may operate more informally and even provide assistance to inexperienced grantseekers. However, the steps outlined in this report generally apply to any grant-seeking effort.

Individuals without prior grant proposal writing experience may find it useful to attend a grantsmanship class or workshop. Applicants interested in locating workshops or consulting more resources on grantsmanship and proposal development should consult the internet sites listed at the end of this report and explore other resources in their local libraries.

Local governments may obtain grant writing assistance from a state's office of Council of Governments (CSG) or Regional Council. The primary mission of CSG is to promote and strengthen state government in the federal system by providing staff services to organizations of state officials. Grassroots or small faith-based nonprofit organizations can seek the help and advice of larger, more seasoned nonprofit organizations or foundations in their state.

Developing Ideas for the Proposal

The first step in proposal planning is the development of a clear, concise description of the proposed project. To develop a convincing proposal for project funding, the project must fit into the philosophy and mission of the grant-seeking organization or agency; and the need that the proposal is addressing must be well documented and well-articulated. Typically, funding agencies or foundations will want to know that a proposed activity or project reinforces the overall mission of an organization or grant seeker, and that the project is necessary. To make a compelling case, the following should be included in the proposal:

- nature of the project, its goals, needs, and anticipated outcomes;
- how the project will be conducted;
- timetable for completion;
- how best to evaluate the results (performance measures);
- staffing needs, including use of existing staff and new hires or volunteers; and
- preliminary budget, covering expenses and financial requirements, to determine what funding levels to seek.

When developing an idea for a proposal, it is also important to determine if the idea has already been considered in the applicant's locality or state. A thorough check should be made with state legislators, local government, and related public and private agencies which may currently have grant awards or contracts to do similar work. If a similar program already exists, the applicant may need to

reconsider submitting the proposed project, particularly if duplication of effort is perceived. However, if significant differences or improvements in the proposed project's goals can be clearly established, it may be worthwhile to pursue federal or private foundation assistance.

Community Support

For many proposals, community support is essential. Once a proposal summary is developed, an applicant may look for individuals or groups representing academic, political, professional, and lay organizations which may be willing to support the proposal in writing. The type and caliber of community support is critical in the initial and subsequent review phases. Numerous letters of support can influence the administering agency or foundation. An applicant may elicit support from local government agencies and public officials. Letters of endorsement detailing exact areas of project sanction and financial or in-kind commitment are often requested as part of a proposal to a federal agency. Several months may be required to develop letters of endorsement, since something of value (e.g., buildings, staff, services) is sometimes negotiated between the parties involved. Note that letters from Members of Congress may be requested once a proposal has been fully developed and is ready for submission.

While money is the primary concern of most grantseekers, thought should be given to the kinds of nonmonetary contributions that may be available. In many instances, academic institutions, corporations, and other nonprofit groups in the community may be willing to contribute technical and professional assistance, equipment, or space to a worthy project. Not only can such contributions reduce the amount of money being sought, but evidence of such local support is often viewed favorably by most grant-making agencies or foundations.

Many agencies require, in writing, affiliation agreements (a mutual agreement to share services between agencies) and building space commitments prior to either grant approval or award. Two useful methods of generating community support may be to form a citizen advisory committee or to hold meetings with community leaders who would be concerned with the subject matter of the proposal. The forum may include the following:

- discussion of the merits of the proposal;
- development of a strategy to create proposal support from a large number of community groups, institutions, and organizations; and
- generation of data in support of the proposal.

Identifying Funding Resources

Once the project has been specifically defined, the grant seeker needs to research appropriate funding sources. Both the applicant and the grantor agency or foundation should have the same interests, intentions, and needs if a proposal is to be considered an acceptable candidate for funding. It is generally not productive to send out proposals indiscriminately in the hope of attracting funding. Grant-making agencies and foundations whose interest and intentions are consistent with those of the applicant are the most likely to provide support. An applicant may cast a wide, but targeted, net. Many projects may only be accomplished with funds coming from a combination of sources, among them federal, state, or local programs and grants from private or corporate foundations.

The best funding resources are now largely on the internet. Key sources for funding information include the federal government's *Assistance Listings* at <https://beta.sam.gov>, and the Foundation Center, <http://www.foundationcenter.org>, the clearinghouse of private and corporate foundation funding. For a summary of federal programs and sources, see CRS Report RL34012, *Resources for Grantseekers*, by Maria Kreiser and other CRS reports on topics such as community or social services block grants to states, rural development assistance, federal allocations for homeland security, and other funding areas.

A review of the government or private foundation's program descriptions' objectives and uses, as well as any use restrictions, can clarify which programs might provide funding for a project. When reviewing individual beta.SAM.gov Assistance Listing program descriptions, applicants may also target the related programs as potential resources. Also, the kinds of projects the agency or foundation funded in the past may be helpful in fashioning a grant proposal. Program listings at beta.SAM.gov Assistance Listings or foundation information will often include examples of past funded projects.

Many federal grants do not go directly to the final beneficiary, but are awarded through "block" or "formula" grants to state or local agencies which, in turn, distribute the funds (called "pass-through"). States may post funding opportunities and subaward grants originating in federal formula or block grant allocations. Grantseekers should look on state government sites for these funding opportunities—each state handles subawarding differently.

There are many types of foundations: national, family, community, corporate, etc. For district or community projects, as a general rule, it is a good idea to look for funding sources close to home, which are frequently most concerned with solving local problems. Corporations, for example, tend to support projects in areas where they have offices or plants. Most foundations only provide grants to nonprofit organizations (those registered by the Internal Revenue Service as having 501(c) tax-exempt status), though the Foundation Center publishes information about foundation grants to individuals.

Once a potential grantor agency or foundation is identified, an applicant may contact it and ask for a grant application kit or information. Federal agencies may refer applicants to the website Grants.gov (<http://www.grants.gov>). Later, the grant seeker may ask some of the grantor agency or foundation personnel for suggestions, criticisms, and advice about the proposed project. In many cases, the more agency or foundation personnel know about the proposal, the better the chance of support and of an eventual favorable decision.

Federal agencies are required to report funding information as funds are approved, increased, or decreased among projects within a given state depending on the type of required reporting. Also, grant seekers may consider reviewing the federal budget for the current and future fiscal years to determine proposed dollar amounts for particular budget functions.

The grant seeker should carefully study the eligibility requirements for each government or foundation program under consideration (see, for example, the Criteria for Applying and Compliance Requirements sections of the beta.SAM.gov Assistance Listing program description). Federal department and agency websites generally include additional information about their programs. Beta.SAM.gov Assistance Listing program descriptions and websites include information contacts. Applicants should direct questions and seek clarification about requirements and deadlines

from the contacts. The applicant may learn that he or she is required to provide services otherwise unintended such as a service to particular client groups, or involvement of specific institutions. It may necessitate the modification of the original concept in order for the project to be eligible for funding. Questions about eligibility should be discussed with the appropriate program officer.

For federal grants, funding opportunities notices appear on websites such as Grants.gov at <http://www.grants.gov> or FedConnect at <https://www.fedconnect.net>. Applicants can search and sign up for email notification of funding opportunities, and download applications packages. To submit applications, registration is required. The grantseeker must also obtain Dun and Bradstreet (DUNS) and register with System for Award Management (SAM): Grants.gov provides instructions and links. Deadlines for submitting applications are often not negotiable, though some federal programs do have open application dates (refer to the beta.SAM.gov program description). For private foundation funding opportunities, grant seekers should contact foundations or check the Foundation Center's website for daily postings of Requests for Proposals (RFPs) at <http://foundationcenter.org/findfunders/fundingsources/rfp.html>. Specified deadlines are usually associated with strict timetables for agency or foundation review. Some programs have more than one application deadline during the fiscal or calendar year. Applicants should plan proposal development around the established deadlines.

