

Form 1040

Department of the Treasury — Internal Revenue Service

U.S. Individual Income Tax Return 2010

(99) IRS Use Only — Do not write or staple in this space.

Name,
Address,
and SSNSee separate
instructions.Presidential
Election
Campaign

For the year Jan 1 - Dec 31, 2010, or other tax year beginning , 2010, ending , 20		CMB No. 1545-0074
Your first name Kirsten E. Gillibrand	MI Last name	Your social security number
If a joint return, spouse's first name Jonathan M. Gillibrand	MI Last name	Spouse's social security number
Home address (number and street). If you have a P.O. box, see instructions.		Apartment no.
City, town or post office. If you have a foreign address, see instructions.		State ZIP code
Check here if you, or your spouse if filing jointly, want \$3 to go to this fund? ☒ You ☒ Spouse		Make sure the SSN(s) above and on line 6c are correct. Checking a box below will not charge your tax or refund.

Filing Status

- 1 ☐ Single
2 ☒ Married filing jointly (even if only one had income)
3 ☐ Married filing separately. Enter spouse's SSN above & full name here.
4 ☐ Head of household (with qualifying person). (See instructions.) If the qualifying person is a child but not your dependent, enter this child's name here.
5 ☐ Qualifying widow(er) with dependent child

Check only
one box.

Exemptions

6a ☒ Yourself. If someone can claim you as a dependent, do not check box 6a.	Boxes checked on 6a and 6b.	2	
b ☒ Spouse	No. of children on 6c who:		
c Dependents:	(2) Dependent's social security number	(3) Dependent's relationship to you	
(1) First name Last name		(4) ☒ If child under age 17 qualifying for child tax or (see instrs)	
Theodore I Gillibrand		Child	☒
Henry N Gillibrand		Child	☒
Add numbers on lines above			4

If more than four
dependents, see
instructions and
check here. ☐

d Total number of exemptions claimed.

Income

7 Wages, salaries, tips, etc. Attach Form(s) W-2	DCB	5,000.	7	150,438.
8a Taxable interest. Attach Schedule B if required	8b		8a	897.
9a Ordinary dividends. Attach Schedule B if required	9b		9a	
10 Taxable refunds, credits, or offsets of state and local income taxes			10	
11 Alimony received			11	
12 Business income or (loss). Attach Schedule C or C-EZ			12	
13 Capital gain or (loss). Att Sch D if reqd. If not reqd, ck here			13	-3,000.
14 Other gains or (losses). Attach Form 4797			14	
15a IRA distributions	15b		15b	
16a Pensions and annuities	16b		16b	
17 Rental real estate, royalties, partnerships, S corporations, trusts, etc. Attach Schedule E			17	-89.
18 Farm income or (loss). Attach Schedule F			18	
19 Unemployment compensation			19	
20a Social security benefits	20b		20b	
21 Other income			21	
22 Combine the amounts in the far right column for lines 7 through 21. This is your total income.			22	148,246.

Attach Form(s)
W-2 here. Also
attach Forms
W-2G and 1099-R
if tax was withheld.If you did not
get a W-2,
see instructions.Enclose, but do
not attach, any
payment. Also,
please use
Form 1040-V.Adjusted
Gross
Income

23 Educator expenses	23	
24 Certain business expenses of reservists, performing artists, and fee-basis government officials. Attach Form 2106 or 2106-EZ	24	
25 Health savings account deduction. Attach Form 8889	25	
26 Moving expenses. Attach Form 3903	26	
27 One-half of self-employment tax. Attach Schedule SE	27	
28 Self-employed SEP, SIMPLE, and qualified plans	28	
29 Self-employed health insurance deduction	29	
30 Penalty on early withdrawal of savings	30	
31a Alimony paid b Recipient's SSN	31a	
32 IRA deduction	32	
33 Student loan interest deduction	33	
34 Tuition and fees. Attach Form 8917	34	
35 Domestic production activities deduction. Attach Form 8903	35	
36 Add lines 23 - 31a and 32 - 35	36	0.
37 Subtract line 36 from line 22. This is your adjusted gross income	37	148,246.

BAA For Disclosure, Privacy Act, and Paperwork Reduction Act Notice, see separate instructions.

FDIA0112L 12/22/10

Form 1040 (2010)

Tax and Credits	38	Amount from line 37 (adjusted gross income)	38	148,246.
39a	Check if:	☐ You were born before January 2, 1946. ☐ Blind. ☐ Spouse was born before January 2, 1946. ☐ Blind. Total boxes checked	39a	
	b	If your spouse itemizes on a separate return, or you were a dual-status alien, check here	39b	
40	Itemized deductions (from Schedule A) or your standard deduction (see instructions)	40	53,300.	
41	Subtract line 40 from line 38	41	94,946.	
42	Exemptions. Multiply \$3,650 by the number on line 6d	42	14,600.	
43	Taxable income. Subtract line 42 from line 41. If line 42 is more than line 41, enter -0-	43	80,346.	
44	Tax (see instructions). Check if any tax is from: a ☐ Form(s) 8814 b ☐ Form 4972	44	12,444.	
45	Alternative minimum tax (see instructions). Attach Form 6251	45	324.	
46	Add lines 44 and 45	46	12,768.	
47	Foreign tax credit. Attach Form 1116 if required	47		
48	Credit for child and dependent care expenses. Attach Form 2441	48		
49	Education credits from Form 8863, line 23	49		
50	Retirement savings contributions credit. Attach Form 8880	50		
51	Child tax credit (see instructions)	51	50.	
52	Residential energy credits. Attach Form 5695	52		
53	Other crs from Form: a ☐ 3800 b ☐ 8801 c ☐	53		
54	Add lines 47 through 53. These are your total credits	54	50.	
55	Subtract line 54 from line 46. If line 54 is more than line 46, enter -0-	55	12,718.	
Other Taxes	56	Self-employment tax. Attach Schedule SE	56	
57	Unreported social security and Medicare tax from Form: a ☐ 4137 b ☐ 8919	57		
58	Additional tax on IRAs, other qualified retirement plans, etc. Attach Form 5329 if required	58		
59a	☐ Form(s) W-2, box 9 b ☒ Schedule M c ☐ Form 5405, line 16	59	8,575.	
60	Add lines 55-59. This is your total tax	60	21,293.	
Payments	61	Federal income tax withheld from Forms W-2 and 1099	61	24,055.
62	2010 estimated tax payments and amount applied from 2009 return	62	17,102.	
63	Making work pay credit. Attach Schedule M	63	800.	
64a	Earned income credit (EIC)	64a		
b	Nonrefundable combat pay election	64b		
65	Additional child tax credit. Attach Form 8812	65		
66	American opportunity credit from Form 8863, line 14	66		
67	First-time homebuyer credit from Form 5405, line 10	67		
68	Amount paid with request for extension to file	68		
69	Excess social security and tier 1 RRTA tax withheld	69		
70	Credit for federal tax on fuels. Attach Form 4136	70		
71	Credits from Form: a ☐ 2439 b ☐ 8839 c ☐ 8801 d ☐ 8885	71		
72	Add lines 61-63, 64a, & 65-71. These are your total pmts	72	41,957.	
Refund	73	If line 72 is more than line 60, subtract line 60 from line 72. This is the amount you overpaid	73	20,664.
74a	Amount of line 73 you want refunded to you. If Form 8888 is attached, check here	74a	10,664.	
b	Routing number	XXXXXXX	c Type: ☐ Checking ☐ Savings	
d	Account number	XXXXXXXXXXXXXXXXXXXX		
75	Amount of line 73 you want applied to your 2011 estimated tax	75	10,000.	
Amount You Owe	76	Amount you owe. Subtract line 72 from line 60. For details on how to pay see instructions	76	
77	Estimated tax penalty (see instructions)	77		
Third Party Designee	Do you want to allow another person to discuss this return with the IRS (see instructions)? ☒ Yes. Complete below. ☐ No			
Designee's name	Christine M. Tucker, CPA		Phone no.	Personal identification number (PIN)
Sign Here	Under penalties of perjury, I declare that I have examined this return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.			
Joint return? See instructions.	Your signature	Date	Your occupation	Daytime phone number
Keep a copy for your records.	Spouse's signature. If a joint return, both must sign.	Date	Spouse's occupation	
		4/15/11	US Senator	
		4/15/11	Real Estate Invest	
Pri/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Firm's name	Ruchik & Corr. P.C.		Firm's EIN	
Firm's address			Phone no.	

SCHEDULE A
(Form 1040)

Department of the Treasury
Internal Revenue Service (99)

Itemized Deductions

► Attach to Form 1040.