Getting Organized to Write the Proposal

The grant seeker, after narrowing the field of potential funders, may want to approach the most likely prospects to confirm that they might indeed be interested in the project. Many federal agencies and foundations are willing to provide an assessment of a preliminary one- or two-page concept paper before a formal proposal is prepared. The concept paper should give a brief description of the needs to be addressed, who is to carry out the project, what is to be accomplished, by what means, how long it will take, how the accomplishments will be measured, plans for the future, how much it will cost, and the ways this proposal relates to the mission of the funding source.

Developing a concept paper is excellent preparation for writing the final proposal. The grant seeker should try to see the project or activity from the viewpoint of the grant-making agency or foundation. Like the proposal, the concept paper should be brief, clear, and informative. It is important to understand that from the funder's vantage point, the grant is not seen as the end of the process, but only as the midpoint. The funder will want to know what will happen to the project once the grant ends. For example, will it be self-supporting or will it be used as a demonstration to apply for further funding? Will it need ongoing support, for how long, and what are the anticipated outcomes?

If the funding source expresses interest in the concept paper, the grant seeker can ask for suggestions, criticism, and guidance, before writing the final proposal.

Feedback and dialogue are essential elements to a successful funding proposal.

Throughout the proposal writing stage, an applicant may want to keep notes on ideas and related materials for review. The gathering of documents such as articles of incorporation, tax exemption certificates, and bylaws should be completed, if possible, before the writing begins.

At the end of this report, useful websites cover proposal writing, give sample grant proposals (including a template for writing a proposal), and link to federal program information and grants management circulars.

Writing an Effective Grant Proposal

Overall Considerations

An effective grant proposal has to make a compelling case. Not only must the idea be a good one, but so must the presentation. Things to be considered include the following:

- All of the requirements of the funding source must be met: prescribed format, necessary inclusions, deadlines, etc.
- The proposal should have a clear, descriptive title.
- The proposal should be a cohesive whole, building logically, with one section leading to another; this is an especially important consideration when several people have been involved in its preparation.
- Language should be clear and concise, devoid of jargon; explanations should be offered for acronyms and terms which may be unfamiliar to someone outside the field.
- Each of the parts of the proposal should provide as brief but informative a narrative as possible, with supporting data relegated to an appendix.

At various stages in the proposal writing process, the proposal should be reviewed by a number of interested and disinterested parties. Each time it has been critiqued, it may be necessary to rethink the project and its presentation. While such revision is necessary to clarify the proposal, one of the dangers is that the original excitement of those making the proposal sometimes gets written out. Somehow, this must be conveyed in the final proposal. Applicants are advised: make it interesting!

Basic Components of a Proposal

The basic sections of a standard grant proposal include the following:

1. cover letter
2. proposal summary or abstract
3. introduction describing the grant seeker or organization
4. problem statement (or needs assessment)
5. project objectives
6. project methods or design
7. project evaluation
8. future funding
9. project budget

Cover Letter

The one-page cover letter should be written on the applicant's letterhead and should be signed by the organization's highest official. It should be addressed to the individual at the funding source with whom the organization has dealt, and should refer to earlier discussions. While giving a brief outline of the needs addressed in the proposal, the cover letter should demonstrate a familiarity with the mission of the grantmaking agency or foundation and emphasize the ways in which this project contributes to these goals.

Proposal Summary: Outline of Project Goals

The grant proposal summary outlines the proposed project and should appear at the beginning of the proposal. It could be in the form of a cover letter or a separate page, but should definitely be brief—no longer than two or three paragraphs.

The summary should be prepared after the grant proposal has been developed in order to encompass all the key points necessary to communicate the objectives of the project. It is this document that becomes the cornerstone of the proposal, and the initial impression it gives will be critical to the success of the venture. In many cases, the summary will be the first part of the proposal package seen by agency or foundation officials and could very possibly be the only part of the package that is carefully reviewed before the decision is made to consider the project any further. When letters of support are written, the summary may be used as justification for the project. The summary should include a description of the applicant, a definition of the problem to be solved, a statement of the objectives to be achieved, an outline of the activities and procedures to be used to accomplish those objectives, a description of the evaluation design, plans for the project at the end of the grants, and a statement of what it will cost the funding agency. It may also identify other funding sources or entities participating in the project.

For federal funding, the applicant should develop a project which can be supported in view of the local need. Alternatives, in the absence of federal support, should be pointed out. The influence of the project both during and after the project period should be explained. The consequences of the project as a result of funding should be highlighted, for example, statistical projections of how many people might benefit from the project's accomplishments.

Introduction: Presenting a Credible Applicant

In the introduction, applicants describe their organization and demonstrate that they are qualified to carry out the proposed project—they establish their credibility and make the point that they are a good investment, in no more than a page. Statements made here should be carefully tailored, pointing out that the overall goals and purposes of the applicant are consistent with those of the funding source. This section should provide the following:

- A brief history of the organization, its past and present operations, its goals and mission, its significant accomplishments, any success stories.
- Reference should be made to grants, endorsements, and press coverage the organization has already received (with supporting documentation included in the appendix).
- Qualifications of its professional staff, and a list of its board of directors.
- Indicate whether funds for other parts of the project are being sought elsewhere; such evidence will strengthen the proposal, demonstrating to the reviewing officer that all avenues of support have been thoroughly explored.
- An individual applicant should include a succinct resume relating to the objectives of the proposal (what makes the applicant eligible to undertake the work or project?).

Problem Statement or Needs Assessment

This section lays out the reason for the proposal. It should make a clear, concise, and well-supported statement of the problem to be addressed, from the beneficiaries' viewpoint, in no more than two pages.

The best way to collect information about the problem is to conduct and document both a formal and informal needs assessment for a program in the target or service area. The information provided should be both factual and directly related to the problem addressed by the proposal. Areas to document are as follows:

- Purpose for developing the proposal.
- Beneficiaries—who are they and how will they benefit.
- Social and economic costs to be affected.
- Nature of the problem (provide as much hard evidence as possible).
- How the applicant or organization came to realize the problem exists, and what is currently being done about the problem.
- Stress what gaps exist in addressing the problem that will be addressed by the proposal.
- Remaining alternatives available when funding has been exhausted. Explain what will happen to the project and the impending implications.
- Most important, the specific manner through which problems might be solved. Review the resources needed, considering how they will be used and to what end.

One of the pitfalls to be avoided is defining the problem as a lack of program or facility (i.e., giving one of the possible solutions to a problem as the problem itself). For example, the lack of a medical center in an economically depressed area is not the problem—the problem is that poor people in the area have health needs that are not currently being addressed. The problem described should be of reasonable dimensions, with the targeted population and geographic area clearly defined. It should include a retrospective view of the situation, describing past efforts to ameliorate it, and making projections for the future. The problem statement, developed with input from the beneficiaries, must be supported by statistics and statements from authorities in the fields. The case must be made that the applicant, because of its history, demonstrable skills, and past accomplishments, is the right organization to solve the problem.

There is a considerable body of literature on the exact assessment techniques to be used. Any local, regional, or state government planning office, or local university offering course work in planning and evaluation techniques should be able to provide excellent background references. Types of data that may be collected include historical, geographic, quantitative, factual, statistical, and philosophical information, as well as studies completed by colleges, and literature searches from public or university libraries. Local colleges or universities which have a department or section related to the proposal topic may help determine if there is interest in developing a student or faculty project to conduct a needs assessment. It may be helpful to include examples of the findings for highlighting in the proposal.

Project Objectives: Goals and Desired Outcome

Once the needs have been described, proposed solutions have to be outlined, wherever possible in quantitative terms. The population to be served, time frame of the project, and specific anticipated outcomes must be defined. The figures used should be verifiable. If the proposal is funded, the stated objectives will probably be used to evaluate program progress, so they should be realistic. There is literature available to help identify and write program objectives.

It is important not to confuse objectives with methods or strategies toward those ends. For example, the objective should not be stated as "building a prenatal clinic in Adams County," but as "reducing the infant mortality rate in Adams County to X percent by a specific date." The concurrent strategy or method of accomplishing the stated objective may include the establishment of mobile clinics that bring services to the community.

Program Methods and Program Design: A Plan of Action

The program design refers to how the project is expected to work and solve the stated problem. Just as the statement of objectives builds upon the problem statement, the description of methods or strategies builds upon the statement of objectives. For each objective, a specific plan of action should be laid out. It should delineate a sequence of justifiable activities, indicating the proposed staffing and timetable for each task. This section should be carefully reviewed to make sure that what is being proposed is realistic in terms of the applicant's resources and time frame. Outline the following:

1. The activities to occur along with the related resources and staff needed to operate the project ("inputs").
2. A flow chart of the organizational features of the project: describe how the parts interrelate, where personnel will be needed, and what they are expected to do. Identify the kinds of facilities, transportation, and support services required ("throughputs").
3. Explain what will be achieved through 1 and 2 above ("outputs"), that is, plan for measurable results. Project staff may be required to produce evidence of program performance through an examination of stated objectives during either a site visit by the grantor agency or foundation, and/or grant reviews which may involve peer review committees.
4. It may be useful to devise a diagram of the program design. Such a procedure will help to conceptualize both the scope and detail of the project.

Example:

Draw a three-column block. Each column is headed by one of the parts (inputs, throughputs, and outputs), and on the left (next to the first column) specific program features should be identified (i.e., implementation, staffing, procurement, and systems development). In the grid, specify something about the program design, for example, assume the first column is labeled inputs and the first row is labeled staff. On the grid one might specify under inputs five nurses to operate a child care unit. The throughput might be to maintain charts, counsel the children, and set up a daily routine; outputs might be to discharge 25 healthy children per week.

5. Carefully consider the pressures of the proposed implementation, that is, the time and money needed to undertake each part of the plan. Wherever possible, justify in the narrative the course of action taken. The most economical method should be used that does not compromise or

sacrifice project quality. The financial expenses associated with performance of the project will later become points of negotiation with the government or foundation program staff. If everything is not carefully justified in writing in the proposal, after negotiation with the grantor agencies or foundations, the approved project may resemble less of the original concept.

A Program Evaluation and Review Technique (PERT) chart could be useful and supportive in justifying some proposals. Larger projects can easily be laid out using commercial off-the-shelf project or grants management software. The software allows the project manager to construct a PERT chart that provides a graphical representation of all tasks in the project and the way tasks are related to each other. Such project manager software provides a variety of report formats that can be used to track project progress. The PERT chart and other related reports can be maintained on a network of computers so that all project participants can access the latest project information.

6. Highlight the innovative features of the proposal which could be considered distinct from other proposals under consideration.
7. Whenever possible, use appendixes to provide details, supplementary data, references, and information requiring in-depth analysis. These types of data, although supportive of the proposal, if included in the body of the proposal, could detract from its readability. Appendixes provide the proposal reader with immediate access to details if and when clarification of an idea, sequence, or conclusion is required. Time tables, work plans, schedules, activities, methodologies, legal papers, personal vitae, letters of support, and endorsements are examples of appendixes.

Evaluation: Product and Process Analysis

An evaluation plan should be a consideration at every stage of the proposal's development. Data collected for the problem statement form a comparative basis for determining whether measurable objectives are indeed being met, and whether proposed methods are accomplishing these ends; or whether different parts of the plan need to be fine-tuned to be made more effective and efficient.

Among the considerations will be whether evaluation will be done by the organization itself or by outside experts. The organizations will have to decide whether outside experts have the standing in the field and the degree of objectivity that would justify the added expense, or whether the job could be done with sufficient expertise by its own staff, without taking too much time away from the project itself.

Methods of measurement, whether standardized tests, interviews, questionnaires, observation, and so forth, will depend upon the nature and scope of the project. Procedures and schedules for gathering, analyzing, and reporting data will need to be spelled out.

The evaluation component is two-fold: (1) product evaluation and (2) process evaluation. "Product evaluation" addresses results that can be attributed to the project, as well as the extent to which the project has satisfied its stated objectives. "Process evaluation" addresses how the project was conducted, in terms of consistency with the stated plan of action and the effectiveness of the various activities within the plan.

Most federal agencies now require some form of program evaluation among grantees. The requirements of the proposed project should be explored carefully. Evaluations may be conducted by an internal staff member, an evaluation firm, or both. Many federal grants include a specific time frame for performance review and evaluation. For instance, several economic development programs require grant recipients to report on a quarterly and annual basis. In instances where there are no specified evaluation periods, the applicant should state the amount of time needed to evaluate, how the feedback will be disseminated among the proposed staff, and a schedule for review and comment. Evaluation designs may start at the beginning, middle, or end of a project, but the applicant should specify a start-up time. It is desirable and advisable to submit an evaluation design at the start of a project for two reasons:

- Convincing evaluations require the collection of appropriate baseline data before and during program operations; and
- If the evaluation design cannot be prepared at the outset, then a critical review of the program design may be advisable.

Even if the evaluation design has to be revised as the project progresses, it is much easier and cheaper to modify a good design. If the problem is not well defined and carefully analyzed for cause and effect relationships, then a good evaluation design may be difficult to achieve. Sometimes a pilot study is needed to begin the identification of facts and relationships. Often a thorough literature search may be sufficient.

Evaluation requires both coordination and agreement among program decisionmakers. Above all, the federal grantor agency's or foundation's requirements should be highlighted in the evaluation design. Grantor agencies may also require specific evaluation techniques such as designated data formats (an existing information collection system) or they may offer financial inducements for voluntary participation in a national evaluation study. The applicant should ask specifically about these points. In addition, for federal programs, consult the "Criteria for Applying" section of the beta.SAM.gov Assistance Listing program description to determine the exact evaluation methods to be required for a specific program if funded.

Future Funding

The last narrative part of the proposal explains what will happen to the program once the grant ends. It should describe a plan for continuation beyond the grant period, and outline all other contemplated fund-raising efforts and future plans for applying for additional grants. Projections for operating and maintaining facilities and equipment should also be given. The applicant may discuss maintenance and future program funding if program funds are for construction activity; and may account for other needed expenditures if the program includes purchase of equipment.

Budget Development and Requirements

Although the degree of specificity of any budget will vary depending upon the nature of the project and the requirements of the funding source, a complete, well-thought-out budget serves to reinforce the applicant's credibility and to increase the likelihood of the proposal being funded. The estimated expenses in the budget should build upon the justifications given in the narrative section of the proposal. A well-prepared budget should be reasonable and demonstrate that the funds being asked for will be used wisely. The budget should be as concrete and specific as possible in its estimates.

Every effort should be made to be realistic, to estimate costs accurately, and not to underestimate staff time.

The budget format should be as clear as possible. It should begin with a Budget Summary, which, like the Proposal Summary, is written after the entire budget has been prepared. Each section of the budget should be in outline form, listing line items under major headings and subdivisions. Each of the major components should be subtotaled with a grand total placed at the end. If the funding source provides forms, most of these elements can simply be filled into the appropriate spaces.

In general, budgets are divided into two categories: personnel costs and nonpersonnel costs. In preparing the budget, the applicant may first review the proposal and make lists of items needed for the project. The personnel section usually includes a breakdown of the following items:

- salaries (including increases in multiyear projects),
- fringe benefits such as health insurance and retirement plans, and
- consultant and contract services.

The items in the nonpersonnel section will vary widely, but may include

- space/office rental or leasing costs,
- utilities,
- purchase or rental of equipment,
- training to use new equipment, and
- photocopying, office supplies.

Some hard-to-pin-down budget areas are utilities, rental of buildings and equipment, salary increases, food, telephones, insurance, and transportation. Budget adjustments are sometimes made after the grant award, but this can be a lengthy process. The applicant should be certain that implementation, continuation, and phase-down costs can be met. Costs associated with leases, evaluation systems, hard/soft match requirements, audits, development, implementation and maintenance of information and accounting systems, and other long-term financial commitments should be considered.