► See instructions for Schedule A (Form 1040).

OMB No. 1545-0074

2010

Attachment
Sequence No. 07

Name(s) shown on Form 1040

Your social security number

Kirsten E. and Jonathan M. Gillibrand

Medical and Dental Expenses	Caution. Do not include expenses reimbursed or paid by others.			
1	Medical and dental expenses (see instructions)	1		
2	Enter amount from Form 1040, line 38	2		
3	Multiply line 2 by 7.5% (.075)	3		
4	Subtract line 3 from line 1. If line 3 is more than line 1, enter -0-	4		0.
Taxes You Paid	5 State and local (check only one box):			
a	☒ Income taxes, or	5	11,378.	
b	☐ General sales taxes			
6	Real estate taxes (see instructions)	6	13,955.	
7	New motor vehicle taxes from line 11 of the worksheet on page 2 (for certain vehicles purchased in 2009). Skip this line if you checked box 5b	7		
8	Other taxes. List type and amount ►	8		
9	Add lines 5 through 8	9		25,333.
Interest You Paid	10 Home mtg interest and points reported to you on Form 1098		10	21,982.
	11 Home mortgage interest not reported to you on Form 1098. If paid to the person from whom you bought the home, see instructions and show that person's name, identifying number, and address ►			
			11	
	12 Points not reported to you on Form 1098. See instrs for spec rules		12	
	13 Mortgage insurance premiums (see instructions)		13	
	14 Investment interest. Attach Form 4952 if required. (See instrs.)		14	
	15 Add lines 10 through 14		15	21,982.
Gifts to Charity	16 Gifts by cash or check. If you made any gift of \$250 or more, see instrs.		16	3,973.
	17 Other than by cash or check. If any gift of \$250 or more, see instructions. You must attach Form 8283 if over \$500		17	732.
	18 Carryover from prior year		18	
	19 Add lines 16 through 18		19	4,705.
Casualty and Theft Losses	20 Casualty or theft loss(es). Attach Form 4684. (See instructions.)		20	0.
Job Expenses and Certain Miscellaneous Deductions	21 Unreimbursed employee expenses — job travel, union dues, job education, etc. Attach Form 2106 or 2106-EZ if required. (See instructions.)			
	See attached statement. 3,000.		21	3,000.
	22 Tax preparation fees		22	1,245.
	23 Other expenses — investment, safe deposit box, etc. List type and amount ►		23	
	24 Add lines 21 through 23		24	4,245.
	25 Enter amount from Form 1040, line 38		25	148,246.
	26 Multiply line 25 by 2% (.02)		26	2,965.
	27 Subtract line 26 from line 24. If line 26 is more than line 24, enter -0-		27	1,280.
Other Miscellaneous Deductions	28 Other — from list in instructions. List type and amount ►		28	0.
Total Itemized Deductions	29 Add the amounts in the far right column for lines 4 through 28. Also, enter this amount on Form 1040, line 40		29	53,300.
	30 If you elect to itemize deductions even though they are less than your standard deduction, check here			

SCHEDULE B
(Form 1040A or 1040)

Department of the Treasury
Internal Revenue Service (99)

Interest and Ordinary Dividends

▶ Attach to Form 1040A or 1040.

▶ See Instructions.

OMB No. 1545-0074

2010

Attachment
Sequence No. 08

Name(s) shown on return

Kirsten E. and Jonathan M. Gillibrand

Your social security number

Part I
Interest

(See
instructions for
Form 1040A,
or Form 1040,
line 8a.)

Note. If you
received a Form
1099-INT, Form
1099-DIV, or
substitute statement
from a brokerage
firm, list the firm's
name as the payer
and enter the total
interest shown on
that form.

1 List name of payer. If any interest is from a seller-financed mortgage and the buyer used the property as a personal residence, see the instructions and list this interest first. Also, show that buyer's social security number and address.

E Trade
Citibank N.A.
United Kingdom
Trustco Bank

Amount

19.
704.
80.
94.

2 Add the amounts on line 1.

2 897.

3 Excludable interest on series EE and I U.S. savings bonds issued after 1989. Attach Form 8815.

3

4 Subtract line 3 from line 2. Enter the result here and on Form 1040A, or Form 1040, line 8a.

4 897.

Note. If line 4 is over \$1,500, you must complete Part III.

Amount

5 List name of payer.

Part II
Ordinary
Dividends

(See
instructions for
Form 1040A, or
Form 1040,
line 9a.)

Note. If you
received a Form
1099-DIV or
substitute statement
from a brokerage
firm, list the firm's
name as the payer
and enter the
ordinary dividends
shown on that form.

6 Add the amounts on line 5. Enter the total here and on Form 1040A, or Form 1040, line 9a.

6 0.

Note. If line 6 is over \$1,500, you must complete Part III.

Part III
Foreign
Accounts
and
Trusts

(See
instructions.)

You must complete this part if you (a) had over \$1,500 of taxable interest or ordinary dividends; (b) had a foreign account; or (c) received a distribution from, or were a grantor of, or a transferor to, a foreign trust.

Yes No

7a At any time during 2010, did you have an interest in or a signature or other authority over a financial account in a foreign country, such as a bank account, securities account, or other financial account? See instructions for exceptions and filing requirements for Form TD F 90-22.1

X

b If 'Yes,' enter the name of the foreign country. ▶ United Kingdom

8 During 2010, did you receive a distribution from, or were you the grantor of, or transferor to, a foreign trust? If 'Yes,' you may have to file Form 3520. See instructions.

X

SCHEDULE D
(Form 1040)

Department of the Treasury
Internal Revenue Service (99)

Capital Gains and Losses

► Attach to Form 1040 or Form 1040NR. ► See instructions for Schedule D (Form 1040).
► Use Schedule D-1 to list additional transactions for lines 1 and 8.

OMB No. 1545-0074

2010

Attachment
Sequence No. 12

Name(s) shown on return

Kirsten E. and Jonathan M. Gillibrand

Your social security number

Part I Short-Term Capital Gains and Losses — Assets Held One Year or Less

(a) Description of property (Example: 100 shares XYZ Co)	(b) Date acquired (Mo. day, yr)	(c) Date sold (Mo. day, yr)	(d) Sales price (see instructions)	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1 30sh Coach Inc. Options - Expired Various	3/23/10		0.	3,083.	-3,083.
15sh Ipath S&P 500 Options - Expired Various	7/20/10		0.	921.	-921.
80sh Sears Holding Corp	1/12/10	6/21/10	23,980.	22,780.	1,200.
3sh Sears Holding Corp Options - Expired Various	6/21/10		0.	1,586.	-1,586.
2 Enter your short-term totals, if any, from Schedule D-1, line 2 ..	2				
3 Total short-term sales price amounts. Add lines 1 and 2 in column (d) ..	3		23,980.		
4 Short-term gain from Form 6252 and short-term gain or (loss) from Forms 4684, 6781, and 8824.	4				
5 Net short-term gain or (loss) from partnerships, S corporations, estates, and trusts from Schedule(s) K-1.	5				
6 Short-term capital loss carryover. Enter the amount, if any, from line 10 of your Capital Loss Carryover Worksheet in the instructions.	6				-25,406.
7 Net short-term capital gain or (loss). Combine lines 1 through 6 in column (f) ..	7				-29,796.

Part II Long-Term Capital Gains and Losses — Assets Held More Than One Year

(a) Description of property (Example: 100 shares XYZ Co)	(b) Date acquired (Mo. day, yr)	(c) Date sold (Mo. day, yr)	(d) Sales price (see instructions)	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
8 358 Mt. Merino Road, Greenport, NY	7/31/03	12/19/10	1,300,000.	1,245,218.	54,782.
Section 121 Exclusion					-54,782.
9 Enter your long-term totals, if any, from Schedule D-1, line 9 ..	9				
10 Total long-term sales price amounts. Add lines 8 and 9 in column (d) ..	10		1,300,000.		
11 Gain from Form 4797, Part I; long-term gain from Forms 2439 and 6252; and long-term gain or (loss) from Forms 4684, 6781, and 8824.	11				
12 Net long-term gain or (loss) from partnerships, S corporations, estates, and trusts from Schedule(s) K-1.	12				
13 Capital gain distributions. See instrs ..	13				
14 Long-term capital loss carryover. Enter the amount, if any, from line 15 of your Capital Loss Carryover Worksheet in the instructions.	14				
15 Net long-term capital gain or (loss). Combine lines 8 through 14 in column (f). Then go to Part III on page 2 ..	15				

BAA For Paperwork Reduction Act Notice, see your tax return instructions.