A well-prepared budget justifies all expenses and is consistent with the proposal narrative. Some areas in need of an evaluation for consistency are as follows:

- Salaries in the proposal in relation to those of the applicant organization should be similar.
- If new staff persons are being hired, additional space and equipment should be considered, as necessary.
- If the budget calls for an equipment purchase, it should be the type allowed by the grantor agency.
- If additional space is rented, the increase in insurance should be supported.
- In the case of federal grants, if an indirect cost rate applies to the proposal, such as outlined by the Office of Management and Budget (OMB) in Circulars such as numbers A-122, A-21, and A-87 (see <https://www.whitehouse.gov/omb/information-for-agencies/circulars/>), the division between direct and indirect costs should not be in conflict, and the aggregate budget totals should refer directly to the approved formula.
- If matching funds are required, the contributions to the matching fund should be taken out of the budget unless otherwise specified in the application instructions.

In learning to develop a convincing budget and determining appropriate format, reviewing other grant proposals is often helpful. The applicant may ask government agencies and foundations for copies of winning grants proposals. Grants seekers may find the following examples of grants budgets helpful:

- How to Prepare a Grant Proposal Budget for a Nonprofit
<https://www.thebalancesmb.com/the-basics-of-preparing-a-budget-for-a-grant-proposal-2501952>
- GrantSpace: Examples of Nonprofit Budgets
<https://grantspace.org/resources/knowledge-base/budget-examples/>
- Introduction to Project Budgets
<https://grantspace.org/training/courses/introduction-to-project-budgets/>
- Sample Budget Form (National Endowment for the Humanities)
<https://www.neh.gov/grants/manage/organizations>

In preparing budgets for government grants, the applicant may keep in mind that funding levels of federal assistance programs change yearly. It is useful to review the appropriations and average grants or loans awarded over the past several years to try to project future funding levels: see "Financial Information" section of the beta.SAM.gov Assistance Listing program description for fiscal year appropriations and estimates; and "Range and Average of Financial Assistance" for prior years' awards. However, it is safer never to anticipate that the income from the grant will be the sole support for larger projects. This consideration should be given to the overall budget requirements, and in particular, to budget line items most subject to inflationary pressures. Restraint is important in determining inflationary cost projections (avoid padding budget line items), but the applicant may attempt to anticipate possible future increases.

For federal grants, it is also important to become familiar with grants management requirements. The beta.SAM.gov Assistance Listings database identifies in the program description OMB circulars applicable to each federal program. Applicants should review appropriate documents while developing a proposal budget because they are essential in determining items such as cost principles, administrative and audit requirements and compliance, and conforming with government guidelines for federal domestic assistance. OMB circulars are available in full text on the web at <https://www.whitehouse.gov/omb/information-for-agencies/circulars/>.

To coordinate federal grants to states, Executive Order 12372, "Intergovernmental Review of Federal Programs," was issued to foster intergovernmental partnership and strengthen federalism by relying on state and local processes for the coordination and review of proposed federal financial assistance and direct federal development. The executive order allows each state to designate an office to perform this function, addresses of which may be found at the OMB website at <https://www.whitehouse.gov/wp-content/uploads/2019/02/SPOC-February-2019.pdf>. States that are not listed on this web page have chosen not to participate in the intergovernmental review process. If the applicant is located within one of these states, he or she may still send application materials directly to a federal awarding agency.

Proposal Appendix

Lengthy documents that are referred to in the narrative are best added to the proposal in an appendix. Examples include letters of endorsement, partial list of previous funders, key staff resumes, annual reports, statistical data, maps, pictorial material, and newspaper and magazine articles about the organizations. Nonprofit organizations should include an IRS 501(c)(3) Letter of Tax Exempt Status.

Additional Proposal Writing Websites

GrantSpace, Knowledge Base, How do I write a grant Proposal?

<https://grantspace.org/resources/knowledge-base/grant-proposals/>

Introduction to Proposal Writing Short Course (Foundation Center)

<https://grantspace.org/training/courses/online-proposal-writing-course/>

Tips on Writing a Grant Proposal (Environmental Protection Agency)

<https://www.epa.gov/grants/tips-writing-competitive-grant-proposal-preparing-budget>

Writing a Successful Grant Proposal (Minnesota Council on Foundations)

<https://www.mcf.org/writing-successful-grant-proposal>

Writing a Winning Grant Proposal (Education Money)

http://www.educationmoney.com/federal_write_proposal.html



Section II – Selected Funding Opportunities

Bipartisan Infrastructure Law: Tribal Set Asides

The law provides more than \$13 billion in funding to directly support Tribal communities and makes Tribes eligible to apply for or request billions in discretionary, formula, and other funding. The Bipartisan Infrastructure Law (BIL) will deploy record investments to provide affordable high-speed internet, safer roads and bridges, modern wastewater and sanitation systems, clean drinking water, reliable and affordable electricity, and good paying jobs in every Tribal community.

Tribal Broadband Connectivity Grant Program

BIL allocates \$2 billion to Tribal governments, Tribal organizations, and Tribal colleges and universities for an existing grant program that invests in broadband infrastructure, distance learning, telehealth, and activities that promote access and adoption of these services. This infusion of funding through the Department of Commerce's National Telecommunications and Information Administration (NTIA) triples the Federal government's support for a critical program to connect Tribal communities to the internet. Though Tribal lands have access to other broadband funds—including in other provisions of the Bipartisan Infrastructure Law—these funds will give Tribal governments the flexibility to determine the best route to meet their communities' needs. Funds will be distributed through competitive grants that do not require matching funds. Interested parties must submit a formal application outlining their project proposal.

For more information, please click [here](#).

State Digital Equity Planning Grant Program

BIL provides \$60 million in grants to states, territories, Tribal governments, Alaska Native entities, and Native Hawaiian organizations through a new program at the Department of Commerce's NTIA. These funds are intended to be used to develop State/Territory/Tribal digital equity plans. At least five percent of these funds, and a portion of the State Digital Equity Capacity Funds, will be distributed to Tribal governments, Alaska Native entities, and Native Hawaiian organizations to support digital equity planning.

More information, including technical assistance, please click [here](#).

State Digital Equity Capacity Grant Program

BIL provides \$1.44 billion in grants to states, territories, Tribal governments, Alaska Native entities, and Native Hawaiian organizations through a new program at the Department of Commerce's NTIA. These funds can be used to advance digital equity plans, expand broadband adoption, or support technological literacy (funding to develop digital equity plans is described in the bullet above). At least five percent of funds, or more than \$70 million, will be distributed to Tribal governments, Alaska Native entities, and Native Hawaiian organizations.

More information, including technical assistance, please click [here](#).

Digital Equity Competitive Grant Program

BIL provides \$1.25 billion over five years through a new program at the Department of Commerce's NTIA. These grants go to projects that support the adoption and use of high-speed internet among underserved communities, including Tribal communities. Eligible applicants include Tribal governments, Alaska Native entities, and Native Hawaiian organizations who will receive at least \$62.5 million worth of awards.

More information, including technical assistance, please click [here](#).

Tribal Transportation Program

BIL includes nearly \$3 billion in funding for the Tribal Transportation Program. Funding is deployed through a number of vehicles toward transportation projects that increase safety, mobility, and access for Tribal communities. Federally-recognized Tribal governments are eligible to apply. Funds will be administered over five years, with additional guidance on eligible uses of funds will come in the next few months.

For more information, please click [here](#).

Tribal High Priority Projects Program

BIL sets aside \$45 million of Tribal Transportation Program funds over five years for a competitive grant program to fund a Tribe's highest priority transportation project, if other funds received under the Tribal Transportation Program are insufficient to cover total costs. Funds can also flow to Tribes that experience disasters that render transportation facilities unusable.

Tribal Transportation Facility Bridges

BIL sets aside a proportion of funds under the Bridge Formula Program for Tribal bridges. Over four years, the Department of Transportation will invest \$825 million in planning, designing, engineering, replacing, improving, or constructing bridges on Tribal lands. In addition, Tribal governments are also eligible for a \$200 million set-aside from the Bridge Investment Program to improve the condition, safety, efficiency, and reliability of bridges on the National Bridge Inventory.