Schedule D (Form 1040) 2010

Part III Summary

16 Combine lines 7 and 15 and enter the result.....	16	-29,796.
• If line 16 is a gain, enter the amount from line 16 on Form 1040, line 13, or Form 1040NR, line 14. Then go to line 17 below. • If line 16 is a loss, skip lines 17 through 20 below. Then go to line 21. Also be sure to complete line 22. • If line 16 is zero, skip lines 17 through 21 below and enter -0- on Form 1040, line 13, or Form 1040NR, line 14. Then go to line 22.		
17 Are lines 15 and 16 both gains? ☐ Yes. Go to line 18. ☐ No. Skip lines 18 through 21, and go to line 22.		
18 Enter the amount, if any, from line 7 of the 28% Rate Gain Worksheet in the instructions.....	18	
19 Enter the amount, if any, from line 18 of the Unrecaptured Section 1250 Gain Worksheet in the instructions.....	19	
20 Are lines 18 and 19 both zero or blank? ☐ Yes. Complete Form 1040 through line 43, or Form 1040NR through line 41. Then complete the Qualified Dividends and Capital Gain Tax Worksheet in the Instructions for Form 1040, line 44 (or in the Instructions for Form 1040NR, line 42). Do not complete lines 21 and 22 below. ☐ No. Complete Form 1040 through line 43, or Form 1040NR through line 41. Then complete the Schedule D Tax Worksheet in the instructions. Do not complete lines 21 and 22 below.		
21 If line 16 is a loss, enter here and on Form 1040, line 13, or Form 1040NR, line 14, the smaller of: • The loss on line 16 or • (\$3,000), or if married filing separately, (\$1,500)	21	-3,000.
Note. When figuring which amount is smaller, treat both amounts as positive numbers.		
22 Do you have qualified dividends on Form 1040, line 9b, or Form 1040NR, line 10b? ☐ Yes. Complete Form 1040 through line 43, or Form 1040NR through line 41. Then complete the Qualified Dividends and Capital Gain Tax Worksheet in the Instructions for Form 1040, line 44 (or in the Instructions for Form 1040NR, line 42). ☒ No. Complete the rest of Form 1040 or Form 1040NR.		

Schedule D (Form 1040) 2010

Name(s) shown on return. Do not enter name and social security number if shown on Page 1.

Your social security number

Kirsten E. and Jonathan M. Gillibrand

Caution: The IRS compares amounts reported on your tax return with amounts shown on Schedule(s) K-1.

Part II Income or Loss From Partnerships and S Corporations

Note. If you report a loss from an at-risk activity for which any amount is not at risk, you must check the box in column (e) on line 28 and attach Form 6198. See instructions.

- 27 Are you reporting any loss not allowed in a prior year due to the at-risk or basis limitations, a prior year unallowed loss from a passive activity (if that loss was not reported on Form 8582), or unreimbursed partnership expenses? ... ☐ Yes ☒ No
If you answered "Yes," see instructions before completing this section.

28	(a) Name	(b) Enter P for partnership; S for S corporation	(c) Check if foreign partnership	(d) Employer identification number	(e) Check if any amount is not at risk
A	Wind Crest LLC	P			
B					
C					
D					

Passive Income and Loss		Nonpassive Income and Loss	
(f) Passive loss allowed (attach Form 8582 if required)	(g) Passive income from Schedule K-1	(h) Nonpassive loss from Schedule K-1	(i) Section 179 expense deduction from Form 4562
A		89.	
B			
C			
D			
29a Totals		89.	
b Totals			
30 Add columns (g) and (i) of line 29a			30
31 Add columns (f), (h), and (i) of line 29b			31 -89.
32 Total partnership and S corporation income or (loss). Combine lines 30 and 31. Enter the result here and include in the total on line 41 below			32 -89.

Part III Income or Loss From Estates and Trusts

33	(a) Name	(b) Employer ID no.
A		
B		

Passive Income and Loss		Nonpassive Income and Loss	
(c) Passive deduction or loss allowed (attach Form 8582 if required)	(d) Passive income from Schedule K-1	(e) Deduction or loss from Schedule K-1	(f) Other income from Schedule K-1
A			
B			
34a Totals			
b Totals			
35 Add columns (d) and (f) of line 34a			35
36 Add columns (c) and (e) of line 34b			36
37 Total estate and trust income or (loss). Combine lines 35 and 36. Enter the result here and include in the total on line 41 below			37

Part IV Income or Loss From Real Estate Mortgage Investment Conduits (REMICs) — Residual Holder

38	(a) Name	(b) Employer identification number	(c) Excess inclusion from Schedules Q, line 2c (see instructions)	(d) Taxable income (net loss) from Schedules Q, line 1b	(e) Income from Schedules Q, line 3b
39	Combine columns (d) and (e) only. Enter the result here and include in the total on line 41 below				39

Part V Summary

40	Net farm rental income or (loss) from Form 4835. Also, complete line 42 below	40	
41	Total income or (loss). Combine lines 26, 32, 37, 39, and 40. Enter the result here and on Form 1040, line 17, or Form 1040NR, line 18	41	-89.
42	Reconciliation of farming and fishing income. Enter your gross farming and fishing income reported on Form 4835, line 7; Schedule K-1 (Form 1065), box 14, code B; Schedule K-1 (Form 1120S), box 17, code U; and Schedule K-1 (Form 1041), line 14, code F (see instructions)	42	
43	Reconciliation for real estate professionals. If you were a real estate professional (see instructions), enter the net income or (loss) you reported anywhere on Form 1040 or Form 1040NR from all rental real estate activities in which you materially participated under the passive activity loss rules	43	

Child and Dependent Care Expenses

OMB No. 1545-0074

Department of the Treasury
Internal Revenue Service (99)

▶ Attach to Form 1040, Form 1040A, or Form 1040NR.

▶ See separate instructions.

2010

Attachment
Sequence No. 21

Name(s) shown on return

Your social security number

Kirsten E. and Jonathan M. Gillibrand

Part I Persons or Organizations Who Provided the Care — You must complete this part.
(If you have more than two care providers, see the instructions.)

1	(a) Care provider's name	(b) Address (no., street, apt no., city, state, and ZIP code)	(c) Identifying no. (SSN or EIN)	(d) Amount paid (see instructions)
				13,433.
				5,387.

Did you receive
dependent care benefits?

No

Complete only Part II below.

Yes

Complete Part III on page 2 next.

Caution. If the care was provided in your home, you may owe employment taxes. If you do, you cannot file Form 1040A. For details, see the instructions for Form 1040, line 59, or Form 1040NR, line 58.**Part II** Credit for Child and Dependent Care Expenses

2 Information about your qualifying person(s). If you have more than two qualifying persons, see the instructions.

(a) Qualifying person's name		(b) Qualifying person's social security number	(c) Qualified expenses you incurred and paid in 2010 for the person listed in column (a)
First	Last		
Henry N	Gillibrand		13,433.
Theodore I	Gillibrand		5,387.

3 Add the amounts in column (c) of line 2. Do not enter more than \$3,000 for one qualifying person or \$6,000 for two or more persons. If you completed Part III, enter the amount from line 31

4 Enter your earned income. See instructions.

5 If married filing jointly, enter your spouse's earned income (if your spouse was a student or was disabled, see the instructions); all others, enter the amount from line 4.

6 Enter the smallest of line 3, 4, or 5.

7 Enter the amount from Form 1040, line 38; Form 1040A, line 22; or Form 1040NR, line 37.

8 Enter on line 8 the decimal amount shown below that applies to the amount on line 7

If line 7 is:			If line 7 is:		
Over	But not over	Decimal amount is	Over	But not over	Decimal amount is
\$0 — 15,000		.35	\$29,000 — 31,000		.27
15,000 — 17,000		.34	31,000 — 33,000		.26
17,000 — 19,000		.33	33,000 — 35,000		.25
19,000 — 21,000		.32	35,000 — 37,000		.24
21,000 — 23,000		.31	37,000 — 39,000		.23
23,000 — 25,000		.30	39,000 — 41,000		.22
25,000 — 27,000		.29	41,000 — 43,000		.21
27,000 — 29,000		.28	43,000 — No limit		.20

9 Multiply line 6 by the decimal amount on line 8. If you paid 2009 expenses in 2010, see the instructions.

10 Tax liability limit. Enter the amount from the Credit Limit Worksheet in the instructions.

11 Credit for child and dependent care expenses. Enter the smaller of line 9 or line 10 here and on Form 1040, line 48; Form 1040A, line 29; or Form 1040NR, line 46.

BAA For Paperwork Reduction Act Notice, see your tax return instructions.

Form 2441 (2010)

Part III Dependent Care Benefits

12	Enter the total amount of dependent care benefits you received in 2010. Amounts you received as an employee should be shown in box 10 of your Form(s) W-2. Do not include amounts reported as wages in box 1 of Form(s) W-2. If you were self-employed or a partner, include amounts you received under a dependent care assistance program from your sole proprietorship or partnership.	12	5,000.
13	Enter the amount, if any, you carried over from 2009 and used in 2010 during the grace period. See instructions.	13	
14	Enter the amount, if any, you forfeited or carried forward to 2011. See instructions.	14	
15	Combine lines 12 through 14. See instructions.	15	5,000.
16	Enter the total amount of qualified expenses incurred in 2010 for the care of the qualifying person(s).	16	18,820.
17	Enter the smaller of line 15 or 16.	17	5,000.
18	Enter your earned income. See instructions.	18	145,438.
19	Enter the amount shown below that applies to you. • If married filing jointly, enter your spouse's earned income (if your spouse was a student or was disabled, see the instructions for line 5). • If married filing separately, see the instructions. • All others, enter the amount from line 18.	19	
20	Enter the smallest of line 17, 18, or 19.	20	0.
21	Enter \$5,000 (\$2,500 if married filing separately and you were required to enter your spouse's earned income on line 19).	21	5,000.
22	Is any amount on line 12 from your sole proprietorship or partnership? (Form 1040A filers go to line 25). ☒ No. Enter -0-. ☐ Yes. Enter the amount here.	22	0.
23	Subtract line 22 from line 15.	23	5,000.
24	Deductible benefits. Enter the smallest of line 20, 21, or 22. Also, include this amount on the appropriate line(s) of your return. See instructions.	24	0.
25	Excluded benefits. Form 1040 and 1040NR filers: If you checked 'No' on line 22, enter the smaller of line 20 or line 21. Otherwise, subtract line 24 from the smaller of line 20 or line 21. If zero or less, enter -0-. Form 1040A filers: Enter the smaller of line 20 or line 21.	25	0.
26	Taxable benefits. Form 1040 and 1040NR filers: Subtract line 25 from line 23. If zero or less, enter -0-. Also, include this amount on Form 1040, line 7; or Form 1040NR, line 8. On the dotted line next to Form 1040, line 7; or Form 1040NR, line 8, enter 'DCB.' Form 1040A filers: Subtract line 25 from line 15. Also, include this amount on Form 1040A, line 7. In the space to the left of line 7, enter 'DCB'.	26	5,000.

To claim the child and dependent care credit, complete lines 27 through 31 below.

27	Enter \$3,000 (\$6,000 if two or more qualifying persons).	27	
28	Form 1040 and 1040NR filers: Add lines 24 and 25. Form 1040A filers: Enter the amount from line 25.	28	
29	Subtract line 28 from line 27. If zero or less, stop. You cannot take the credit. Exception. If you paid 2009 expenses in 2010, see the instructions for line 9.	29	
30	Complete line 2 on page 1 of this form. Do not include in column (c) any benefits shown on line 28 above. Then, add the amounts in column (c) and enter the total here.	30	
31	Enter the smaller of line 29 or 30. Also, enter this amount on line 3 on page 1 of this form and complete lines 4 through 11.	31	

Form 2441 (2010)

Form **6251**Department of the Treasury
Internal Revenue Service (99)**Alternative Minimum Tax – Individuals**▶ See separate instructions.
▶ Attach to Form 1040 or Form 1040NR.

OMB No. 1545-0074

2010Attachment
Sequence No. **32**

Name(s) shown on Form 1040 or Form 1040NR

Kirsten E. and Jonathan M. Gillibrand

Your social security number

Part I Alternative Minimum Taxable Income (See instructions for how to complete each line.)

1	If filing Schedule A (Form 1040), enter the amount from Form 1040, line 41 and go to line 2. Otherwise, enter the amount from Form 1040, line 38 and go to line 6. (If less than zero, enter as a negative amount.)	1	94,946.
2	Medical and dental. Enter the smaller of Schedule A (Form 1040), line 4 or 2.5% (.025) of Form 1040, line 38. If zero or less, enter -0-	2	
3	Taxes from Schedule A (Form 1040), lines 5, 6, and 8	3	25,333.
4	Enter the home mortgage interest adjustment, if any, from line 6 of the worksheet in the instructions	4	
5	Miscellaneous deductions from Schedule A (Form 1040), line 27	5	1,280.
6	If filing Schedule L (Form 1040A or 1040), enter as a negative amount the sum of lines 6 and 17 from that schedule	6	
7	Tax refund from Form 1040, line 10 or line 21	7	
8	Investment interest expense (difference between regular tax and AMT)	8	
9	Depletion (difference between regular tax and AMT)	9	
10	Net operating loss deduction from Form 1040, line 21. Enter as a positive amount	10	
11	Alternative tax net operating loss deduction	11	
12	Interest from specified private activity bonds exempt from the regular tax	12	
13	Qualified small business stock (7% of gain excluded under section 1202)	13	
14	Exercise of incentive stock options (excess of AMT income over regular tax income)	14	
15	Estates and trusts (amount from Schedule K-1 (Form 1041), box 12, code A)	15	
16	Electing large partnerships (amount from Schedule K-1 (Form 1065-B), box 6)	16	
17	Disposition of property (difference between AMT and regular tax gain or loss)	17	
18	Depreciation on assets placed in service after 1986 (difference between regular tax and AMT)	18	
19	Passive activities (difference between AMT and regular tax income or loss)	19	
20	Loss limitations (difference between AMT and regular tax income or loss)	20	
21	Circulation costs (difference between regular tax and AMT)	21	
22	Long-term contracts (difference between AMT and regular tax income)	22	
23	Mining costs (difference between regular tax and AMT)	23	
24	Research and experimental costs (difference between regular tax and AMT)	24	
25	Income from certain installment sales before January 1, 1987	25	
26	Intangible drilling costs preference	26	
27	Other adjustments, including income-based related adjustments	27	
28	Alternative minimum taxable income. Combine lines 1 through 27. (If married filing separately and line 28 is more than \$219,900, see instructions.)	28	121,559.

Part II Alternative Minimum Tax (AMT)

29	Exemption. (If you were under age 24 at the end of 2010, see instructions.)		
	IF your filing status is ...	AND line 28 is not over ...	THEN enter on line 29 ...
	Single or head of household	\$112,500	\$47,450
	Married filing jointly or qualifying widow(er)	150,000	72,450
	Married filing separately	75,000	36,225
	If line 28 is over the amount shown above for your filing status, see instructions.		
30	Subtract line 29 from line 28. If more than zero, go to line 31. If zero or less, enter -0- here and on lines 33 and 35 and skip the rest of Part II.		49,109.
31	• If you are filing Form 2555 or 2555-EZ, see instructions for the amount to enter. • If you reported capital gain distributions directly on Form 1040, line 13; you reported qualified dividends on Form 1040, line 9b; or you had a gain on both lines 15 and 16 of Schedule D (Form 1040) (as refigured for the AMT, if necessary), complete Part III on page 2 and enter the amount from line 54 here. • All others: If line 30 is \$175,000 or less (\$87,500 or less if married filing separately), multiply line 30 by 26% (.26). Otherwise, multiply line 30 by 28% (.28) and subtract \$3,500 (\$1,750 if married filing separately) from the result.		12,768.
32	Alternative minimum tax foreign tax credit (see instructions)		
33	Tentative minimum tax. Subtract line 32 from line 31		12,768.
34	Tax from Form 1040, line 44 (minus any tax from Form 4972 and any foreign tax credit from Form 1040, line 47). If you used Schedule J to figure your tax, the amount from line 44 of Form 1040 must be refigured without using Schedule J (see instructions)		12,444.
35	AMT. Subtract line 34 from line 33. If zero or less, enter -0-. Enter here and on Form 1040, line 45		324.

BAA For Paperwork Reduction Act Notice, see your tax return instructions.

FDIA5312L 12/21/10

Form 6251 (2010)

SCHEDULE H
(Form 1040)

Department of the Treasury
Internal Revenue Service (99)
Name of employer

Household Employment Taxes
(For Social Security, Medicare, Withheld Income, and
Federal Unemployment (FUTA) Taxes)

► **Attach to Form 1040, 1040NR, 1040-SS, or 1041.**
► **See separate instructions.**

OMB No. 1545-1971

2010

Attachment
Sequence No. **44**

Social security number

Employer identification number

Jonathan M. Gillibrand

A Did you pay any one household employee cash wages of \$1,700 or more in 2010? (If any household employee was your spouse, your child under age 21, your parent, or anyone under age 18, see the line A instructions before you answer this question.)