For more information, please click [here](#).

Nationally Significant Federal Lands and Tribal Projects

BIL allocates \$275 million over five years to an existing program offered by the Department of Transportation. The funding goes toward construction, reconstruction, and rehabilitation of nationally significant projects within, adjacent to, or accessing Federal and tribal lands. All applicants eligible to receive funding under the Tribal Transportation Program—which includes Tribal governments—are eligible to apply. In addition, Tribal governments are eligible for 100% cost share.

For more information, please click [here](#).

Tribal Transit Program

BIL includes approximately \$230 million to the Public Transportation on Indian Reservations program. This includes over \$180 million to a formula grant issued to Federally recognized Tribes and Alaska Native Village for public transportation on Tribal lands, as well as more than \$45 million in competitive funding for the same purpose.

For more information, please click [here](#).

Railroad Crossing Elimination Program

BIL invests \$3 billion for making improvements to highway and pathway rail crossings. At least 20 percent of funds are reserved for projects in rural areas or on Tribal lands. For Fiscal Year 2022, \$600 million will be made available to states, Tribes, local governments, and regional/local transportation bodies.

For more information, please click [here](#).

Tribal Orphaned Well Grant Program

BIL invests nearly \$4.7 billion into direct Federal spending, grants to states and Tribes, and technical assistance for a new program to plug orphaned oil and gas wells. This includes a \$150 million program to plug, cap, and remediate orphan oil and gas wells on Tribal lands. These unplugged wells are harmful to both humans and our planet through contaminated water, methane emissions, and chemical leakage. Funds can be used for all stages of clean-up, including inventorying the number and scope of abandoned wells, removal of equipment, and site restoration. Application guidance is projected to be published later this year.

For more information, please click [here](#).

Tribal Climate Resilience - Community Relocation

BIL allocates \$130 million for Tribal governments to plan and implement managed retreat, expansion, protect-in-place, and relocation options for threats associated with the climate change and environmental degradation.

For more information, please click [here](#).

Tribal Climate Resilience – Adaptation Planning

BIL allocates \$86 million for Tribal governments to pursue climate-resilient planning. These funds can be deployed towards a variety of activities related to risk assessment, monitoring, and coastal management, among other eligible purposes.

For more information, please click [here](#).

Energy Efficiency and Conservation Block Grant

BIL funds a \$550 million investment in a new grant program at the Department of Energy for state, local, and Tribal governments to reduce their fossil fuel footprint and bolster conservation efforts. Funds can go toward a wide array of uses energy-efficient uses, ranging from standing up inspection services for efficiency certification to funding methane capture projects to installing renewable energy technology. The program sets aside 2% of funds—or \$11 million—exclusively for grants and technical assistance to Tribal applicants.

For more information, please click [here](#).

Electric Grid Resilience Programs

BIL provides funding under several programs for enhancing the resilience of the electrical grid. Under the Preventing Outages and Enhancing the Resilience of the Electric Grid Program, DOE allocates \$459 million annually via formula, over a period of five years, to states, territories, and Tribes to improve the resilience of the electric grid against disruptive events.

For more information, please click [here](#).

Hazardous Fuels Treatments

BIL appropriates up to a combined \$50 million to the Departments of Agriculture and Interior for implementation of the Tribal Forestry Protection Act. This funding will be used to support work on Forest Service or Bureau of Land Management land that poses a fire, disease, or other threat to Tribal forest land, rangeland or tribal communities.

For more information, please click [here](#).

Indian Health Service Sanitation Facilities Construction Program

BIL provides a total of \$3.5 billion to the Indian Health Service to address the projects on the IHS Sanitation Deficiency System list. This funding will support the infrastructure necessary to ensure a safe supply of drinking water, reliable sewage systems, and solid waste disposal facilities to promote high-quality health care and disease prevention in Tribal communities.

For more information, please click [here](#).

Indian Water Rights Settlement Completion Fund

BIL authorized \$2.5 billion to satisfy Federal obligations under Indian water rights settlements reached as of November 15th, 2022. This package includes funding for numerous settlements with various Tribes. The Department of Interior has already allocated \$1.7 billion in settlements.

Clean and Drinking Water State Revolving Funds

BIL issues \$43.4 billion in additional funding for several programs to promote safe, healthy, and clean drinking water through State Revolving Funds. Each of these EPA funding streams includes a 2% Tribal set-aside, meaning that Tribal governments are eligible to receive approximately \$868 million. The Tribal funding will be allocated as follows: \$234M for Clean Water SRF, \$234M for Drinking Water SRF, \$100M to address emerging contaminants in clean (\$20M) and drinking (\$80M) water, and \$300M in Lead Service Line Removal Funding. EPA Regions are responsible for working with the Indian Health Service (IHS) and the tribes, to identify, prioritize, and select projects to receive funding from its share of the program funds.

For more information, about the Tribal Drinking Water program please click [here](#).

For more information, about the Tribal Clean Water program please click [here](#).

Fish Passage Restoration

BIL apportions \$400 million for restoring fish passage. Of this, \$60 million is set aside for Tribes and partnerships with Tribes to remove in-stream barriers. Funds, which take the form of grants, cooperative agreements, and Federal spending, will be dispersed through the National Oceanic and Atmospheric Administration at the Department of Commerce. In addition, a separate program for fish passage at the Department of the Interior will deploy and additional \$200 million for similar projects.

For more information, please click [here](#).

Safety of Dams, Water Sanitation, And Other Facilities

BIL provides \$200 million to improve the safety of dams, water sanitation, and other facilities. These funds will be allocated to the Bureau of Indian Affairs and dispersed to Tribal governments to reduce the risk of dam failure.

For more information, please click [here](#).

Tribal Irrigation and Power Systems

BIL allocates \$50 million in funding to Tribal governments for deferred maintenance and deficiencies at seventeen irrigation projects on Tribal lands.

Underground Injection Control Grants

BIL provides \$50 million for a competitive grant program for states and Tribes to support efforts to safeguard drinking water sources from contamination. Seven percent of the overall UIC grant program is set aside for Tribes. BIL funding specifically targets support to wells used for carbon sequestration.

For more information, please click [here](#).

State and Local Cybersecurity Grant Program

BIL allocates \$1 billion toward mitigating cybersecurity risks and cybersecurity threats to governmental information security systems. Tribal governments—as well as states and territories—are eligible to apply for these grants. A base level of three percent—or \$30 million—of the overall grant funds are reserved for Tribal governments. In addition, BIL tasks the Department of Homeland Security with preparing a report and recommendations on Tribal government cybersecurity to Congress. Applications for these funds are projected to open in the third quarter of 2022.

For more information, please click [here](#)

Indian Environmental General Assistance Program (GAP)

The Environmental Protection Agency (EPA) provides General Assistance Program (GAP) grants to federally recognized tribes and tribal consortia for planning, developing and establishing environmental protection programs in Indian country, and for developing and implementing solid and hazardous waste programs on tribal lands.

The goal of GAP is to assist tribes and intertribal consortia in developing the capacity to manage their own environmental protection programs and to develop and implement solid and hazardous waste programs in accordance with individual tribal needs and applicable federal laws and regulations.

For more information, please click [here](#).

Contact: Grant Jonathan jonathan.grant@epa.gov or (212) 637-3843

Indian Community Development Block Grant (ICDBG) Program

The ICDBG Program provides eligible grantees with direct grants for use in developing viable Indian and Alaska Native Communities, including decent housing, a suitable living environment, and economic opportunities, primarily for low and moderate income persons. Projects funding by the ICDBG program must principally benefit low-and-moderate-income persons (24 CFR 1003.208).

The program regulations provide for two categories of grants, Single Purpose and Imminent Threat:

- **Single-Purpose Grants**

The Single-Purpose Grants are competitive grants that must principally benefit low- and moderate-income persons.

- **Imminent Threat Grants**

The Secretary of HUD may set aside up to \$4 million of each year's allocation for the noncompetitive, first come-first served, funding of grants to eliminate or lessen problems which pose an imminent threat to public health or safety of tribal residents.