- ☒ **Yes.** Skip lines B and C and go to line 1.
☐ **No.** Go to line B.

B Did you withhold federal income tax during 2010 for any household employee?

- ☐ **Yes.** Skip line C and go to line 5.
☐ **No.** Go to line C.

C Did you pay total cash wages of \$1,000 or more in any calendar quarter of 2009 or 2010 to all household employees? (Do not count cash wages paid in 2009 or 2010 to your spouse, your child under age 21, or your parent.)

- ☐ **No.** Stop. Do not file this schedule.
☐ **Yes.** Skip lines 1-9 and go to line 10 on page 2. (Calendar year taxpayers having no household employees in 2010 do not have to complete this form for 2010).

Part I Social Security, Medicare, and Federal Income Taxes

1 Total cash wages subject to social security taxes (see instructions).....	1	37,963.	
2 Social security taxes. Multiply line 1 by 12.4% (.124).....	2		4,707.
3 Total cash wages subject to Medicare taxes (see instructions).....	3	37,963.	
4 Medicare taxes. Multiply line 3 by 2.9% (.029).....	4		1,101.
5 Federal income tax withheld, if any.....	5		2,588.
6 Total social security, Medicare, and federal income taxes. Add lines 2, 4, and 5.....	6		8,396.
7 Advance earned income credit (EIC) payments, if any.....	7		
8 Net taxes (subtract line 7 from line 6).....	8		8,396.

9 Did you pay total cash wages of \$1,000 or more in any calendar quarter of 2009 or 2010 to all household employees? (Do not count cash wages paid in 2009 or 2010 to your spouse, your child under age 21, or your parent.)

- ☐ **No.** Stop. Include the amount from line 8 above on Form 1040, line 59, and check box b on that line. If you are not required to file Form 1040, see the line 9 instructions.
☒ **Yes.** Go to line 10 on page 2.

Part II Federal Unemployment (FUTA) Tax

	Yes	No
10 Did you pay unemployment contributions to only one state? (If you paid contributions to a credit reduction state, see instructions and check 'No'.)		X
11 Did you pay all state unemployment contributions for 2010 by April 18, 2011? Fiscal year filers, see instructions	X	
12 Were all wages that are taxable for FUTA tax also taxable for your state's unemployment tax?	X	

Next: If you checked the 'Yes' box on all the lines above, complete Section A.
If you checked the 'No' box on any of the lines above, skip Section A and complete Section B.

Section A

13 Name of the state where you paid unemployment contributions	
14 Contributions paid to your state unemployment fund (see instructions)	14
15 Total cash wages subject to FUTA tax (see instructions)	15
16 FUTA tax. Multiply line 15 by .008. Enter the result here, skip Section B, and go to line 25.	16

Section B

(a) Name of state	(b) Taxable wages (as defined in state act)	(c) State experience rate period		(d) State experience rate	(e) Multiply column (b) by .054	(f) Multiply column (b) by column (d)	(g) Subtract column (f) from column (e). If zero or less, enter -0-	(h) Contributions paid to state unemployment fund
		From	To					
NY	5,706.	1/10	12/10	.0323	308.	184.	124.	184.
DC	18,828.	1/10	12/10	.0270	1,017.	508.	509.	508.
18 Totals						18	633.	692.
19 Add columns (g) and (h) of line 18.						19	1,325.	
20 Total cash wages subject to FUTA tax (see the line 15 instructions)						20		22,268.
21 Multiply line 20 by 6.2% (.062)						21		1,381.
22 Multiply line 20 by 5.4% (.054)						22	1,202.	
23 Enter the smaller of line 19 or line 22. (Employers in a credit reduction state must use the worksheet in the separate instructions and check here).						23		1,202.
24 FUTA tax. Subtract line 23 from line 21. Enter the result here and go to line 25.						24		179.

Part III Total Household Employment Taxes

25 Enter the amount from line 8. If you checked the 'Yes' box on line C of page 1, enter -0-	25	8,396.
26 Add line 16 (or line 24) and line 25 (see instructions).	26	8,575.
27 Are you required to file Form 1040?		

- ☒ Yes. Stop. Include the amount from line 26 above on Form 1040, line 59, and check box b on that line. Do not complete Part IV below.
☐ No. You may have to complete Part IV. See instructions.

Part IV Address and Signature — Complete this part only if required. See the line 27 instructions.

Address (number and street) or P.O. box if mail is not delivered to street address Apt. room, or suite number

City, town or post office, state, and ZIP code

Under penalties of perjury, I declare that I have examined this schedule, including accompanying statements, and to the best of my knowledge and belief, it is true, correct, and complete. No part of any payment made to a state unemployment fund claimed as a credit was, or is to be, deducted from the payments to employees. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Paid Preparer Use Only	Employer's signature	Date	PTIN
	Print/Type preparer's name	Preparer's signature	Check if self-employed ☐
	Firm's name	Firm's EIN	Phone no.
	Firm's address		

Noncash Charitable Contributions

▶ Attach to your tax return if you claimed a total deduction
of over \$500 for all contributed property.
▶ See separate instructions.

OMB No. 1545-0048

Attachment
Sequence No. **155**

Name(s) shown on your income tax return

Kirsten E. and Jonathan M. Gillibrand

Identifying number

Note: Figure the amount of your contribution deduction before completing this form. See your tax return instructions.

Section A. Donated Property of \$5,000 or Less and Certain Publicly Traded Securities — List in this section only
items (or groups of similar items) for which you claimed a deduction of \$5,000 or less. Also, list certain publicly traded securities
even if the deduction is more than \$5,000 (see instructions).

Part I Information on Donated Property — If you need more space, attach a statement.

1	(a) Name and address of the donee organization	(b) Description of donated property (For a donated vehicle, enter the year, make, model, condition, and mileage, and attach Form 1098-C if required.)
A	The Salvation Army [REDACTED]	Clothing in Good Condition
B	The Salvation Army [REDACTED]	Clothing in Good Condition
C		
D		
E		

Note: If the amount you claimed as a deduction for an item is \$500 or less, you do not have to complete columns (d), (e), and (f).

(c)	Date of the contribution	(d)	Date acquired by donor (mo., yr.)	(e)	How acquired by donor	(f)	Donor's cost or adjusted basis	(g)	Fair market value (see instructions)	(h)	Method used to determine the fair market value
A	6/21/10	Various		Purchase		3,200.		578.		Thrift Shop Value	
B	3/13/10	Various		Purchase		900.		154.		Thrift Shop Value	
C											
D											
E											

Part II Partial Interests and Restricted Use Property — Complete lines 2a through 2e if you gave less than an entire interest in
a property listed in Part I. Complete lines 3a through 3c if conditions were placed on a contribution
listed in Part I; also attach the required statement (see instructions).**2a** Enter the letter from Part I that identifies the property for which you gave less than an entire interest ▶

If Part II applies to more than one property, attach a separate statement.

b Total amount claimed as a deduction for the property listed in Part I: (1) For this tax year ▶
(2) For any prior tax years ▶**c** Name and address of each organization to which any such contribution was made in a prior year (complete only if different from
the donee organization above):

Name of charitable organization (donee)

Address (number, street, and room or suite no.)

City or town

State ZIP code

d For tangible property, enter the place where the property is located or kept ▶**e** Name of any person, other than donee organization, having actual possession of the property ▶**3a** Is there a restriction, either temporary or permanent, on the donee's right to use or dispose of the donated property?**b** Did you give to anyone (other than the donee organization or another organization participating with the donee organi-
zation in cooperative fundraising) the right to the income from the donated property or to the possession of the property,
including the right to vote donated securities, to acquire the property by purchase or otherwise, or to designate the person
having such income, possession, or right to acquire?**c** Is there a restriction limiting the donated property for a particular use?

Yes	No

SCHEDULE M
(Form 1040A or 1040)

Making Work Pay Credit

OMB No. 1545-0074

2010

Department of the Treasury
Internal Revenue Service (99)

▶ Attach to Form 1040A or 1040.

▶ See separate instructions.

Attachment
Sequence No. **166**

Name(s) shown on return

Your social security number

Kirsten E. and Jonathan M. Gillibrand

Caution: To take the making work pay credit, you must include your social security number (if filing a joint return, the number of either you or your spouse) on your tax return. A social security number does not include an identification number issued by the IRS. Only the Social Security Administration issues social security numbers.

Caution: You cannot take the making work pay credit if you can be claimed as someone else's dependent or if you are a nonresident alien.

Important: Check the 'No' box on line 1a and see the instructions if:

- (a) You have a net loss from a business,
- (b) You received a taxable scholarship or fellowship grant not reported on a Form W-2,
- (c) Your wages include pay for work performed while an inmate in a penal institution,
- (d) You received a pension or annuity from a nonqualified deferred compensation plan or a nongovernmental section 457 plan, or
- (e) You are filing Form 2555 or 2555-EZ.

1a Do you (and your spouse if filing jointly) have 2010 wages of more than \$6,451 (\$12,903 if married filing jointly)?

- ☒ **Yes.** Skip lines 1a through 3. Enter \$400 (\$800 if married filing jointly) on line 4 and go to line 5.
☐ **No.** Enter your earned income (see instructions)..... **1a**

b Nontaxable combat pay included on line 1a (see instructions)..... **1b**

2 Multiply line 1a by 6.2% (.062)..... **2**

3 Enter \$400 (\$800 if married filing jointly)..... **3**

4 Enter the smaller of line 2 or line 3 (unless you checked 'Yes' on line 1a)..... **4**

800.

5 Enter the amount from Form 1040, line 38*, or Form 1040A, line 22..... **5**

148,246.

6 Enter \$75,000 (\$150,000 if married filing jointly)..... **6**

150,000.

7 Is the amount on line 5 more than the amount on line 6?

- ☒ **No.** Skip line 8. Enter the amount from line 4 on line 9 below.
☐ **Yes.** Subtract line 6 from line 5..... **7**

8 Multiply line 7 by 2% (.02)..... **8**

9 Subtract line 8 from line 4. If zero or less, enter -0-..... **9**

800.

10 Did you (or your spouse, if filing jointly) receive an economic recovery payment in 2010? You may have received this payment in 2010 if you did not receive an economic recovery payment in 2009 but you received social security benefits, supplemental security income, railroad retirement benefits, or veterans disability compensation or pension benefits in November 2008, December 2008, or January 2009 (see instructions).

- ☒ **No.** Enter -0- on line 10 and go to line 11.
☐ **Yes.** Enter the total of the payments you (and your spouse, if filing jointly) received in 2010. Do not enter more than \$250 (\$500 if married filing jointly)..... **10**

0.

11 Making work pay credit. Subtract line 10 from line 9. If zero or less, enter -0-. Enter the result here and on Form 1040, line 63; or Form 1040A, line 40..... **11**

800.

*If you are filing Form 2555, 2555-EZ, or 4563 or you are excluding income from Puerto Rico, see instructions.

BAA For Paperwork Reduction Act Notice, see your tax return instructions.

Schedule M (Form 1040A or 1040) 2010

2010

Federal Statements

Page 1

Kirsten E. and Jonathan M. Gillibrand

7/26/12

01:32PM

**Statement 1
Form 1040
Wage Schedule**

<u>Taxpayer - Employer</u>	<u>Wages</u>	<u>Federal W/H</u>	<u>FICA</u>	<u>Medi- care</u>	<u>State W/H</u>	<u>Local W/H</u>
United States Senate	145,438.	24,055.	6,622.	2,308.	9,378.	
Dependent Care Benefits (DCB)	5,000.					
Grand Total	<u>150,438.</u>	<u>24,055.</u>	<u>6,622.</u>	<u>2,308.</u>	<u>9,378.</u>	<u>0.</u>

2010

Federal Supplemental Information

Page 1

Kirsten E. and Jonathan M. Gillibrand

7/26/12

01:32PM

Form 1040 Schedule A Line 21 Unreimbursed Employee Expenses

Total reflects \$3,000 IRC 162(a) limit on DC living expenses for Member of Congress.

For office use only

New York State Department of Taxation and Finance
Cover Sheet for Form IT-201
Resident Income Tax Return
New York State • New York City • Yonkers

2010

IT-201

This is the cover sheet of your return. For your return to be complete you must include this cover sheet with all four pages of Form IT-201 and all required attachments.

Taxpayer name and address		Software vendor code 1032
Your social security number [REDACTED]	Spouse's social security number [REDACTED]	
Your first name and middle initial KIRSTEN E	Your last name GILLIBRAND	
Spouse's first name and middle initial JONATHAN M	Spouse's last name GILLIBRAND	
Mailing address (number and street or rural route) [REDACTED]		Apartment number [REDACTED]
City, village or post office [REDACTED]	State [REDACTED]	ZIP code [REDACTED]
Country (if not United States) [REDACTED]		
Summary of return data		
Federal adjusted gross income	148,246.	
Total NYS adjusted gross income	148,246.	
Total New York State tax withheld	9,378.	
Total New York City tax withheld		
Total Yonkers tax withheld		
Amount to be refunded to you	2,203.	
Amount you owe		

NYIA1305L 09/28/10

Staple check or
money order here.

File this original scannable cover sheet
with all four pages of your tax return.

0731101032



Resident Income Tax Return (long form)

2010

IT-201

New York State • New York City • Yonkers

For the full year January 1, 2010, through December 31, 2010, or fiscal year beginning

For help completing your return, see the combined instructions Form IT-150 and IT-201.

and ending

Important: You must enter your social security number(s) in the boxes to the right.

Your first name and middle initial		Your last name (for a joint return, enter spouse's name on line below)		Your social security number	
KIRSTEN		E GILLIBRAND		[REDACTED]	
Spouse's first name and middle initial		Spouse's last name		Your spouse's social security number	
JONATHAN		M GILLIBRAND		[REDACTED]	
Mailing address (see instructions) (number and street or rural route)		Apartment number		New York State county of residence	
[REDACTED]		[REDACTED]		[REDACTED]	
City, village, or post office		State ZIP code		School district name	
[REDACTED]		[REDACTED]		[REDACTED]	
Permanent home address (see instructions) (number and street or rural route)		Apartment number		School district code number	
[REDACTED]		[REDACTED]		[REDACTED]	
City, village, or post office		State ZIP code		Spouse's date of death	
[REDACTED]		NY		[REDACTED]	

(A) Filing status -- mark an X in one box:
 1 Single
 2 ☒ Married filing joint return (enter spouse's social security number above)
 3 Married filing separate return (enter spouse's social security number above)
 4 Head of household (with qualifying person)
 5 Qualifying widow(er) with dependent child

(B) Did you itemize your deductions on your 2010 federal income tax return? Yes ☒ No
(C) Can you be claimed as a dependent on another taxpayer's federal return? Yes No ☒

(D) Choose direct deposit to avoid paper check refund delays.
(E) (1) Did you or your spouse maintain living quarters in NYC during 2010 (see instructions)? Yes No ☒
(2) Enter the number of days spent in NYC in 2010 (any part of a day spent in NYC is considered a day)
(F) NYC residents and NYC part-year residents only (see instructions):
(1) Number of months you lived in NYC in 2010 •
(2) Number of months your spouse lived in NYC in 2010 •
(G) Enter your 2-character special condition code if applicable (see instructions) •
If applicable, also enter your second 2-character special condition code •

Federal income and adjustments

Only full-year NY State residents may file this form. For lines 1 through 18 below, enter your income items and total adjustments as they appear on your federal return (see instructions). Also see instructions for showing a loss.

Dollars

1	Wages, salaries, tips, etc	1.	150,438.
2	Taxable interest income	2.	897.
3	Ordinary dividends	3.	
4	Taxable refunds, credits, or offsets of state and local income taxes (also enter on line 25)	4.	
5	Alimony received	5.	
6	Business income or loss (attach a copy of federal Schedule C or C-EZ, Form 1040)	6.	
7	Capital gain or loss (if required, attach a copy of federal Schedule D, Form 1040)	7.	-3,000.
8	Other gains or losses (attach a copy of federal Form 4797)	8.	
9	Taxable amount of IRA distributions. If received as a beneficiary, mark an X in the box	9.	
10	Taxable amount of pensions and annuities. If received as a beneficiary, mark an X in the box	10.	
11	Rental real estate, royalties, partnerships, S corporations, trusts, etc (attach copy of federal Schedule E, Form 1040)	11.	-89.
12	Farm income or loss (attach a copy of federal Schedule F, Form 1040)	12.	
13	Unemployment compensation	13.	
14	Taxable amount of social security benefits (also enter on line 27)	14.	
15	Other income (see instrs) Identify:	15.	
16	Add lines 1 through 15	16.	148,246.
17	Total federal adjustments to income (see instructions) Identify:	17.	
18	Federal adjusted gross income (subtract line 17 from line 16)	18.	148,246.