For more information, please click [here](#).

Contact: 800-735-3239

Indian Housing Block Grant (IHBG) Program

The Indian Housing Block Grant (IHBG) program is the primary means by which the Federal Government fulfills its trust responsibilities to provide adequate housing to Native Americans and is the single largest source of Indian housing assistance. It is a formula grant that is distributed annually and funds affordable housing activities including new housing construction, rehabilitation, and housing services, and much more.

For more information, please click [here](#).

Indian Housing Block Grant (IHBG) Competitive Grant Program

The IHBG program allows eligible Indian tribes and tribally-designated housing entities (TDHEs) to receive grants to carry out a range of affordable housing activities. Grant funds may be used to develop, maintain, and operate affordable housing in safe and healthy environments on Indian reservations and in other Indian areas, and carry out other affordable housing activities. Grant funds must be used to primarily benefit low-income Indian families.

For more information, please click [here](#).

Contact: IHBGCompetitiveProgram@hud.gov or 800-735-3239

Recompete Pilot Program

The Recompete Pilot Program is an economic development initiative that will provide grant funding to distressed communities across the country to create, and connect workers to, good jobs and support long-term comprehensive economic development by helping to reduce the high, prime-age (25 to 54 years of age) employment gap.

The program employs EDA's place-based approach to economic development and seeks to address the unique challenges of an individual community or region. Tribal governments and lands are specifically identified as eligible recipients.

For more information, visit the Recompete Pilot Program website or email Recompete@eda.gov

Economic Recovery Corps

The Economic Development Administration's (EDA) new [Economic Recovery Corps](#) will create a connected network addressing the resource gap between planning and implementation, boosting the capacity of communities to implement locally driven economic development strategies. Through a \$30 million cooperative agreement, the ERC will recruit and place more than 65 trained Fellows in economic development organizations throughout the nation. Serving two-and-a-half year terms, Fellows will help organizations (known as Host Entities) develop and execute regional economic development plans and projects in the communities they serve.

Questions or comments about the Economic Recovery Corps should be addressed to networks@eda.gov.

Public Works and Economic Adjustment Assistance (PWEAA)

EDA's Public Works program helps distressed communities revitalize, expand, and upgrade their physical infrastructure. This program enables communities to attract new industry; encourage business expansion; diversify local economies; and generate or retain long-term, private-sector jobs and investment through the acquisition or development of land and infrastructure improvements needed for the successful establishment or expansion of industrial or commercial enterprises.

Disaster Supplemental

With the [Disaster Supplemental funding](#), EDA released a Notice of Funding Opportunity under which EDA will award investments in regions experiencing severe economic distress or other economic harm resulting from Hurricanes Ian and Fiona, and of wildfires, flooding, and other natural disasters occurring in calendar years 2021 and 2022. The projects will need to be consistent with EDA's Economic Adjustment Assistance (EAA) authority.

EAA is intended to be flexible and responsive to the economic development needs and priorities of local and regional stakeholders, including those seeking assistance recovering from federally declared disasters. EAA funds can be awarded to assist a wide variety of activities related to disaster recovery, including economic recovery strategic planning grants, and public works construction assistance. It is a flexible resource that responds adaptively to pressing economic issues and is well-suited to help address challenges faced by regions recovering from natural disasters. Through EAA, EDA can support both the development of disaster recovery strategies and the implementation of recovery projects identified with those strategies, including infrastructure improvements and by capitalizing revolving loan funds (RLFs).

During the consultation, EDA will provide a presentation on the statutory requirements of the Disaster Supplemental so Tribal leaders will know whether they are eligible to apply. We welcome feedback regarding this new program, and the design and implementation of any future disaster supplementals. Applicants can [apply here](#).

STEM Talent Challenge

The U.S Economic Development Administration's [STEM Talent Challenge](#) aims to build STEM talent training systems to strengthen regional innovation economies. The FY23 STEM Talent Challenge will award a total of \$4.5 million in grants to organizations that are creating and implementing STEM talent development strategies that complement their region's innovation economy. ([Click here to apply](#))

Projects should aim to identify opportunities in high-growth potential sectors, and to expand and empower the innovation economy workforce, including by:

- Engaging regional entrepreneurs, innovators, and the organizations that support them to assess and forecast current and future talent needs and to develop collaborative solutions with work-based programs;
- Building highly skilled talent and connecting it to highly technical opportunities that foster professional development and provide continuing advanced skills training to develop the technical and scientific workforce that regional innovation initiatives need;

- Strengthening collaboration among entrepreneurs, industry leaders and employers, educational organizations, established corporations, economic and/or workforce development organizations, and the public sector to enable better access to skilled workers and to develop demand-driven workforce pipelines for the innovation economy; and
- Placing new employees into immediate job openings with regional employers in need of STEM talent.

Competition applicants may request **up to \$500,000 for implementation** of a 24-month program. A 1:1 funding match is required.

Economic Development Training

A webinar series was developed to help users create their own Comprehensive Economic Development Strategy (CEDS). It provides basic guidance on developing a CEDS and implementing CEDS Content Guidelines. This series is available online in five distinct modules.

- [Welcome and Overview](#)
- [Module 1](#)
- [Module 2](#)
- [Module 3](#)
- [Module 4](#)

Economic Development Directory

State Government Office: [Empire State Development](#)

EDA Tribal Engagement Coordinator

- Carolee Wenderoth
- Email: CWenderoth1@eda.gov

EDA Regional Office: [Philadelphia Regional Office](#)

Economic Development Districts

- [Mohawk Valley Economic Development District](#)
- [Southern Tier West Regional Planning and Development Board](#)

Indian Tribe Planning Grantees

- [Seneca Nation of Indians](#)
- [Saint Regis Mohawk Tribe](#)

Trade Adjustment Assistance Center

- [Trade Adjustment Assistance Center Serving New York, New Jersey and the Commonwealth of Puerto Rico](#)

University Center

- Cornell University - [Cornell Center for Regional Economic Advancement / CREA AgTech University Center](#)
- Hofstra University - [Downstate New York University Center](#)

Revolving Loan Fund Grantees

- [BOC Capital Corp.](#)
- [County of Chautauqua Industrial Development Agency](#)
- [Greater Jamaica Development Corporation](#)
- [Hudson Valley Agri-Business Development Corporation](#)
- [Lake Champlain - Lake George Regional Planning Board](#)
- [Long Island Development Corporation](#)
- [Mohawk Valley Economic Development District, Inc.](#)
- [Niagara County Industrial Development Agency](#)
- [Regional Economic Development & Energy Corporation](#)
- [Seneca County Industrial Development Agency](#)
- [Southern Tier Enterprise Development Organization](#)
- [TruFund Financial Services, Inc](#)

Grid Resilience State/Tribal Formula Grant Program

The Grid Resilience State and Tribal Formula Grants initiative, a \$2.3 billion program, is designed to strengthen and modernize America's power grid against wildfires, extreme weather, and other natural disasters that are exacerbated by the climate crisis.

The program will distribute funding to States, Territories, and federally recognized Indian Tribes, including Alaska Native Regional Corporations and Alaska Native Village Corporations, over five years based on a formula that includes factors such as population size, land area, probability and severity of disruptive events, and a locality's historical expenditures on mitigation efforts. The States, Territories, and Tribes will then award these funds to a diverse set of projects, with priority given to efforts that generate the greatest community benefit by providing clean, affordable, and reliable energy.

Native American Business Development Institute (NABDI) Grant

All economic endeavors pose some degree of risk. By funding feasibility studies or business plans, the Native American Business Development Institute (NABDI) grant helps tribes and tribal organizations weigh their risks to determine whether a project is worth pursuing and empower them to make informed decisions about their economic futures.

Results from studies can often help tribes and tribal organizations persuade lenders and investors to provide financial backing to help turn their idea into a reality.