NYIA1312L 12/28/10

2011101032



You must file all four pages of this original scannable return with the Tax Department.

KIRSTEN E. AND JONATHAN M

Dollars

19 Federal adjusted gross income (from line 18 on page 1)

19.

148,246.

New York additions (see instructions)

20 Interest income on state and local bonds and obligations (but not those of NY State or its local governments) 20.
 21 Public employee 414(h) retirement contributions from your wage and tax statements (see instrs) 21.
 22 New York's 529 college savings program distributions (see instructions) 22.
 23 Other (see instructions) Identify: 23.
 24 Add lines 19 through 23 24.

148,246.

New York subtractions (see instructions)

25 Taxable refunds, credits, or offsets of state and local income taxes (from line 4) 25.
 26 Pensions of NYS and local governments and the federal government (see instrs) 26.
 27 Taxable amount of social security benefits (from line 14) 27.
 28 Interest income on U.S. government bonds 28.
 29 Pension and annuity income exclusion (see instructions) 29.
 30 New York's 529 college savings program deduction / earnings 30.
 31 Other (see instrs). Identify: 31.
 32 Add lines 25 through 31 32.
 33 New York adjusted gross income (subtract line 32 from line 24) 33.

148,246.

Standard deduction or Itemized deduction (see instructions)

34 Enter your standard deduction (from table below) or your itemized deduction (from worksheet below). Mark an X in the appropriate box: • Standard or • X Itemized 34.
 35 Subtract line 34 from line 33 (if line 34 is more than line 33, leave blank) 35.
 36 Dependent exemptions (not the same as total federal exemptions; see instructions) 36.
 37 Taxable income (subtract line 36 from line 35) 37.

41,922.

106,324.

2,000.

104,324.

New York State
standard deduction table

< OR >

New York State itemized deduction worksheet

Filing status (from page 1) Standard deduction (enter on line 34 above)

1 Single and you marked item C Yes \$ 3,000

1 Single and you marked item C No 7,500

2 Married filing joint return 15,000

3 Married filing separate return 7,500

4 Head of household (with qualifying person) 10,500

5 Qualifying widow(er) with dependent child 15,000

a Medical and dental expenses (federal Schedule A, line 4) a.
 b Taxes you paid (federal Schedule A, line 9) b.
 b1 State, local, and foreign income taxes (or general sales tax, if applicable) included in line b above b1.
 c Interest you paid (federal Schedule A, line 15) c.
 d Gifts to charity (federal Schedule A, line 19) d.
 e Casualty and theft losses (federal Schedule A, line 20) e.
 f Job expenses/misc deductions (fed Sch A, line 27) f.
 g Other misc deductions (federal Sch A, line 28) g.
 h Enter amount from federal Schedule A, line 29 h.
 i State, local, and foreign income taxes (or general sales tax, if applicable) and other subtraction adjustments (see instrs) SEE ST 1 i.
 j Subtract line i from line h j.
 k Addition adjustments (see instrs) k.
 l Add lines j and k l.
 m Itemized deduction adjustment (see instructions) m.
 n Subtract line m from line i n.
 o College tuition itemized deduction (see Form IT-272) o.
 p New York State itemized deduction (add lines n and o; enter on line 34 above) p.

25,333.

11,378.

21,982.

4,705.

1,280.

53,300.

11,378.

41,922.

41,922.

41,922.

41,922.

41,922.

41,922.

41,922.

41,922.

41,922.

41,922.



KIRSTEN E. AND JONATHAN M. GILLIBRAND

Tax computation, credits, and other taxes (see instructions)

38	Taxable income (from line 37 on page 2)	38.	104,324.
39	New York State tax on line 38 amount (see Tax Computation in the instructions)	39.	7,118.
40	New York State household credit (from table 1, 2, or 3 in the instructions)	40.	
41	Resident credit (attach Form IT-112-R or IT-112-C, or both; see instructions)	41.	
42	Other New York State nonrefundable credits (from Form IT-201-ATT, line 7; attach form)	42.	
43	Add lines 40, 41 and 42	43.	
44	Subtract line 43 from line 39 (if line 43 is more than line 39, leave blank)	44.	7,118.
45	Net other New York State taxes (from Form IT-201-ATT, line 30; attach form)	45.	
46	Total New York State taxes (add lines 44 and 45)	46.	7,118.

New York City and Yonkers taxes, credits, and tax surcharges

47	New York City resident tax on line 38 amount (see instrs)	47.	
48	New York City household credit (from table 4, 5, or 6 in instructions)	48.	
49	Subtract line 48 from line 47 (if line 48 is more than line 47, leave blank)	49.	
50	Part-year New York City resident tax (attach Form IT-360.1)	50.	
51	Other New York City taxes (from Form IT-201-ATT, line 34; attach form)	51.	
52	Add lines 49, 50, and 51	52.	
53	New York City nonrefundable credits (from Form IT-201-ATT, line 10; attach form)	53.	
54	Subtract line 53 from line 52 (if line 53 is more than line 52, leave blank)	54.	
55	Yonkers resident income tax surcharge (see instructions)	55.	
56	Yonkers nonresident earnings tax (attach Form Y-203)	56.	
57	Part-year Yonkers resident income tax surcharge (attach Form IT-360.1)	57.	
58	Total New York City and Yonkers taxes/surcharges (add lines 54 through 57)	58.	
59	Sales or use tax (See the instructions. Do not leave line 59 blank)	59.	65.

See instructions to
compute NYC and
Yonkers taxes, credits,
and tax surcharges.**Voluntary contributions** (whole dollar amounts only; see instructions)

60a	Return a Gift to Wildlife	60a.	
60b	Missing/Exploited Children Fund	60b.	
60c	Breast Cancer Research Fund	60c.	
60d	Alzheimer's Fund	60d.	
60e	Olympic Fund (\$2 or \$4; see instructions)	60e.	
60f	Prostate Cancer Research Fund	60f.	
60g	9/11 Memorial	60g.	
60h	Volunteer Firefighting & EMS Recruitment Fund	60h.	
60	Total voluntary contributions (add lines 60a through 60h)	60.	
61	Total New York State, New York City and Yonkers taxes, sales or use tax, and voluntary contributions (add lines 46, 58, 59, and 60)	61.	7,183.



KIRSTEN E. AND JONATHAN M. GILLIBRAND

62 Total New York State, New York City and Yonkers taxes, sales or use tax, and voluntary contributions (from line 61 on page 3)

62.

7,183.

Payments and refundable credits (see instructions)

63 Empire State child credit (attach Form IT-213)

63.

8.

64 NYS/NYC State child and dependent care credit (attach Form IT-216)

64.

65 NYS earned income credit (EIC) (attach Form IT-215 or IT-209)

65.

66 NYS noncustodial parent EIC (attach Form IT-209)

66.

67 Real property tax credit (attach Form IT-214)

67.

68 College tuition credit (attach Form IT-272)

68.

69 NYC school tax credit (also complete (F) on page 1; see instrs)

69.

70 NYC earned income credit (attach Form IT-215 or IT-209)

70.

71 Other refundable credits (from Form IT-201-ATT, line 18; attach form)

71.

72 Total New York State tax withheld

72.

73 Total New York City tax withheld

73.

74 Total Yonkers tax withheld

74.

75 Total estimated tax payments / Amount paid with Form IT-370

75.

2,000.

76 Total payments (add lines 63 through 75)

76.

11,386.

Forms IT-2, IT-1099-R, and/or IT-1099-UI must be completed and attached to your return (see instructions)

Staple them (and any other applicable forms) to the top of this page 4.

See the instructions for the proper assembly of your four-page return and all attachments.

Your refund / amount overpaid (see instructions)

77 Amount overpaid (if line 76 is more than line 62, subtract line 62 from line 76)

77.

4,203.

78 Amount of line 77 to be refunded by (mark one):

direct deposit (mark line 82) or ☒ paper check refund 78.

2,203.

79 Amount of line 77 that you want applied to your 2011 estimated tax. (see instructions)

79.

2,000.

Amount you owe (see instructions)

80 Amount you owe (if line 76 is less than line 62, subtract line 76 from line 62).

To pay by electronic funds withdrawal, mark this box

and mark line 82

80.

81 Estimated tax penalty (Include this amount in line 80, or reduce the overpayment on line 77; see instructions.)

81.