OIED staff may provide technical consultation, upon written request by an applicant. The request must clearly identify the type of assistance sought. Technical consultation does not include funding to prepare a grant proposal, grant writing assistance, or pre-determinations as to the likelihood that a proposal will be awarded. The applicant is solely responsible for preparing its grant proposal. Technical consultation may include clarifying application requirements, confirming whether an applicant previously submitted the same or similar proposal, and registration information for SAM or ASAP.

For more information, please click [here](#).

Living Languages Grant Program (LLGP)

The Living Language Grant Program (LLGP) provides grants for native language instruction and immersion programs for Native students not enrolled at Bureau of Indian Education (BIE) schools, including those tribes and organizations in states without Bureau funded schools.

For more information, please click [here](#).

Energy and Mineral Development Program (EMDP) Grant

Each year, the Division of Energy and Mineral Development offers Tribes financial support to assess the energy and mineral resource potential of their lands through its Energy and Mineral Development Program (EMDP) grants. On average, they award grants ranging in value from \$10,000 (minimum ask) to \$2,500,000 (maximum ask). They will only fund projects for one year at a time.

For more information, please click [here](#).

Tribal Energy Development Capacity (TEDC) Grant

Every year, our Division of Energy and Mineral Development provides the opportunity for Tribes to receive financial assistance to establish the legal framework for developing and regulating their energy resources.

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The Federal government knows that millions and millions of acres of Tribal reservations hold vast natural resources. We support development efforts led by Tribes in accordance with Tribal goals and priorities.

Development Activities Eligible for Funding

- Developing the legal infrastructure to create any type of Tribal energy business
- Establishing an energy-focused corporation under Tribal or state incorporation codes
- Establishing an energy-related Tribal business charter under federal law (IRA Section 17 corporation)

Regulatory Activities Eligible for Funding

- Developing or enhancing tribal policies, codes, regulations, or ordinances related to energy resource, including land-lease regulations in accordance with the Helping Expedite and Advance Responsible Tribal Homeownership (HEARTH) Act for energy development purposes or for business purposes connected to an energy project
- Establishing a Tribal utility authority (TUA)
- Adopting secured transaction codes and a subsequent joint power agreement with a state government

Rural and Tribal Assistance Pilot Program

The Infrastructure Investment and Jobs Act, also known as the Bipartisan Infrastructure Law or BIL, created the Rural and Tribal Assistance Pilot Program (the Program) to provide early-stage development assistance for rural and tribal infrastructure projects. The Program will award grants for either the hiring of staff or the procurement of expert firms to provide financial, technical, and legal assistance; assistance with development phase activities; and information regarding innovative financing best practices and case studies.

Entities eligible for the award include rural local governments or political subdivisions, states, Tribes, and the Department of Hawaiian Home Lands. The Build America Bureau (Bureau) will administer the Program. Currently, there is \$3.4 million available and **there is no local funding match required to participate in this Program.**

For more information, please click [here](#).

Accelerated Innovation Deployment Demonstration Program

The AID Demonstration program provides funding as an incentive for eligible entities to accelerate the implementation and adoption of innovation in highway transportation. The AID Demonstration program is one initiative under the multi-faceted Technology and Innovation Deployment Program (TIDP) approach providing funding and other resources to offset the risk of trying an innovation.

For more information, please click [here](#).

Bridge Investment Program

The Bridge Investment Program (BIP) provides funding for bridge replacement, rehabilitation, preservation, and protection projects that reduce the number of bridges in poor condition, or in fair condition at risk of declining into poor condition.

For more information, please click [here](#).

Demonstration Grants for Indian Children (DEMO)

The Demonstration Grants for Indian Children program is a discretionary program designed to improve the education opportunities and achievement of preschool, elementary, and secondary school Indian children by developing, testing, and demonstrating effective services and programs.

Native American Teacher Retention Initiative (NATRI)

For FY23 the absolute priority is the Native American Teacher Retention Initiative (NATRI) where projects must be designed to ensure that (a) Teachers, principals, other school leaders, and other staff who serve Indian students have the ability to provide culturally appropriate and effective instruction and supports to such students and (b) Indian students gain knowledge and understanding of Native communities, languages, tribal histories, traditions, and cultures. Proposed projects must focus on one or more of the following priority areas:

- Activities that recognize and support the unique cultural and educational needs of Indian children and youth and incorporate traditional leaders.

- Educational services that are not available to such children and youth in sufficient quantity or quality, including remedial instruction, to raise the achievement of Indian children in one or more of the subjects of English, mathematics, science, foreign languages, art, history, and geography.
- Comprehensive guidance, counseling, and testing services.
- High-quality professional development of teaching professionals and paraprofessionals.

For more information, please click [here](#).

Indian Education Formula Grants

The Indian Education Formula Grants program is designed to address the unique cultural, language, and educationally related academic needs of American Indian and Alaska Native students, including preschool children. The programs funded are to meet the unique cultural, language, and educational needs of Indian students and ensure that all students meet the challenging State academic standard. The program is the Department's principal vehicle for addressing the particular needs of Indian children.

The Indian Education Formula Grant program provides grants to support local educational agencies in their efforts to reform elementary and secondary school programs that serve Indian students. Annually each applicant develops and submits to the Department a comprehensive plan for meeting the needs of Indian children. Applicants must develop this plan in collaboration with a local committee comprised primarily of parents and family members of Indian children and must include student performance goals, a description of professional development activities that the applicant will carry out, and an explanation of how it will assess students' progress toward meeting its goals and will provide the results of this assessment to the parent committee, Indian community and tribes whose children are served by the LEA.

For more information, please click [here](#).

Indian Education Professional Development (PD)

The purposes of the Indian Education Professional Development Grants program that are relevant to the FY 2018 grants or later are to increase the number of qualified Indian individuals in professions that serve Indians; and to provide training to qualified Indian individuals to become teachers and administrators.

The Professional Development program requires individuals who receive training to —

- Perform work related to the training received under the program and that benefits Indian students in an LEA that serves a high proportion of Indian students, or to repay all or a prorated part of the assistance received under the program; and
- Periodically report to the Secretary on the individual's compliance with the work requirement until work-related payback is complete or the individual has been referred for cash payback.

For more information, please click [here](#).

Native American Language Grant (NAL@ED)

The purposes of the NAL@ED program are to support schools that use Native American and Alaska Native languages as the primary language of instruction; maintain, protect, and promote the rights and freedom of Native Americans and Alaska Natives to use, practice, maintain, and revitalize their languages, as envisioned in the Native American Languages Act of 1990; and support the Nation's First Peoples' efforts to maintain and revitalize their languages and cultures, and to improve educational opportunities and student outcomes within Native American and Alaska Native communities.

Absolute Priority 1: Develop and Maintain New Native American Language Programs

To meet this priority, an applicant must propose to develop and maintain a Native American language instructional program that—

- a. Will support Native American language education and development for Native American students, as well as provide professional development for teachers and, as appropriate, staff and administrators, to strengthen the overall language and academic goals of the school or schools that will be served by the project;
- b. Will take place in a school; and
- c. Does not augment or replace a program of identical scope that was active within the last three years at the school(s) to be served.

Absolute Priority 2: Expand and Improve Existing Native American Language Programs

To meet this priority, an applicant must propose to improve and expand a Native American language instructional program that—

- a. Will improve and expand Native American language education and development for Native American students, as well as provide professional development for teachers and, as appropriate, staff and administrators, to strengthen the overall language and academic goals of the school or schools that will be served by the project;
- b. Will continue to take place in a school; and
- c. Within the past three years has been offered at the school(s) to be served.

NOAA's Climate Ready Workforce for Coastal and Great Lakes States, Tribes, and Territories Initiative

Sea Grant and the NOAA Climate Program Office, with support from the NOAA Office for Coastal Management, seek to establish programs aimed at placing people across the country into good jobs that advance climate resilience and assisting employers in developing a 21st century workforce that is climate literate, informed by climate resilience, and skilled at addressing consequent challenges. NOAA will assist communities in coastal and Great Lakes states, territories and tribes so they may form partnerships that train workers and place them into jobs that enhance climate resilience.

For more information, please click [here](#).

Contact: sg.grants@noaa.gov

Tribal College Initiative Grants

This program provides funding to 1994 Land Grant Institutions (Tribal Colleges) to make capital improvements to their educational facilities and to purchase equipment. Funds can be used by Tribal Colleges for infrastructure improvements, to purchase equipment and to develop essential community facilities.

For more information, please click [here](#).

Contact: Brian Murray, (315) 477-6400

Section III – Letters of Support from Senator Gillibrand

While Senator Gillibrand does **NOT** decide which organizations are awarded grants, there are instances in which it is appropriate for Senator Gillibrand to write a letter of support for an application. If you wish to request a letter of support for your application, you must supply Senator Gillibrand with the following:

1. A description of your organization
2. Summary of the application
3. a description of what the money will be used for
4. a draft letter of support

Please forward this information electronically to Senator Gillibrand's economic development staff at grants@gillibrand.senate.gov, or forward to the nearest regional office:

Capital District

Senator Kirsten Gillibrand
Leo W. O'Brien Federal Office Building
11A Clinton Avenue
Room 821
Albany, NY 12207
Tel: (518) 431-0120
Fax: (518) 431-0128

Western New York

Senator Kirsten Gillibrand
Larkin at Exchange
726 Exchange Street, Suite 511
Buffalo, NY 14210
Tel: (716) 854-9725
Fax: (716) 854-9731

Long Island

Senator Kirsten Gillibrand
155 Pinelawn Road
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Melville, NY 11747
Tel: (631) 249-2825
Fax: (631) 249-2847

New York City

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New York, New York 10017
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Fax (866) 824-6340

North Country

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Fax (315) 376-6118

Rochester-Finger Lakes Region

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Central New York

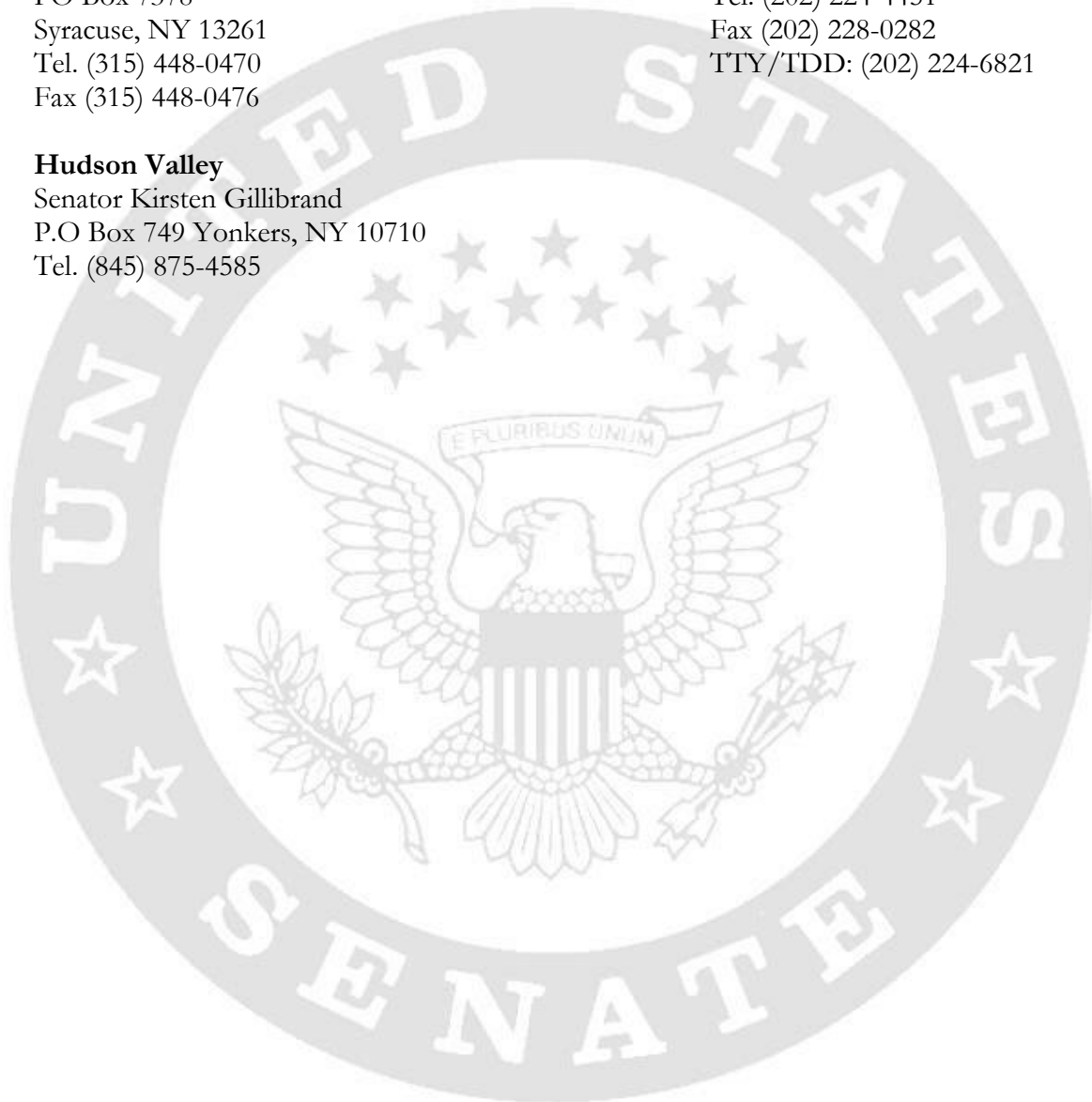
Senator Kirsten Gillibrand
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Washington D.C.

Senator Kirsten Gillibrand
United States Senate
478 Russell Senate Office Building
Washington, DC 20510
Tel. (202) 224-4451
Fax (202) 228-0282
TTY/TDD: (202) 224-6821



Section IV – Casework Form

If you have encountered a problem involving a federal government agency or federally subsidized benefit that you have not been able to successfully resolve, Senator Kirsten Gillibrand’s staff of constituent liaisons may be able to assist you in the following areas:

Employment Issues: including assistance with disability benefits, employer-provided health care plans and COBRA, Family Medical Leave Act (FMLA) benefits, pensions, unemployment benefits, Federal and State Workers Compensation claims, and retirement-related issues.

Consumer Affairs: including assistance obtaining a home loan modification under the Making Home Affordable Program, insurance claims, dissatisfaction with consumer products or services, environmental regulations, and concerns regarding air quality, water or land contamination.

Immigration Issues: including issues with visitor visas, family and employment based visas, lawful permanent resident status, naturalization, international adoptions, detention, passports, customs and border issues, and assistance to American Citizens in crisis abroad.

Veteran Issues: including issues with VA pension and disability benefits, education benefits, veteran burial or funeral issues, and issues regarding the VA medical centers.

IRS Issues: including connecting constituents with the Taxpayer Advocate Service to address federal tax issues including lost or delayed tax refunds, penalty abatements, payment installation plans, tax credits, referrals to IRS Low Income Tax Clinics and paper tax forms.

Military Issues: including issues pertaining to the Department of Defense, Army, Navy, Marine Corps, Air Force, Coast Guard, Reserves and New York State National Guard, as well as obtaining military records, medals and academy nominations.

Health Care Issues: including issues with Medicare and Medicaid, health insurance, insurance providers, nursing homes, hospitals, prescription drugs, and 9/11 health matters.

Social Security: including issues with Supplemental Security Income, Social Security Disability, survivors’ benefits, and retirement benefits.

Social Services: including issues with food stamps, HEAP (low-income heating program), FEMA and disaster relief, and issues related to federally subsidized housing.

Please visit the “Help” section of www.gillibrand.senate.gov or call (212) 688-6262 for further information. Please note that if you are seeking assistance with a case that involves a lawsuit or litigation, Senate Rules prohibit the Office of Senator Gillibrand from giving legal advice or intervening in the proceedings.