Account information

82 Account information for direct deposit or electronic funds withdrawal. See instructions.

If the funds for your payment (or refund) would come from (or go to) an account outside the U.S., mark an X in this box (see instructions)

82a Routing number

Electronic funds withdrawal effective date

82b Account number

82c Account Type

Checking

Savings

Third-party designee? (see instrs.)

Print designee's name

CHRISTINE M. TUCKER, CPA

Designee's phone number

Personal identification number (PIN)

Yes ☒ No

E-mail:

Preparer must complete (see instructions)

Preparer's signature

Date:

Preparer's NYTPRIN

Firm's name (or yours, if self-employed)

RUTNIK & CORR, P.C.

Address

Preparer's SSN or PTIN

Employer ID number

Mark X if self-employed

E-mail:

Taxpayer(s) must sign here

Your signature

Your occupation

US SENATOR

Spouse's signature and occupation (if joint return)

REAL ESTATE INVESTMENT Daytime phone number

Date

E-mail:

See instructions for where to mail your return.

NYA1334L 12/27/10

You must file all four pages of this original scannable return with the Tax Department.

2014101032



Summary of W-2 Statements
 New York State • New York City • Yonkers

2010

IT-2

Do not detach or separate the W-2 Records below. File Form IT-2 as an entire page. See instructions.

Taxpayer's first name and middle initial

Taxpayer's last name

KIRSTEN

E GILLIBRAND

▼ Your social security number

Spouse's first name and middle initial

Spouse's last name

JONATHAN

M GILLIBRAND

▼ Spouse's social security number

Box c Employer's name and full address (including ZIP code)

W-2
Record 1

 UNITED STATES SENATE
 HART OFFICE BUILDING

WASHINGTON

DC 20510-7104

Box b Employer identification number (EIN)

Box 12a Amount

13,750.

▼ Code

Box 15 State

NY

Box 16

State wages, tips, etc. (for NYS)

145,438.

This W-2 record is for

Box 12b Amount

▼ Code

Box 17

New York State income tax withheld

9,378.

(mark an X in one box)

Box 12c Amount

▼ Code

Box 18

Local wages, tips, etc. (see instr)

Taxpayer ☒ Spouse

Box 1 Wages, tips, other compensation

Box 12d Amount

▼ Code

Locality a

Locality b

145,438.

Box 19

Local income tax withheld

Box 8 Allocated tips

Box 13 Statutory employee

Locality a

Locality b

Box 9 Advance EIC payment

Box 14a Amount

▼ Description

Box 20 Locality name

9,812.

OTHER

Locality a

Box 10 Dependent care benefits

Box 14b Amount

▼ Description

Locality b

5,000.

Box 11 Nonqualified plans

Box 14c Amount

▼ Description

Corrected (W-2c)

Do not detach.

Box c Employer's name and full address (including ZIP code)

W-2
Record 2

Box b Employer identification number (EIN)

Box 12a Amount

▼ Code

Box 15 State

Box 16

State wages, tips, etc. (for NYS)

This W-2 record is for

Box 12b Amount

▼ Code

Box 17

New York State income tax withheld

(mark an X in one box)

Box 12c Amount

▼ Code

Box 18

Local wages, tips, etc. (see instr)

Taxpayer ☐ Spouse

Box 1 Wages, tips, other compensation

Box 12d Amount

▼ Code

Locality a

Locality b

Box 19

Local income tax withheld

Box 8 Allocated tips

Box 13 Statutory employee

Locality a

Locality b

Box 9 Advance EIC payment

Box 14a Amount

▼ Description

Box 20 Locality name

Box 10 Dependent care benefits

Box 14b Amount

▼ Description

Locality a

Locality b

Box 11 Nonqualified plans

Box 14c Amount

▼ Description

Corrected (W-2c)

Please file this original scannable form with the Tax Department. If you or your paid preparer use software to produce this form, it might have a two-dimensional (2-D) barcode on the bottom of this page. It will appear as a rectangular-shaped object with very small boxes and white spaces. This barcode will be used to efficiently process your entries on this form.

1021101032



Claim for Empire State Child Credit

2010

IT-213

Attach this form to Form IT-150, IT-201, or IT-203.

Step 1 – Enter identifying information

Your name as shown on return

KIRSTEN E. GILLIBRAND

Spouse's name

JONATHAN M. GILLIBRAND

✓ Your social security number

✓ Spouse's social security number

Step 2 – Determine eligibility

- 1 Were you (and your spouse if filing a joint New York State return) New York State residents for all of 2010? 1. Yes ☒ No
- If you marked an *X* in the *No* box, stop; you do not qualify for this credit.
- 2 Did you claim the federal child tax credit or additional child tax credit for 2010? 2. Yes ☒ No
- 3 Is your federal adjusted gross income (see instructions)
- \$110,000 or less and your filing status is 2 married filing joint return;
 - \$75,000 or less and your filing status is 1 single, 4 head of household, or 5 qualifying widow(er); or
 - \$55,000 or less and your filing status is 3 married filing separate return?
3. Yes ☐ No ☒
- If you marked an *X* in the *No* box at both lines 2 and 3, stop; you do not qualify for this credit.
- 4 Enter the number of children who qualify for the federal child tax credit or additional child tax credit (see instructions) 4. 2
- 5 Enter the number of children from line 4 that were at least four years of age on December 31, 2010 5. 1
- If you entered 0 on line 5 stop; you do not qualify for this credit.

Step 3 – Enter child information

List below the name, social security number, and year of birth for each child included on line 4.

First name and middle initial	Last name	Social security number	Year of birth
THEODORE I	GILLIBRAND	[REDACTED]	2003
HENRY N	GILLIBRAND	[REDACTED]	2008

Attach Form IT-213-ATT if you have additional children to report (see instructions).

NYIA6801L 10/18/10

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Please file this original scannable form with the Tax Department.

Step 4 – Compute credit

If you answered *No* to question 2, skip lines 6 through 12, and enter 0 on line 13; continue with line 14.

6	Enter your federal child tax credit from Form 1040A, line 33, or Form 1040, line 51	6.	50.
7	Enter your federal additional child tax credit from Form 1040A, line 42, or Form 1040, line 65	7.	
8	Add lines 6 and 7	8.	50.
9	Enter the number of children from line 4	9.	2
10	Divide line 8 by line 9	10.	25.
11	Enter the number of children from line 5	11.	1
12	Multiply line 10 by line 11	12.	25.
13	Multiply line 12 by 33% (.33)	13.	8.

If you marked the *No* box on line 3, skip lines 14 and 15, and enter the amount from line 13 on line 16. All others continue with line 14.

14	Enter the number of children from line 5	14.	
15	Multiply line 14 by 100	15.	
16	Empire State child credit (enter the amount from line 13 or line 15, whichever is greater)	16.	8.

If you filed a joint federal return but are required to file separate New York State returns, continue with lines 17 and 18. All others enter the line 16 amount on Form IT-150, line 38, or on Form IT-201, line 63.

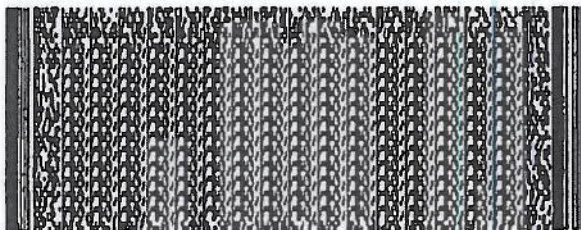
Step 5 – Spouses required to file separate New York State returns (see instructions)

17	Enter the full-year resident spouse's share of the line 16 amount; do not leave line 17 blank Enter here and on Form IT-150, line 38, or on Form IT-201, line 63.	17.	
18	Enter the part-year resident or nonresident spouse's share of the line 16 amount; do not leave line 18 blank Enter the line 18 amount and code 213 on Form IT-203-ATT, line 12.	18.	

Please file this original scannable form with the Tax Department.

NYTA6801L 10/18/10

If you or your paid preparer use software to produce this form, it might have a two-dimensional (2-D) barcode on the bottom of this page. It will appear as a rectangular-shaped object with very small black boxes and white spaces. This barcode will be used to efficiently process your entries on this form.



2132101032



2010

NEW YORK STATEMENTS

PAGE 1

KIRSTEN E. AND JONATHAN M. GILLIBRAND

4/14/11

03:24PM

STATEMENT 1
FORM IT-201, ITEMIZED DEDUCTION WORKSHEET, LINE I
STATE, LOCAL, FOREIGN TAX, OTHER SUBTRACTIONS

STATE, LOCAL, FOREIGN, AND GENERAL SALES TAXES.....

TOTAL	\$	11,378.
	\$	<u>11,378.</u>